

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1369779-1

Total Deleted Page(s) = 96

Page 9 ~ Duplicate;
Page 10 ~ Duplicate;
Page 11 ~ Duplicate;
Page 12 ~ Duplicate;
Page 13 ~ Duplicate;
Page 14 ~ Duplicate;
Page 15 ~ Duplicate;
Page 16 ~ Duplicate;
Page 17 ~ Duplicate;
Page 18 ~ Duplicate;
Page 19 ~ Duplicate;
Page 20 ~ Duplicate;
Page 21 ~ Duplicate;
Page 22 ~ Duplicate;
Page 23 ~ Duplicate;
Page 24 ~ Duplicate;
Page 25 ~ Duplicate;
Page 26 ~ Duplicate;
Page 27 ~ Duplicate;
Page 28 ~ Duplicate;
Page 29 ~ Duplicate;
Page 30 ~ Duplicate;
Page 31 ~ Duplicate;
Page 32 ~ Duplicate;
Page 33 ~ Duplicate;
Page 35 ~ Duplicate;
Page 43 ~ Duplicate;
Page 44 ~ Duplicate;
Page 45 ~ Duplicate;
Page 46 ~ Duplicate;
Page 47 ~ Duplicate;
Page 52 ~ Duplicate;
Page 53 ~ Duplicate;
Page 54 ~ Duplicate;
Page 55 ~ Duplicate;
Page 56 ~ Duplicate;
Page 57 ~ Duplicate;
Page 58 ~ Duplicate;
Page 59 ~ Duplicate;
Page 130 ~ b4; b6; b7C;
Page 131 ~ b4; b6; b7C;
Page 132 ~ b4; b6; b7C;
Page 133 ~ b4; b6; b7C;
Page 134 ~ b4; b6; b7C;
Page 135 ~ b4; b6; b7C;
Page 136 ~ b4; b6; b7C;
Page 137 ~ b4; b6; b7C;
Page 138 ~ b4; b6; b7C;

Page 139 ~ b4; b6; b7C;
Page 140 ~ b4; b6; b7C;
Page 141 ~ b4; b6; b7C;
Page 142 ~ b4; b6; b7C;
Page 276 ~ b3; b6; b7C; b7E;
Page 277 ~ b3; b6; b7C; b7E;
Page 294 ~ b6; b7C;
Page 295 ~ b6; b7C;
Page 296 ~ b6; b7C;
Page 297 ~ b6; b7C;
Page 326 ~ b6; b7C;
Page 327 ~ b6; b7C;
Page 329 ~ b6; b7C;
Page 333 ~ b1; b3; b6; b7C; b7D;
Page 334 ~ b1; b3; b6; b7C; b7D; b7E;
Page 335 ~ b1; b3; b6; b7C; b7D;
Page 336 ~ b1; b3; b6; b7C; b7D; b7E;
Page 337 ~ b6; b7C; b7D;
Page 338 ~ b6; b7C; b7D;
Page 339 ~ b3; b6; b7C; b7D;
Page 341 ~ b3; b6; b7C; b7D; b7E;
Page 342 ~ b6; b7C; b7D;
Page 343 ~ b6; b7C; b7D;
Page 344 ~ b6; b7C; b7D;
Page 345 ~ b6; b7C; b7D;
Page 346 ~ b6; b7C; b7D;
Page 347 ~ b6; b7C; b7D;
Page 348 ~ b6; b7C; b7D;
Page 349 ~ b6; b7C; b7D;
Page 350 ~ b1; b3; b6; b7C; b7D; b7E;
Page 351 ~ b1; b3; b6; b7C; b7D; b7E;
Page 352 ~ b6; b7C; b7D;
Page 353 ~ b6; b7C; b7D;
Page 354 ~ b1; b3; b6; b7C; b7D; b7E;
Page 355 ~ b6; b7C; b7D;
Page 356 ~ b3; b6; b7C; b7D;
Page 357 ~ b6; b7C; b7D;
Page 358 ~ b6; b7C; b7D;
Page 359 ~ b6; b7C; b7D;
Page 360 ~ b6; b7C; b7D;
Page 361 ~ b6; b7C; b7D;
Page 362 ~ b6; b7C; b7D;
Page 363 ~ b6; b7C; b7D;
Page 364 ~ b6; b7C; b7D;
Page 365 ~ b6; b7C; b7D;
Page 366 ~ b6; b7C; b7D;
Page 367 ~ b6; b7C; b7D;
Page 368 ~ b6; b7C; b7D;

XXXXXXXXXXXXXXXXXXXXXXXXX
X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXXXX

JAN 5 1993

BY COURIER

*Walled
45793BH*

45753
Mr. [redacted]
Counsel
Office of the President-Elect
1120 Vermont Avenue, Northwest
Washington, D.C.

b6
b7C

Dear Mr. [redacted]

In accordance with an undated request received from your office on December 23, 1992, a Level I background investigation has been conducted concerning Mr. Ronald Harmon Brown. Transmitted herewith is a summary memorandum containing the results of this investigation, along with copies of interviews providing details of information contained in this summary memorandum.

During the course of this investigation, an official of the Democratic National Committee provided the FBI with a copy of a recent document published by The Center for Public Integrity, Washington, D.C., entitled PRIVATE PARTIES: Political Party Leadership in Washington's Mercenary Culture. PRIVATE PARTIES is a study of ethics issues involving the men who have served as Chairmen of the two major political parties for the past fifteen years, including Mr. Brown. Because it has been determined that your office already has a copy of PRIVATE PARTIES, no copy is being included with this summary memorandum. However, your office may wish to provide a copy of PRIVATE PARTIES to the Senate committee which will be conducting Mr. Brown's confirmation hearing. Pertinent information developed during this investigation relevant to the matters set forth in PRIVATE PARTIES concerning Mr. Brown is included in this summary memorandum.

This completes our investigation.

Sincerely yours,

LAP B

Larry A. Potts
Assistant Director
Criminal Investigative Division

Exec AD Adm. _____
Exec AD Inv. _____
Exec AD LES _____
Asst. Dir.:
Adm. Servs. _____
Crim. Inv. _____
Ident. _____
Insp. _____
Intell. _____
Lab. _____
Legal Coun. _____
Off. Cong. & Public Affs. _____
Rec. Mgnt. _____
Tech. Servs. _____
Training _____
Liaison & Int. Affs. _____
Telephone Rm. _____
Director's Sec'y _____

Enclosures (7)

DET:tj (3)

CLOSED

RETURN TO [redacted] ROOM 4371

b6
b7C

Mr. [REDACTED]

b6
b7C

NOTE: This case was opened on 12/23/92. Mr. Brown is currently employed as Chairman of the Democratic National Committee (DNC), Washington, D.C. He is also employed as a partner with the law firm of Patton, Boggs & Blow (PB&B), Washington, D.C., and is a director, officer, owner, ^{now} ~~was~~ co-owner of several businesses. He is being investigated for a Presidential appointment as Secretary of Commerce, which requires Senate confirmation.

Investigation revealed several allegations of conflict of interest/cronyism in newspaper articles and in a report published by The Center for Public Integrity. These allegations generally revolved around appointee simultaneously serving as Chairman of the DNC (it is noted that the DNC is a private corporation), a partner in the well-known and well-connected legal/lobbying firm of PB&B in D.C., and a director/officer/owner/co-owner in several businesses which received lucrative contracts in D.C. and New York City. No information was developed indicating that appointee was involved in any illegal activities in this respect.

Investigation also revealed three traffic citations in D.C.

On 12/31/92, SSA [REDACTED] SPIN Unit, CID, telephonically contacted WMFO Supervisor [REDACTED] BCCI Squad, concerning any involvement of appointee in the BCCI scandal and was advised that appointee's law firm represented some BCCI subsidiaries. Nothing criminal has been developed on the firm to date and they are not subjects of the investigation. Appointee's name has never surfaced in any fashion.

b6
b7C

Copies of the following interviews were sent to the Office of the President-Elect:

[REDACTED] conducted by WMFO on 1/3/93;
[REDACTED] conducted by WMFO on 1/4/93;
[REDACTED] conducted by Cincinnati on 1/3/93;
[REDACTED] conducted by Miami on 1/4/93;
[REDACTED] conducted by WMFO on 12/29/92.

b6
b7C

Investigation is complete.

On 12/9/92, the results of an FBI regular name check were provided to [REDACTED] Office of the President-Elect.

During a telephone conversation between Mr. [REDACTED] Counsel, Office of the President-Elect, and [REDACTED] Unit Chief, SPIN Unit, on 12/16/92, Mr. [REDACTED] requested that the results of all Level I background investigations be provided directly to him at the law office of Swidler and Berlin. Copies were not provided to the Office of the President-Elect, 1120 Vermont Ave. N.W., Washington, D.C.

b6
b7C

It was determined 1/15/93, that in L.H. McGee, Jr., 1/5/93, before summary was delivered, on 1/5/93.

JAN 5 1993

RONALD HARMON BROWN

THE INVESTIGATION OF MR. BROWN COVERED INQUIRIES IN THE UNITED STATES AS TO HIS CHARACTER, LOYALTY, AND GENERAL STANDING, BUT NO INQUIRIES WERE MADE AS TO THE SOURCES OF HIS INCOME.

This summary memorandum contains the results of a Level I investigation concerning Mr. Brown.

Birth

Mr. Brown was born on August 1, 1941, in Washington, D.C.

Education

Mr. Brown attended Middlebury College, Middlebury, Vermont, from September, 1958, to June, 1962, receiving an A.B. degree in political science.

Mr. Brown attended St. John's University School of Law, Jamaica, New York, from September, 1962, to January, 1963, and from June, 1967, to June, 1979, receiving a J.D degree.

Military Service

Mr. Brown was appointed a second lieutenant in the United States Army Reserve on August 3, 1962, and served in an inactive status until March 24, 1963. On that date, he entered the United States Army and served on active duty until May 4, 1967, at which time he was honorably released as a captain and was transferred to the United States Army Reserve. He served in an inactive status until he was honorably discharged on September 30, 1969. His service record is clear.

Employment

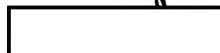
August, 1962, to
February, 1963

New York City Welfare Department,
New York, New York, as a
caseworker. This could not be

Exec AD Adm. _____
Exec AD Inv. _____
Exec AD LES _____
Asst. Dir.:
Adm. Servs. _____
Crim. Inv. _____
Ident. _____
Insp. _____
Intell. _____
Lab. _____
Legal Coun. _____
Off. Cong. &
Public Affs. _____
Rec. Mgnt. _____
Tech. Servs. _____
Training _____
Off. Liaison &
Int. Affs. _____
Telephone Rm. _____
Director's Sec'y _____

DET:tj (2)

RETURN TO



ROOM 4371

b6
b7C

MAIL ROOM ☐

Ronald Harmon Brown

verified because records have been destroyed and the whereabouts of former supervisors and coworkers are unknown.

May, 1967, to
December, 1979

National Urban League, initially in New York, New York, and subsequently in Washington, D.C. Mr. Brown was hired as a trainee administrator and job developer and over the years held numerous positions. He was Vice President of Operations at the time he resigned to accept other employment.

November, 1979, to
August, 1980 (exact
dates not verifiable)

Kennedy for President Campaign, Washington, D.C., as Deputy National Campaign Manager

September, 1980, to
January, 1981

Committee on the Judiciary, United States Senate, Washington, D.C., as Chief Counsel

February, 1981, to
April, 1981

Office of Edward Kennedy, United States Senator from Massachusetts, Washington, D.C., as General Counsel and Staff Director

July, 1981, to
the present

The law firm of Patton, Boggs & Blow (PB&B), Washington, D.C., as a partner

February, 1989, to
the present

Democratic National Committee (DNC), Washington, D.C., as Chairman

Mr. Brown also currently serves, or recently served, as an owner, co-owner, officer and/or director of several businesses.

Family Status

Mr. Brown is married to the former Alma Arrington, who he has indicated is a United States citizen. They reside at 2722 Unicorn Lane, Northwest, Washington, D.C.

Ronald Harmon Brown

Mr. Brown's father, William H. Brown, is deceased. In addition to his wife, Mr. Brown has listed the following living close relatives:

Mother	Gloria O. Carter New York, New York
Stepmother	Peggy Brown Watertown, Massachusetts

Son

Daughter

b6
b7C

Half brother

Interviews

Forty-six persons, consisting of current and former colleagues, supervisors, and coworkers, present neighbors, references, and professional associates, were interviewed. They provided favorable comments concerning Mr. Brown's character, associates, reputation, and loyalty. They stated they are unaware of any illegal drug use or alcohol abuse by Mr. Brown, nor have they ever known him to exhibit any type of bias or prejudice against any class of citizen or any type of religious, racial or ethnic group. They also commented that they believe Mr. Brown lives within his financial means. They recommended him for a position of trust and responsibility.

Among those interviewed are the following:

D.C.;

PB&B, Washington,

PB&B, Washington, D.C.;

for PB&B, Washington, D.C.;

DNC, Washington, D.C.;

National Urban League, New York, New York;

PEBSCO, Columbus, Ohio; and

b6
b7C

Ronald Harmon Brown

[redacted]
[redacted] Chemfix Technologies, Incorporated, Metairie, Louisiana.

b6
b7C

Financial Responsibility

A search of the computerized records of the Credit Bureau, Incorporated, conducted at FBI Headquarters in December, 1992, disclosed no pertinent information concerning Mr. Brown.

Law Enforcement Agency Checks

Information has been received from appropriate law enforcement agencies indicating their files contain no pertinent information concerning Mr. Brown.

Bar Affiliations

Mr. Brown is eligible to practice law in the District of Columbia. According to information available, no grievances have been filed against him.

Mr. Brown was admitted to the New York State Bar in 1971 but never registered.

Miscellaneous

During the course of this investigation [redacted]
[redacted] of the DNC, provided the FBI with a recently-published document by The Center for Public Integrity, Washington, D.C., entitled PRIVATE PARTIES: Political Party Leadership in Washington's Mercenary Culture. PRIVATE PARTIES is a study of ethics matters relating to the chairmen of the Democratic and Republican National Committees over the past fifteen years, including Mr. Brown. Mr. Brown was interviewed twice concerning the matters involving him which were set forth in PRIVATE PARTIES, and his responses are incorporated at the end of this section of the summary memorandum.

b6
b7C

A search of NEXIS, a computerized news retrieval service, disclosed numerous articles concerning Mr. Brown. Attached hereto is an article concerning one of the issues set forth in the aforementioned PRIVATE PARTIES document.

The following individuals, who were mentioned in, or directly knowledgeable concerning matters discussed in, the PRIVATE PARTIES document, were interviewed and provided no unfavorable information concerning Mr. Brown: [redacted]

b6
b7C

Ronald Harmon Brown

[redacted] PB&B; [redacted] PEBSCO;
[redacted] business partner of Mr. Brown;
[redacted] to the Democratic National
Convention; and [redacted], DNC.

b6
b7c

Ronald Harmon Brown

Agency Checks

During the course of this investigation, the records of the following entities were checked and found to contain either no record or no pertinent information concerning Mr. Brown or the businesses with which he is affiliated as an owner, co-owner, officer and/or director (First International Communication Corporation; Harmon International, Incorporated; Chemfix Technologies, Incorporated; Kellee Communications, Incorporated; Capital PEBSCO; WKYS; Boston Bank of Commerce; National Environmental Controls; Environmental Industrial Research Associates), unless otherwise noted in this summary memorandum:

[REDACTED]

b7E

Office of Personnel Management;
Environmental Protection Agency;
Securities and Exchange Commission;
Federal Election Commission;
Federal Communications Commission;
Federal Deposit Insurance Corporation;
Federal Reserve System;
Office of Public Records, Office of the Secretary,
United States Senate;
Office of Records and Registration, Office of the
Clerk, United States House of Representatives;
Office of the Comptroller of the Currency,
Department of the Treasury;
Public Integrity Section, Internal Security Section,
and appropriate United States Attorneys,
Department of Justice;
Federal Records Center - Military Branch,
St. Louis, Missouri;
North Carolina Department of Environmental Health and
Natural Resources;
North Carolina Department of Justice;
Louisiana Department of Environmental Quality;
District of Columbia Contract Appeals Board;
New York City Department of Investigations,
New York, New York;
Manhattan District Attorney's Office,
New York, New York;
and the United States Secret Service.

Searches of the various indices of the FBI, including but not limited to the central index maintained at FBI Headquarters, the index of the Identification Division, the indices of appropriate field offices and other appropriate

Ronald Harmon Brown

computer data bases, did not identify any documents that contain pertinent information identifiable with Mr. Brown, his close relatives, or other relevant individuals. It is noted that only limited indices checks could be conducted on Mr. Brown's stepmother, Peggy Brown, due to the fact that Mr. Brown does not know her year of birth.

It should be noted that results of the above indices searches reveal only data entered into those indices as of the date each was searched. However, it should be noted that some delays may occur as to the entry of such data.

Enclosure



1ST STORY of Level 1 printed in FULL format.

Copyright 1991 American Lawyer Newspapers Group Inc.
Legal Times

August 19, 1991

SECTION: Pg. 1

LENGTH: 1797 words

HEADLINE: Was Bond-Counsel Choice Politics as Usual;
D.C. Mayor Taps Allies at Patton, Boggs For City Legal Work

BYLINE: BY JONATHAN GRONER

BODY:

D.C. Mayor Sharon Pratt Dixon assumed office this year promising a change from the political favoritism that plagued the Marion Barry Jr. administration. But when it comes to awarding prestigious contracts for legal services, it may be hard to tell the difference.

One of the few areas where the new mayor has broad discretion to dole out city business is in the awarding of bondcounsel work. And at first glance, Dixon's initial choice of two D.C. law firms looks like a refreshing departure from the Barry days: Neither of the winners announced last month -- Patton, Boggs & Blow and the Dantzler Law Offices -- has ever been bond counsel to the District before.

But behind the scenes, some bond attorneys are raising suspicions that the Patton, Boggs selection was really just business, and politics, as usual. After all, Patton, Boggs -- with a partner roster that includes Ronald Brown, chairman of the Democratic National Committee -- is one of the best-connected Democratic lobbying firms in the city. And it isn't notable for its municipal-finance work.

The tiny Dantzler firm, despite the solid Wall Street background of its two attorneys, isn't widely known at all. In interviews last week, seven bond lawyers had never heard of the minority-owned firm or of its principal attorney, Vernia Dantzler.

No one is alleging any wrongdoing in the award or the 120-day contracts, and no one says the lawyers selected are unable to handle the city's work.

In fact, the questions about Patton, Boggs might not even be heard at all if this were not an administration vocally committed to getting cronyism out of government -- and if the District weren't right in the middle of soliciting bids for a more lucrative three-year contract.

"It's not surprising, given who [Patton, Boggs] has working over there," says one lawyer who declines to be identified. "Sometimes the selection is a matter of cost, and sometimes it's a question of what their familiarity is with the people in the administration."

This lawyer and others note that DNC Chairman Brown is an old friend of Mayor Dixon's from Democratic Party circles.



1991 Legal Times, August 19, 1991

"The expectation was that Sharon Pratt Dixon would not follow in Mayor Barry's footsteps in picking people on political grounds," says another lawyer. "The fact is, Patton, Boggs has not had a great deal of experience in this area."

Playing Politics?

Brown, primarily a lobbyist and trade lawyer is not a bond specialist, the firm's managing partner, Timothy May, acknowledges. Nevertheless, the winning proposal was submitted in Brown's name as head of Patton, Boggs' public-finance practice, and the firm was far from shy about pushing the connection.

The proposal notes that Brown "will oversee Patton, Boggs' overall performance of bond counsel services, coordinate the assignment of professional personnel to the assignment, and have final authority in all legal matters." Brown will also be "available for consultation with senior District officials."

"It didn't hurt that he is politically connected," May says. May also explains that Brown was named head of the practice because, three years ago, "he talked the rest of us into doing this kind of work." Brown did not return calls.

D.C. Corporation Counsel John Payton, who played a major role in the selection, denies that politics was involved.

"It was not a factor for us," says Payton. "We went through the selection criteria and they came out very well. They have a lot of experience."

Although Patton, Boggs has never been bond counsel for the District before, its attorneys have been bond counsel or underwriter's counsel in several bond issues, primarily in North Carolina and Colorado, and the firm is listed in The Bond Buyer's Municipal Marketplace, known as the "Red Book," the standard reference book in the close-knit municipal-finance business.

"I don't know anything about political connections," says Patton, Boggs partner John Vogel, who will do a lot of the day-to-day work on the bonds.

The job of bond counsel is to develop the legal documentation for the bonds, to issue an opinion about their validity and tax-exempt status, and to help issue and sell the bonds.

Stopgap Measure

The selection of Patton, Boggs and Dantzler may have an impact well beyond the 120 days in which they have committed to serve the District as general obligation bond counsel. The mayor and her top aides are in the process of choosing the bond counsel who will advise the city for three years, about as long as remains in Dixon's current term.

The award to Patton, Boggs and Dantzler represents a stopgap measure. In June, the District sent out a short-term request for bids in what Payton calls a "fasttrack procurement." This was necessary because of the District's urgent need to tap the capital markets for money. The city plans to sell \$ 332 million in general fund recovery bonds in the coming months.



1991 Legal Times, August 19, 1991

Earlier this month the city put out another solicitation for the full three years as bond counsel. Competition is expected to be fierce 27 law firms applied for the 120day contract and many of the same ones will apply for the longer contract. Only 20 firms applied in 1988.

Although no one is conceding the inside track to Patton, Boggs and Dantzler, the fact that they are already doing the work, will certainly put them in a good position to get the longer contract, for which bids are due Aug. 23.

"There's no clear expectation," says Payton "but it's hard to say it won't matter if someone does a spectacular job."

Competition like this pushes legal fees down. Patton, Boggs has proposed an average rate of \$ 175 per hour, or if the city preferred, a per-bond rate that would amount to \$ 180,000 for a sale of \$ 300 million worth of bonds. Vernia Dantzler charges \$ 175 per hour for her time an \$ 150 per hour for her associate, Kerima Blackwood.

But even at these fairly modest rates, lawyers are clamoring to get the work during a downturn in the legal business.

"There are probably a lot more lawyers than there are deals to do," says Martin Robinson of Chicago's Sidley & Austin, head of that firm's municipal bond department.

And former Corporation Counsel Frederick Cooke Jr., of D.C.'s Dow, Lohnes & Albertson, points out that the D.C. government is still thought of as a prestigious client that "anyone would want to include in their client list."

The city first started to use a competitive bidding system in 1988 when Cooke was corporation counsel, although it can consider a number of criteria and is not required to accept the lowest bid in dollar terms.

Barry did not even use bids from 1984, when the city first entered the bond market, until 1988. Even after that, much of the bond work went to the mayor's friends, like James Dyke Jr., then of Hunton & Williams, and Robert Washington Jr., then of Washington, Perito & Dubuc. Some work also was awarded to Arthur Reynolds Sr. of D.C.'s Reynolds & Mundy, the law partner of the mayor's criminal defense attorney, R. Kenneth Mundy. (Mundy also is affiliated with another firm, Mundy, Holt & Mance.)

While Dixon has tried to distance herself from the cronyism of the Barry days, the formal selection procedure is similar to the one that Cooke inaugurated. Payton, Deputy Mayor for Finance Ellen O'Connor, and Patricia Worthy, the mayor's chief of staff, review all the bids and submit the top three or four to the mayor, who makes the final selection.

Although the District is not legally required to include a minority-owned firm in its bond-counsel work, minority participation is one of the top criteria used. The city has teamed a minority with a majority firm ever since it entered the bond markets in 1984.

"We need to be representative of the citizens of the District, and an overriding priority of the mayor is the development of the various communities of the District," says O'Connor.

LEXIS[®] NEXIS[®] LEXIS[®] NEXIS[®]



1991 Legal Times, August 19, 1991

In the last few years, several minority-owned firms have dominated the bidding, including the D.C. office of Detroit's Lewis, White & Clay; Silver Spring, Md.'s Gartrell, Alexander & Gebhardt; and D.C.-based Reynolds & Mundy and Leftwich Moore & Douglas. In fact, Patton, Boggs' proposal to the District noted that it had already had talks with Lewis, White about a possible joint venture.

Instead, the District "de-coupled" Patton, Boggs from Lewis, White and put it together with Dantzler. That practice is not uncommon, according to both Cooke and Payton.

Emerging Force

Dantzler's surprise selection for the minority slot may mark the emergence of an important new player in D.C. bond work.

Although she is still little known among D.C. bond lawyers, Dantzler, 41, has a background in the field. For four years she was an associate at Hawkins, Delafield & Wood on Wall Street, a firm that does a great deal of bond business. Blackwood, her associate, also worked with her at Hawkins Delafield, and both were listed in the "Red Book" at that firm.

Dantzler, a 1983 graduate of the Temple University School of Law, came to the District in 1988 to work for Reynolds & Mundy. There she worked closely with Reynolds, who was doing bond work for the Barry administration. Dantzler went on her own in 1989.

Dantzler, who was unavailable for comment, said in her proposal to the D.C. government that she and Blackwood "have participated in numerous bond issuances, aggregating over \$ 20 billion in volume," including financings for airports, utilities, education, health care, housing, and industrial development.

If Patton, Boggs and Dantzler are the winners for the time being, the immediate losers are Richmond-based Hunton & Williams and Reynolds & Mundy, which together had won the most recent general obligation bond solicitation under Mayor Barry. Hunton & Williams' bond work used to be headed by Dyke, who is now secretary of education of Virginia.

Hunton & Williams and Reynolds & Mundy bid together this time, too, but lost out.

According to Hunton & Williams partner Pauline Schneider, her firm will put its name in again for the three-year contract. "Obviously, D.C. is a very attractive piece of business that anyone would like to have," she says. Reynolds did not return calls.

Other firms that bid for the 120-day contract include Sidley & Austin; New York's Dewey Ballantine, which teamed with the Leftwich firm; Philadelphia's Ballard, Spahr, Andrews & Ingersoll, which collaborated with Gartrell, Alexander & Gebhardt; and Cleveland's Squire, Sanders & Dempsey.

That's the same quality of competition that Patton, Boggs and Dantzler are likely to face in the next round of bidding. But as one bond lawyer points out, "If they do a good job, it will be hard to unseat them."



1991 Legal Times, August 19, 1991

GRAPHIC: Picture 1, Patton, Boggs' Ronald Brown landed D.C. work for his firm. SUSAN MUNIAK; Picture 2, Deputy Mayor Ellen O'Connor reviewed bids for bond counsel. JIM COHRSEN; Picture 3, D.C. Corporation Counsel John Payton says political influence was "not a factor" in the choice of Patton, Boggs as bond counsel. SUSAN MUNIAK; Picture 4, Frederick Cook Jr. says the District is a prestigious client. GAYLE KRUGHOFF; Picture 5, Mayor Sharon Pratt Dixon has the last word on bond counsel. PHILIPPE JENNEY

- 1 -

FEDERAL BUREAU OF INVESTIGATION

Date of transcription

1-4-93

JAY WILKINSON, date of birth [REDACTED] [REDACTED]

[REDACTED] was interviewed regarding his association with Presidential Appointee RONALD H. BROWN. After being advised of the identity of the interviewing agent and being given an opportunity to request confidentiality regarding any statements made, Mr. WILKINSON provided the following information:

b6
b7c

WILKINSON stated that he met Mr. BROWN in 1980 through business contacts. At the time, BROWN was a member of the Washington law firm PATTON, BOGGS, and BLOW. Since that time, WILKINSON and BROWN have been close associates. WILKINSON related that he considers BROWN a member of his family.

WILKINSON said that he has nothing but the highest compliments for BROWN'S character and again related that he is a close personal friend of BROWN. WILKINSON further stated he has no knowledge of any associations that Mr. BROWN may have which may be embarrassing to Mr. BROWN, if revealed.

WILKINSON stated he feels Mr. BROWN has an excellent reputation that proceeds him in whatever endeavors he is involved. He provided that in all the contract negotiations his company, PEBSCO has been involved in with Mr. BROWN'S company, CAPITAL PEBSCO, the negotiators have all had the highest regard and respect for Mr. BROWN. Mr. WILKINSON also provided that BROWN has been both a loyal friend and business partner in many of their dealings and believes Mr. BROWN embraces all the American values and is loyal to his country.

WILKINSON stated that BROWN'S qualifications and abilities are outstanding. He provided that not only are BROWN'S educational qualifications (his college and law degree), invaluable, but that his organizational and interpersonal skills make him well liked and suited for any position of responsibility he may attain. He additionally added that in his professional dealings with Mr. Brown, many items that were discussed in

Investigation on 1-3-93 at Columbus, Ohio File # 161A-HQ-1042752

by SA [REDACTED] ^{RT}las Date dictated 1-4-93

b6
b7c

161A-HQ-1042752

Continuation of FD-302 of

JAY WILKINSON, On 1-3-93, Page 2

confidentially were always kept as such. He has no doubt that if entrusted with highly sensitive information, that information would be secure.

WILKINSON stated that he has no information about alcohol, illegal drugs, or prescription drugs abuse by Mr. BROWN. He did state that he knows BROWN as a social drinker and has met with him socially on several occasions and never seen him in an embarrassing situation.

WILKINSON was asked about BROWN'S financial responsibility. He stated that in his position as a partner in a very successful law firm, he feels BROWN was probably very well compensated. He further stated that he does not know the intricacies of his finances but there are not any difficulties that he knows of.

WILKINSON stated that his company PEBSCO, which was originally started by his father and purchased in 1982 by NATIONWIDE CORPORATION, is the largest administrator of public employee deferred compensation plans in the United States. He further stated that PEBSCO has the largest network of systems to support deferred compensation plans and has contracts all over the country. In addition, he stated his company administers plans only at city and state level, and he has no involvement with federal retirement plans.

WILKINSON stated that long before Mr. BROWN became the chairman of the Democratic National Committee, he was asked to head CAPITAL PEBSCO. WILKINSON stated one of the primary reasons that he was chosen was the fact he was a highly prominent minority and would greatly assist PEBSCO in obtaining contracts in cities with high percentages of minorities. Furthermore, he provided that as an attorney, BROWN was already operating as legal counsel to several cities and had many important contacts.

WILKINSON stated that any time PEBSCO gains a contract to administer a deferred compensation plan, it would have had to prepared a request for proposal (RFP). These RFP'S are submitted by several companies each time a city requests a new administrator or contract. He stated that Mr. BROWN has assisted in the presentations of RFP'S in Philadelphia, New Orleans, Washington, D.C. and several other cities. In each case, PEBSCO was awarded the contract strictly on the merits of the company,

161A-HQ-1042752

Continuation of FD-302 of JAY WILKINSON, On 1-3-93, Page 3

and not because of political lobbying or influence. He further stated that CAPITAL PEBSCO, of which BROWN is President, is incorporated and was created to establish minority presence and to locally administer the deferred compensation plans. He added that CAPITAL PEBSCO is one hundred percent minority owned and is in partnership with PEBSCO on its contract.

Mr. WILKINSON was asked specifically about the hundred million dollar contract that the city of Washington, D.C. awarded PEBSCO and CAPITAL PEBSCO in 1992, and Mr. BROWN'S relationship with Washington, D.C. Mayor SHARON PRATT KELLY. WILKINSON provided again that the RFP was requested by the city of Washington, D.C. when the contract was first initiated in 1985. In 1985, the contract was co-administered by PEBSCO and a corporation called COPELAND ASSOCIATES, INCORPORATED. When the contract was up for renewal in 1992, another RFP was requested by the city and the partnership of CAPITAL PEBSCO and PEBSCO was awarded the contract. WILKINSON stated that contract was awarded solely on the merits of PEBSCO being the largest operator of such funds in the nation, and that its capabilities as a corporation far exceeded those of COPELAND ASSOCIATES, INCORPORATED. He further related that while BROWN knows Mayor KELLY, he believes the association is primarily with her husband. He added while knowing the Mayor certainly couldn't have hurt their chances of getting the contract, BROWN'S prominence as an attorney in the area, and as a highly regarded minority, probably helped more. To WILKINSON'S knowledge, there was no cronyism or impropriety involved in the contract awarded by Washington, D.C. in 1992. WILKINSON stated again that his company's relationship to CAPITAL PEBSCO is one which was created to assist in operating in cities with high minority cross sections. He added that the company CAPITAL PEBSCO, is a joint venture between PEBSCO and CAPITAL PEBSCO and that CAPITAL PEBSCO operates independently and provides oversight and input into operation of deferred compensation plans.

WILKINSON was asked about any conversations that he has had which were business related in the past few months since the new administration was elected. He was also asked about any conflict of interest that he knew of which might arise. WILKINSON stated that in the past two to three months, they have had limited business contact in order to avoid any allegations of conflict of interest. He further added that while Mr. BROWN would certainly be in the position to provide valuable inside

161A-HQ-1042752

Continuation of FD-302 of JAY WILKINSON, On 1-3-93, Page 4

information, all of its contracts have been awarded strictly on the company merits. He also added, in that in the history of PEBSCO, the company would have had several opportunities to have inside information and assistance on obtaining contracts. The philosophy of Mr. WILKINSON, and of his father, has always been to obtain contracts honestly and through the standard RFP process. Mr. WILKINSON also provided that both he, his company, Mr. BROWN personally, and as president of CAPITAL PEBSCO, have never been involved in any unethical dealings.

WILKINSON provided that PEBSCO and CAPITAL PEBSCO are currently having contract problems with the city of Philadelphia. Further allegations have been made in the Philadelphia newspapers of influencing and other improprieties by both companies. He stated that PEBSCO and CAPITAL PEBSCO obtained the Philadelphia contract through the contract negotiation, and no political influencing or cronyism was involved. He added that a suit will be filed January 4, 1993, against the city of Philadelphia and that he is sure that will bring more fruit for discussion about Mr. BROWN pending his confirmation.

WILKINSON stated that he was very pleased to hear that his good friend, RON BROWN, was appointed to a Cabinet level position in the United States government. He further added that he would highly recommend Mr. BROWN for any position that he would be appointed to and that he, a Republican, called his Democratic associates at the highest level to endorse Mr. BROWN.

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE DETROIT	OFFICE OF ORIGIN BUREAU	DATE 1/4/93	INVESTIGATIVE PERIOD 1/4/93
TITLE OF CASE RONALD HARMON BROWN		REPORT MADE BY SA [redacted]	TYPED BY: jja
		CHARACTER OF CASE SPIN BUDED: 1/4/93	

b6
b7C

REFERENCE: Bureau teletype to Detroit, dated 12/31/92.

- RUC -

ADMINISTRATIVE

All individuals contacted were apprised of the provisions of the Privacy Act, and those requesting confidentiality have been so noted.

FBI, Detroit general indices are negative regarding the appointee.

(Fox rec'd 1/4)

APPROVED [signature]	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW						
COPIES MADE: (2) - Bureau (161A-HQ-1042752) 1 - Detroit (C-4) CC DET [signature]								
DISSEMINATION RECORD OF ATTACHED REPORT		Notations: [signature]						
Agency								
Request Recd.								
Date Fwd.								
How Fwd.								
By								

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of:

SA [REDACTED]

Office: DETROIT

b6
b7C

Date:

January 4, 1993

Field Office File #:

Bureau File #: 161A-HQ-1042752

Title:

RONALD HARMON BROWN

Character:

SPECIAL INQUIRY

Synopsis:

Employment verified.

- RUC -

DETAILS:

The following investigation was conducted by SA [REDACTED]

b6
b7C

EMPLOYMENT

WAYNE COUNTY EXECUTIVE OFFICE
Wayne County, Michigan

On January 4, 1993, DAVE KATZ, Chief of Staff, WAYNE COUNTY EXECUTIVE OFFICE (WCEO), Wayne County Building, 600 Randolph, Detroit, Michigan, telephone number (313) 224-0408 advised that in the summer of 1987, the appointee was hired as the legal consultant for WCEO. KATZ advised the appointee's duty was to provide legal consultation on the major expansion at the DETROIT METROPOLITAN AIRPORT (DMA). KATZ stated the appointee helped write and implement the expansion plan at DMA. The appointee also assisted in obtaining proper approval from the various federal agencies, such as the FEDERAL AVIATION ADMINISTRATION (FAA) and DEPARTMENT OF TRANSPORTATION.

KATZ advised the appointee was a senior partner at the law firm of PATTON, BOGGS, and BLOW (PBB). KATZ stated the appointee served as legal consultant to WCEO for approximately five years, and the law firm of PBB is still providing WCEO with legal consultation. KATZ advised that he was the appointee's contact at WCEO. KATZ stated he would first discuss the issues with the appointee and then present them to the WCEO staff. As a result, the appointee had little contact with other WCEO staff members. KATZ advised the appointee had an outstanding rapport with people and was a very effective communicator.

KATZ advised the appointee was a person of good character, and described the appointee as being an outstanding individual who was honest, bright, reliable, and discrete. To the best of KATZ's knowledge the appointee was physically and mentally fit. The appointee was well groomed and always dressed appropriately. KATZ stated he knew the appointee's associates at PBB and they were all individuals of good character.

On a scale of one to ten, with ten being high, KATZ rated the appointee's ability, competency, quality of work, oral communication skills and written communication skill a ten. KATZ advised the appointee has an outstanding reputation, and is one of the top individuals in the legal profession. KATZ stated the appointee handled the stress of working for WCEO and always met his deadlines. The appointee needed no supervision in performing his duties, and was always available for consultations when needed. KATZ advised the appointee is a great leader and the Chairman of the National Democratic Party. KATZ stated the appointee helped lead the Democratic Party to their first presidential party win in twelve years.

KATZ had no reason to believe the appointee had ever used illegal drugs, or abused alcohol or prescription drugs. KATZ advised the appointee appeared to live a lifestyle that was consistent with his financial means, and he had never known the appointee to have financial problems. KATZ had no knowledge of the appointee ever expressing bias or prejudice towards any individual or group based on their race, religion, ethnic background, sex, age, or handicap.

KATZ knew of no reason to question the appointee's loyalty to the United States, and would not consider the appointee a security risk to the government. KATZ had never heard any derogatory statements regarding the appointee, and highly recommended him for a position of trust and confidence with the United States government.

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE MIAMI	OFFICE OF ORIGIN BUREAU	DATE 1/4/93	INVESTIGATIVE PERIOD 12/31/92-1/3/93
TITLE OF CASE RONALD H. BROWN, aka Ron Brown		REPORT MADE BY SA 	TYPED BY: kjh b6 b7C
		CHARACTER OF CASE SPIN	

Reference telcalls of WMFO SSA on 12/31/92 and 1/1/93, and WMFO teletype dated 1/2/93.

b6
b7C

RUC

Administrative

Individual interviewed was apprised of the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

[Handwritten mark]

(Fax rec'd 1/4/93)

APPROVED <i>ASD</i>	SPECIAL AGENT IN CHARGE <i>[Signature]</i>	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: 2 - Bureau (Attn: PSS) SPIN UNIT, ROOM 4371 1 - Miami (161A-HQ-1042752) CC DES <i>[Signature]</i>					
		<i>[Handwritten signature]</i>			
		DISSEMINATION RECORD OF ATTACHED REPORT		Notations	
Agency					
Request Recd.					
Date Fwd.					
How Fwd.					
By					

b6
b7C

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of:

Office: MIAMI

b6
b7C

Date:

January 4, 1993

Field Office File #: 161A-HQ-1042752

Bureau File #: 161A-HQ-1042752

Title:

RONALD H. BROWN

Character:

SPIN

Synopsis:

TIMOTHY J. MAY, Managing Partner of PATTON, BOGGS AND BLOW, was interviewed and advised he is unaware of any lobbying efforts by the appointee on behalf of the law firm since 1989. MAY further advised he had suggested a resignation date for the appointee of December 31, 1992, and he highly recommended appointee for a Presidential appointment.

RUC

Details:

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE NEW ORLEANS	OFFICE OF ORIGIN BUREAU	DATE 1/4/93	INVESTIGATIVE PERIOD 1/2/93 - 1/4/93
TITLE OF CASE RONALD HARMON BROWN, aka Ron Brown		REPORT MADE BY SA 	TYPED BY: krg b6 b7C
		CHARACTER OF CASE SPIN	

REFERENCE:

WMFO teletype to Director and others, dated 1/2/93,
transmitted to New Orleans by facsimile dated 1/2/93.

- RUC -

BUDED: 1/4/93

ADMINISTRATIVE:

Where appropriate, Privacy Act (e) (3) data was
furnished to all persons interviewed and none requested
confidentiality.

All those individuals interviewed with no date of birth
documented necessitated the omission on the basis of professional
determination that to pursue such details would have been
counter-productive or not relevant to the scope of the
investigation or any subsequent review.

(Fax rec'd 1/4)

APPROVED <i>RSS/muo</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: (2) - Bureau (161A-HQ-1042752) (Attn: PSS) SPIN UNIT, ROOM 4371) 2 - New Orleans (161A-HQ-1042752) CC DES <i>[initials]</i>					
		<div style="text-align: right; font-size: 2em; font-family: cursive;"> <i>[Signature]</i> <i>[Signature]</i> </div>			
		DISSEMINATION RECORD OF ATTACHED REPORT		Notations	
Agency					
Request Recd.					
Date Fwd.					
How Fwd.					
By					

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of:

SA [REDACTED]

Office: NEW ORLEANS

b6
b7C

Date:

January 4, 1993

Field Office File #:

161A-HQ-1042752

Bureau File #:

161A-HQ-1042752

Title:

RONALD HARMON BROWN, aka
Ron Brown

Character:

SPECIAL INQUIRY (SPIN)

Synopsis:

Contact with DANIEL N. SILVERMAN, III, Chairman of the Board, CHEMFIX TECHNOLOGIES, INC., 3838 North Causeway Boulevard, Suite 2500, Metairie, Louisiana, facilitated review of the minutes of the Board of Directors, for the period of October 11, 1989 to October 17, 1991. Same review disclosed two Board meetings during the period at which the appointee was present and mention was made of the RENEWABLE EARTH PRODUCTS joint venture with AIR & WATER TECHNOLOGIES. Xerographic copies of the minutes from those meetings, dated August 23, 1990 and January 23, 1991, obtained and set forth herein.

- RUC -

DETAILS:

161A-HQ-1042752
KRG/krg

New Orleans Division
at Metairie, Louisiana

EMPLOYMENT/BUSINESS AFFILIATION

CHEMFIX TECHNOLOGIES, INCORPORATED/
National Environmental Controls
3838 North Causeway Boulevard
Suite 2500
Metairie, Louisiana
504/831-3600
1982 until February, 1992

On January 4, 1993, Special Agents [redacted] and [redacted] conducted the following investigation regarding the appointee, RONALD HARMON BROWN, also known as Ron Brown:

DANIEL N. SILVERMAN, III, Chairman of the Board, CHEMFIX TECHNOLOGIES, INC. (CHEMFIX), was contacted and was advised of the identity of the above-named Special Agents. SILVERMAN made available Minutes of the Board of Directors, CHEMFIX TECHNOLOGIES, INC. from the period October 11, 1989, to October 17, 1991. SILVERMAN stated that the joint venture between CHEMFIX TECHNOLOGIES, INC. and AIR & WATER TECHNOLOGIES, known as RENEWABLE EARTH PRODUCTS, was established the first week of September, 1989. The first Directors meeting thereafter was the October 11, 1989 meeting.

b6
b7C

Review of the minutes for the period stated reflected the following meetings of the Board of Directors: October 11, 1989; May 17, 1990; July 19, 1990; August 23, 1990; October 23, 1990; January 23, 1991; April 29, 1991; July 29, 1991; and October 17, 1991. In this period, the appointee was noted present at the following two meetings: August 23, 1990, and January 23, 1991.



b4
b6
b7C

Copies of the August 23, 1990 and January 23, 1991 CHEMFIX TECHNOLOGIES, INC. Board of Directors meetings are attached and made a part hereof.

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE Los Angeles	OFFICE OF ORIGIN BUREAU	DATE 1/2/93	INVESTIGATIVE PERIOD 12/31/92-1/2/93
TITLE OF CASE RONALD HARMON BROWN, aka Ron Brown		REPORT MADE BY SA 	TYPED BY: nwp
		CHARACTER OF CASE SPIN	b6 b7C

REFERENCE: WMFO teletype to Director dated 12/31/92 and Los Angeles report dated 12/31/92.

- RUC -

ADMINISTRATIVE:

Privacy Act (e) (3) data was furnished to persons interviewed. Express promised of confidentiality, both limited and unlimited, have been noted where granted.

It should be noted that where no date of birth was given for an individual interviewed in connection with this investigation, a professional determination was made that to pursue such detail would have been counterproductive, or was not relevant to the scope of the investigation or any subsequent review.

APPROVED <i>CSA/Am</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: (2) - BUREAU (161A-HQ-1042752) (Attn: SPIN, ROOM 4371) 1 - LOS ANGELES (161A-HQ-1042752) (AP-1) CC DIS					b6 b7C
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency					
Request Recd.					
Date Fwd.					
How Fwd.					
By					A

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of:

SA [REDACTED]

Office: Los Angeles

b6
b7C

Date:

January 4, 1993

Field Office File #:

161A-HQ-1042752

Bureau File #:

161A-HQ-1042752

Title:

RONALD HARMON BROWN; AKA
RON BROWN

Character:

SPIN

Synopsis:

Reference, WILLIAM CARRICK, Political Consultant,
interviewed.

- RUC -

DETAILS:

The following investigation was conducted in
the Los Angeles Division by Special Agent (SA) [REDACTED]
[REDACTED] unless otherwise noted.

b6
b7C

- 1 -

FEDERAL BUREAU OF INVESTIGATION

Date of transcription

1-4-93

WILLIAM CARRICK, DOB, [REDACTED] Political Consultant, [REDACTED] Los Angeles, California, [REDACTED] was advised of the identity of the interviewing agent and the purpose of the interview which was to obtain information regarding the candidate. CARRICK then provided the following information:

b6
b7C

CARRICK has known the candidate socially and professionally since 1979. He met the candidate while working on the 1980 presidential campaign for Senator TED KENNEDY. CARRICK continues to maintain personal contact with the candidate and his family.

Carrick described the candidate as a loyal, honest and dependable individual who has high intelligence, and natural leadership qualities. The candidate has a loving and warm relationship with his wife and family and is well respected by everyone he has worked with. The candidate has always displayed impeccable loyalty to the United States and CARRICK has no knowledge of anything derogatory about the candidate. Additionally, the candidate always associates with individuals of good character and reputation.

During the candidate's position as Chief Counsel to the Senate Judiciary Committee, CARRICK maintained phone contact with the candidate and visited him once in Washington during December, 1980. CARRICK spoke with Senator KENNEDY during this visit and recalled KENNEDY having very high praise for the candidate and his work. CARRICK added that KENNEDY had mentioned wanting the candidate to work for him again in the future.

The candidate has excellent interpersonal skills and has always treated his support staff with the utmost respect and appreciation for their work. CARRICK has never known the candidate to display any racial bias or prejudice towards any individual or group on the basis of sex, race, color, religion, or national origin.

Investigation on 1-4-93 at Los Angeles, CA File # 161A-HQ-1042752

by SA [REDACTED] Date dictated 1-4-93

b6
b7C

161A-HQ-1042752

Continuation of FD-302 of WILLIAM CARRICK, On 1-4-93, Page 2

The candidate possesses good mental and physical health. CARRICK had no knowledge of the candidate ever using illegal drugs, or abusing alcohol or prescription drugs.

The candidate has always been financially responsible with no monetary irregularities. CARRICK recommends the candidate with highest regards for a position of trust with the United States government.

FD-36 (Rev. 3-29-85)

FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ AIRTEL

PRECEDENCE:

☒ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 1/2/93

FM FBI WMFO (161A-HQ-1042752) (P) (A-1)

TO DIRECTOR FBI/IMMEDIATE/

FBI CINCINNATI (COLUMBUS RA)/IMMEDIATE/

FBI MIAMI/IMMEDIATE/

FBI NEW ORLEANS/IMMEDIATE/

BT

UNCLAS

CITE: //3920//

PASS: ATTENTION FBIHQ: SSA SPIN UNIT, ROOM 4371.b6
b7c

SUBJECT: RONALD H. BROWN, AKA RON BROWN; SPIN; (OO: BUREAU);

BUDED: NOON JANUARY 4, 1993 WITHOUT FAIL.

REFERENCE BUREAU AIRTEL TO WMFO, DATED 12/23/92.

BUREAU HAS BEEN REQUESTED BY THE OFFICE OF THE PRESIDENT
ELECT TO CONDUCT AN EXPEDITE LEVEL I BACKGROUND INVESTIGATION
OF APPOINTEE. APPOINTEE WAS BORN AUGUST 1, 1941, AT
WASHINGTON, D.C., RESIDES AT 2722 UNICORN LANE, NORTHWEST,

Approved: _____ Original filename: _____

Time Received: _____ Telprep filename: _____

MRI/JULIAN DATE: _____ ISN: _____

FOX DATE & TIME OF ACCEPTANCE: _____

^PAGE 2 WMFO 161A-HQ-1042752 UNCLAS

WASHINGTON, D.C., AND HAS A SOCIAL SECURITY ACCOUNT NUMBER OF 095-32-5852.

FIELD OFFICES ARE REMINDED THAT THE INVESTIGATIVE STATUS OF A BACKGROUND INVESTIGATION, ALL DEADLINES AND POSITIONS FOR WHICH THE APPOINTEE IS BEING CONSIDERED SHALL NOT BE DISCLOSED TO ANY INTERVIEWEES. IF DURING THE COURSE OF THE INVESTIGATION, INTERVIEWEES PROVIDE THE NATURE OF THE POSITION, THAT INFORMATION IS NOT TO BE FURTHER DIVULGED OUTSIDE THE FBI. INQUIRIES BY INTERVIEWEES CONCERNING THE NATURE OF THE POSITION, ARE TO BE HANDLED AS SET FORTH IN MIOG, PART II, SECTION 17-5(5). APPOINTEES MAKING INQUIRIES REGARDING THE STATUS OF THEIR BACKGROUND INVESTIGATION ARE TO BE REFERRED TO THE CLIENT AGENCY.

RESULTS/QUESTIONS SHOULD BE DIRECTED TO PSS [REDACTED] SPIN UNIT, FBIHQ, ROOM 4371. IF NOON BUDED WILL NOT BE MET, TELEPHONICALLY ADVISE PSS [REDACTED] AND SET FORTH REASONS IN THE ADMINISTRATIVE SECTION OF INVESTIGATIVE REPORT.

b6
b7c

FOR THE GENERAL INFORMATION OF RECEIVING OFFICES, THE BASIS OF THE INTERVIEWS SET FORTH IN THIS COMMUNICATION RELATE TO PRESS AND MEDIA ALLEGATIONS OF CRONYISM AND THE APPEARANCE

^PAGE 3 WMFO 161A-HQ-1042752 UNCLAS

OF CONFLICT OF INTEREST EVOLVING FROM THE APPOINTEE'S POSITION AS CHAIRMAN OF THE DEMOCRATIC NATIONAL COMMITTEE (DNC), PARTNERSHIP IN A PROMINENT D.C. LAW FIRM, AND PRIVATE BUSINESS INTERESTS. IT IS NOTED THAT THE DNC IS A PRIVATE ENTITY AND THAT THE CHAIRMAN (BROWN) IS NOT A GOVERNMENT OFFICIAL SUBJECT TO FEDERAL CONFLICT OF INTEREST LAWS.

ADDITIONALLY, IT IS NOTED THAT THE CENTER FOR PUBLIC INTEGRITY (CPI) REFERRED TO IN THIS COMMUNICATION IS A PUBLIC "WATCH DOG" ORGANIZATION WHICH FOCUSES ON ETHICAL ISSUES AND LOBBYING ISSUES IN WASHINGTON, D.C. THE APPOINTEE HAS DECLINED TO BE INTERVIEWED BY CPI, BUT [REDACTED] [REDACTED] OF THE FIRM HAS RESPONDED TO QUESTIONS RAISED BY CPI. ACCORDINGLY, HIS COMMENTS ARE SOLICITED.

b6
b7C

FOR INFORMATION OF THE CINCINNATI DIVISION, CAPTIONED APPOINTEE IS PRESENTLY CHAIRMAN OF THE DEMOCRATIC NATIONAL COMMITTEE (DNC), WASHINGTON, D.C. APPOINTEE IS ALSO OWNER AND PRESIDENT OF CAPITAL PEBSCO WHICH IS INVOLVED IN A JOINT VENTURE WITH PEBSCO, INCORPORATED (INC.), COLUMBUS, OHIO. PEBSCO IS A WHOLLY OWNED SUBSIDIARY OF NATIONWIDE CORPORATION, A COLUMBUS, OHIO, MUTUAL FUND MANAGER AND HOLDING COMPANY WITH

PAGE 4 WMFO 161A-HQ-1042752 UNCLAS

INTERESTS IN INSURANCE, HEALTH INSURANCE INVESTMENT, AND UNEMPLOYMENT AND WORKERS COMPENSATION COST CONTROL SERVICES.

PEBSCO, INC. IS ONE OF THE OLDEST AND LARGEST ADMINISTRATORS OF PUBLIC EMPLOYEE DEFERRED COMPENSATION PLANS IN THE UNITED STATES. PEBSCO ARE THE RECORD KEEPERS AND MARKETERS OF THE COMPENSATION PLANS.

IN FEBRUARY, 1992, THE DISTRICT OF COLUMBIA AWARDED A CONTRACT ESTIMATED TO BE WORTH \$700,000 TO CAPITAL PEBSCO. FROM 1985, WHEN CAPITAL PEBSCO WAS FOUNDED, UNTIL 1992, CAPITAL PEBSCO CO-ADMINISTERED THE DISTRICT OF COLUMBIA'S PUBLIC EMPLOYEE DEFERRED COMPENSATION PLAN WITH COPELAND ASSOCIATES, INC. HOWEVER, WHEN THE CONTRACT CAME UP FOR RENEWAL IN 1992, SOLE RESPONSIBILITY FOR MORE THAN \$100 MILLION IN FUNDS WAS AWARDED TO CAPITAL PEBSCO AND PEBSCO.

THE CONTRACT AWARD PROMPTED AN OFFICIAL PROTEST FROM COPELAND ASSOCIATES, INC. BEFORE THE DISTRICT OF COLUMBIA CONTRACT APPEALS BOARD ASSERTING THAT CAPITAL PEBSCO LACKED THE CAPACITY TO PERFORM ANY OF THE SERVICES CALLED FOR UNDER THE RFP AND NEVER PERFORMED ANY MEANINGFUL SERVICES UNDER THE

PAGE 5 WMFO 161A-HQ-1042752 UNCLAS

EXISTING CONTRACT. THE COMPLAINT WAS ULTIMATELY DISMISSED BY THE DISTRICT OF COLUMBIA CONTRACT APPEALS BOARD.

VARIOUS PRESS ACCOUNTS HAVE QUOTED [REDACTED] FOR PEBSCO (ADDRESS UNKNOWN), AS STATING THAT THE APPOINTEE BECAUSE OF HIS PROMINENCE IS A PERSON WHO PEBSCO BELIEVES HAS TREMENDOUS CREDIBILITY IN THE DISTRICT OF COLUMBIA AND IS FAMILIAR WITH THE TERRITORY.

b6
b7C

DURING THE INTERVIEW OF THE APPOINTEE ON DECEMBER 31, 1992, HE ACKNOWLEDGED THAT ON DECEMBER 2, 1991, HE PERSONALLY APPEARED BEFORE THE DISTRICT OF COLUMBIA'S SELECTION COMMITTEE AND GAVE A PRESENTATION ON BEHALF OF HIS COMPANY, CAPITAL PEBSCO. THE APPOINTEE WAS ACCOMPANIED BY [REDACTED] [REDACTED] OF CAPITAL PEBSCO, AND [REDACTED] OF PEBSCO, COLUMBUS, OHIO.

b6
b7C

THE APPOINTEE MAINTAINED DURING INTERVIEW THAT HE HAS NOT MADE CONCERTED EFFORTS OR ASSISTED PEBSCO IN LANDING CONTRACTS IN OTHER CITIES AROUND THE COUNTRY ESPECIALLY SINCE HE HAS ASSUMED HIS DUTIES AS DNC CHAIRMAN IN FEBRUARY, 1989. THIS ASSERTION BY THE APPOINTEE IS CONTRARY TO VARIOUS PRESS ACCOUNTS WHICH QUOTE PEBSCO OFFICIALS AS SAYING THAT THE

^PAGE 6 WMFO 161A-HQ-1042752 UNCLAS

APPOINTEE IS SOMEONE WHO CAN "OPEN THE DOOR" AND "LEND CREDIBILITY" WHEN PEBSCO SOLICITS BUSINESS IN A NEW JURISDICTION.

FOR INFORMATION OF THE MIAMI DIVISION, THE APPOINTEE HAS BEEN A PARTNER AT PROMINENT WASHINGTON, D.C. LAW FIRM, PATTON, BOGGS AND BLOW SINCE 1981. [REDACTED] OF PATTON, BOGGS AND BLOW AND APPARENTLY WELL ACQUAINTED WITH THE APPOINTEE.

b6
b7C

IN A RECENT REPORT DETAILING THE HISTORY OF THE DNC/RNC CHAIRMANSHIP, THE CENTER FOR PUBLIC INTEGRITY (CPI), WASHINGTON, D.C., NOTED THAT THE APPOINTEE AS DNC CHAIRMAN HAS ATTENDED WEEKLY MEETINGS IN THE UNITED STATES CAPITOL WITH AN IMPORTANT GROUP OF DEMOCRATIC HOUSE MEMBERS. THE PURPOSE OF THESE MEETINGS ARE TO DISCUSS LEGISLATIVE STRATEGY, GO OVER THE UPCOMING HOUSE AGENDA, AND TO REVIEW VOTE COUNTS BEING KEPT BY EACH HOUSE DEPUTY WHIP. ACCORDING TO THE REPORT, THESE MEETINGS INVOLVE CANDID POLITICAL DISCUSSIONS WHICH IF IMPARTED TO A LOBBYIST IS CONSIDERED VERY VALUABLE INSIDER INFORMATION AND WORTH THOUSANDS OF DOLLARS TO A CLIENT.

^PAGE 7 WMFO 161A-HQ-1042752 UNCLAS

IT SHOULD BE NOTED THAT PATTON, BOGGS AND BLOW IS ONE OF WASHINGTON, D.C.'S MOST POWERFUL LAW AND LOBBYING FIRMS. IT EMPLOYS APPROXIMATELY 200 LAWYERS AND IS BEST KNOWN FOR ITS ACCESS AND INFLUENCE WITHIN THE DEMOCRATIC PARTY. PURPORTEDLY, THE LAW FIRM HAS APPROXIMATELY 1500 ACTIVE CLIENTS AND IT IS KNOWN FOR THE DIVERSE AND SOMETIMES CONTROVERSIAL CLIENTELE IT ATTRACTS.

IT HAS BEEN REPORTED IN THE PRINT MEDIA THAT SINCE BECOMING THE DNC CHAIRMAN IN 1989, THE APPOINTEE HAS CONTINUED TO RECEIVE INCOME FROM PATTON, BOGGS AND BLOW AND ALSO SOLICITED GOVERNMENT BUSINESS FOR BOTH HIS LAW FIRM AND FOR HIS OWN COMPANIES. DURING FBI INTERVIEW, THE APPOINTEE MAINTAINED THAT SINCE 1989, HE HAS NOT LOBBIED AND HAD PERFORMED VERY LITTLE LEGAL PRACTICE. HOWEVER [REDACTED] WAS QUOTED [REDACTED] THAT THE APPOINTEE CONTINUES TO DRAW A SALARY FROM THE LAW FIRM.

b6
b7c

WITH RESPECT TO THE APPOINTEE'S LEGAL PRACTICE, THE APPOINTEE ACKNOWLEDGED DURING AN INTERVIEW THAT HE HAS REPRESENTED FOREIGN CLIENTS OVER THE YEARS. THE APPOINTEE PRESENTLY REPRESENTS THROUGH THE LAW FIRM, VCR COMPANIES

^PAGE 8 WMFO 161A-HQ-1042752 UNCLAS

COALITION, WHICH CONSISTS OF 25 AMERICAN SUBSIDIARIES OF JAPANESE ELECTRONIC FIRMS; SONY CORPORATION OF AMERICA AND THE EMBASSY OF JAPAN.

IN ADDITION TO THE CAPITAL PEBSCO CONTRACT, DESCRIBED EARLIER, THE APPOINTEE THROUGH HIS LAW FIRM LANDED A LUCRATIVE CONTRACT AS BOND COUNSEL FOR THE DISTRICT OF COLUMBIA IN MID-1991. ALTHOUGH UNSUBSTANTIATED, THE LAW FIRM IS EXPECTED TO MAKE MORE THAN \$180,000 DURING THE CONTRACT PERIOD. [] WAS QUOTED [] AS SAYING "I DON'T THINK THE FACT THAT HE (BROWN) WAS CHAIRMAN HAD ANYTHING TO DO WITH IT, I THINK IT WAS THE FACT THAT IT WAS RON BROWN AND HE IS A PROMINENT DEMOCRAT AND AN OLD FRIEND OF THE MAYOR'S".

b6
b7c

(REFERRING TO MAYOR SHARON PRATT KELLY OF THE DISTRICT OF COLUMBIA). ACCORDING TO [] THE "BOND BUSINESS IS GOING TO BE HANDED OUT TO PEOPLE WHO ARE YOUR POLITICAL FRIENDS". [] ALSO [] THAT "I DOUBT WITHOUT RON'S PRESENCE, WE WOULD HAVE GOTTEN THE JOB".

IT SHOULD BE NOTED THAT DURING THE INTERVIEW, THE APPOINTEE ACKNOWLEDGED THAT HE IS AN ACQUAINTANCE OF THE MAYOR AND ALTHOUGH HE HAD NO SPECIFIC RECOLLECTION, HE MAY HAVE

^PAGE 9 WMFO 161A-HQ-1042752 UNCLAS

MENTIONED HIS INTEREST IN LANDING THE BOND COUNSEL CONTRACT TO MAYOR KELLY.

INVESTIGATION BY WMFO HAS ALSO DETERMINED THAT THE APPOINTEE WAS UNTIL RECENTLY A MEMBER OF THE BOARD OF DIRECTORS AND MAJOR STOCKHOLDER IN CHEMFIX TECHNOLOGIES, INCORPORATED, METAIRE, LOUISIANA.

ACCORDING TO NUMEROUS PRESS ACCOUNTS, NEW YORK CITY AWARDED A \$210 MILLION CONTRACT TO CHEMFIX TECHNOLOGIES, AND AIR AND WATER TECHNOLOGIES CORPORATION IN APRIL, 1990, TO CHEMICALLY CONVERT SLUDGE INTO A CLAY LIKE SUBSTANCE TO BE USED IN LANDFILLS.

DURING FBI INTERVIEW, THE APPOINTEE ACKNOWLEDGED THAT HE IS A LIFELONG AND CLOSE FRIEND OF NEW YORK MAYOR DINKINS. THREE MONTHS AFTER CHEMFIX WAS AWARDED A NEW YORK CITY CONTRACT, THE APPOINTEE ANNOUNCED THAT NEW YORK CITY WOULD BE THE CITE OF THE 1992 DEMOCRATIC NATIONAL CONVENTION, AN HONOR THAT WAS FINANCIALLY LUCRATIVE TO THE HOST CITY AND HIGHLY SOUGHT BY SEVERAL CITIES THROUGHOUT THE UNITED STATES.

FOLLOWING THIS THERE HAVE BEEN NUMEROUS PRESS REPORTS AND ALLEGATIONS OF IMPROPRIETY OR THE APPEARANCE OF IMPROPRIETY ON

^PAGE 10 WMFO 161A-HQ-1042752 UNCLAS

THE PART OF DINKINS AND THE APPOINTEE. HOWEVER, THE APPOINTEE HAS EMPHATICALLY DENIED THAT HE SELECTED NEW YORK CITY TO HOST THE DEMOCRATIC CONVENTION IN ORDER TO HELP CHEMFIX TECHNOLOGIES. FURTHERMORE, THE APPOINTEE DENIED THE PRESS ALLEGATIONS OR THAT HE LOBBIED MAYOR DINKINS IN BEHALF OF NEW YORK CITY CHEMFIX CONTRACT.

LEADS. CINCINNATI. AT COLUMBUS, OHIO.

WILL INTERVIEW [REDACTED] PEBSCO (STREET ADDRESS UNKNOWN), COLUMBUS, OHIO, TELEPHONE NUMBER [REDACTED]

[REDACTED] REGARDING HIS PROFESSIONAL RELATIONSHIP WITH THE APPOINTEE. SPECIFICALLY, COLUMBUS RA SHOULD QUESTION

[REDACTED] ABOUT APPOINTEE AND WHAT IF ANY POLITICAL INFLUENCE APPOINTEE USED TO LAND INITIAL PEBSCO CONTRACT IN THE DISTRICT OF COLUMBIA (APPROXIMATELY 1985) AND A RENEWAL OF THAT

CONTRACT IN FEBRUARY, 1992. [REDACTED] SHOULD BE ASKED WHETHER HE HAS ANY KNOWLEDGE OF THE APPOINTEE SOLICITING THE MAYOR OF THE DISTRICT OF COLUMBIA OR ANY OTHER HIGH LEVEL DISTRICT OF COLUMBIA OFFICIALS AND THE EXTENT OF THE APPOINTEE'S SOLICITATION REGARDING THE PEBSCO CONTRACT. IN ADDITION,

[REDACTED] SHOULD BE QUESTIONED ABOUT PEBSCO'S USE OF THE

b6
b7c

^PAGE 11 WMFO 161A-HQ-1042752 UNCLAS

APPOINTEE AND HIS POLITICAL PROMINENCE TO LAND OTHER CITY
AND/OR MUNICIPAL CONTRACTS FOR PEBSCO.

MIAMI. AT MIAMI, FLORIDA.

WILL INTERVIEW [REDACTED] LAW FIRM OF
PATTON, BOGGS AND BLOW, WASHINGTON, D.C., REGARDING THE
FOLLOWING ISSUES:

b6
b7C

A) [REDACTED] KNOWLEDGE OF ANY LOBBYING ACTIVITIES BY
APPOINTEE SINCE FEBRUARY, 1989, ON BEHALF OF PATTON, BOGGS AND
BLOW CLIENTELE.

B) [REDACTED] KNOWLEDGE OF THE APPOINTEE'S LEGAL PRACTICE
SINCE FEBRUARY, 1989, AND WHETHER THE APPOINTEE HAS SPENT
SIGNIFICANT TIME PRACTICING LAW. NOTE: MIAMI DIVISION SHOULD
ATTEMPT TO HAVE [REDACTED] PLACE A PERCENTAGE APPROXIMATION ON TIME
THAT THE APPOINTEE HAS SPENT ON LAW FIRM MATTERS VERSUS DNC
BUSINESS OVER THE LAST FOUR YEARS. INQUIRE AS TO THE
STRUCTURE OF BROWN'S FINANCIAL COMPENSATION BY THE FIRM (I.E.,
FROM PARTNERSHIP OR BASIS OF CLIENT WORK).

b6
b7C

C) [REDACTED] KNOWLEDGE OF THE BOND COUNSEL CONTRACT WITH THE
DISTRICT OF COLUMBIA AND THE INVOLVEMENT OF APPOINTEE IN
OBTAINING THAT CONTRACT. MIAMI SHOULD SPECIFICALLY ASK [REDACTED] IF

b6
b7C

^PAGE 12 WMFO 161A-HQ-1042752 UNCLAS

THE APPOINTEE LOBBIED ANY HIGH LEVEL DISTRICT OF COLUMBIA OFFICIALS INCLUDING MAYOR KELLY.

D) [] KNOWLEDGE OF APPOINTEE'S INVOLVEMENT, IF ANY, IN THE NEW YORK CITY CHEMFIX CONTRACT AS ALLEGED IN PRESS ACCOUNTS. MIAMI SHOULD DETERMINE IF [] HAD ANY CONVERSATIONS WITH APPOINTEE OR ANYONE ELSE ABOUT THE CHEMFIX NEW YORK CITY CONTRACT. DETERMINE IF LAW FIRM OF PATTON, BOGGS AND BLOW REPRESENTED CHEMFIX REGARDING NEW YORK CITY CONTRACT AND WHETHER APPOINTEE HAD ANY KNOWLEDGE OF LAW FIRM'S INVOLVEMENT. DETERMINE IF [] HAS ANY KNOWLEDGE OF ARRANGEMENT BETWEEN MAYOR DINKINS AND APPOINTEE WHEREIN DINKINS WOULD AWARD CHEMFIX THE NEW YORK CITY CONTRACT IN RETURN TO APPOINTEE AWARDED NEW YORK CITY RIGHT TO HOST 1992 DEMOCRATIC NATIONAL CONVENTION.

b6
b7C

MIAMI CONDUCT ROUTINE BACKGROUND INTERVIEW OF [] WITH RESPECT TO CHARACTER, ASSOCIATES, REPUTATION, LOYALTY, AND A RECOMMENDATION.

NOTE: [] CONTACT POINT IN MIAMI, FLORIDA, HAS BEEN PREVIOUSLY PROVIDED TO MIAMI DIVISION.

b6
b7C

NEW ORLEANS. AT METAIRE, LOUISIANA.

^PAGE 13 WMFO 161A-HQ-1042752 UNCLAS

WILL CONTACT

CHEMFIX

b6
b7C

TECHNOLOGIES, INC. (STREET ADDRESS UNKNOWN), METAIRE, LOUISIANA, AND REQUEST THAT AN AGENT REVIEW OF AND/OR OBTAIN COPIES OF ALL MINUTES OF CHEMFIX BOARD MEETINGS FROM MID-1989 UNTIL MID-1990, TO DETERMINE IF CHEMFIX NEW YORK CITY CONTRACT WAS DISCUSSED AND WHETHER THE APPOINTEE WAS PRESENT FOR ANY SUCH DISCUSSIONS. IF POSSIBLE, NEW ORLEANS SHOULD OBTAIN COPIES OF ANY PERTINENT BOARD MINUTES AND FURNISH SAME TO FBIHQ EXPEDITIOUSLY.

RECEIVING OFFICES SHOULD NOTE THAT ALL INVESTIGATIVE LEADS ARE TO BE CONDUCTED AND RESULTS REPORTED TO FBIHQ BY NOON, JANUARY 4, 1993, WITHOUT FAIL.

BT

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE NEW YORK	OFFICE OF ORIGIN BUREAU	DATE 1/2/93	INVESTIGATIVE PERIOD 12/30-31/92
TITLE OF CASE RONALD HARMON BROWN aka RON BROWN		REPORT MADE BY <div style="border: 1px solid black; height: 15px; width: 150px;"></div>	TYPED BY: TXS
		CHARACTER OF CASE SPECIAL INQUIRY	

b6
b7C

Referencing Bureau teletype to New York, dated December 23, 1992.

RUC ADMINISTRATIVE: It is to be noted that all individuals interviewed were apprised of provisions of the Privacy Act of 1974 and any individual desiring confidentiality are so noted.

On December 30, 1992 a review of the NYO General Indices, Elsur Indices and Cifu Indices reveal no derogatory information regarding appointee and his family.

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW						
COPIES MADE: 1 - NY (161-HQ-1042752) 2 - Bureau (2)								
		DISSEMINATION RECORD OF ATTACHED REPORT		Notations <div style="text-align: right; font-size: 2em; margin-top: 20px;">Bel</div>				
Agency								
Request Recd.								
Date Fwd.								
How Fwd.								
By								

A*
COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of:

SA [REDACTED]

Office: NEW YORK

b6
b7C

Date:

January 2, 1993

Field Office File #: 161-HQ-1042752

Bureau File #: 161-HQ-1042752

Title:

RONALD HARMON BROWN aka RON BROWN

Character:

SPECIAL INQUIRY

Synopsis:

| Appointee's former employments, where records exist, verified with no derogatory information. Appointee's references interviewed and recommend. Arrest check re appointee negative. Appropriate law enforcement agencies contacted re appointee and results set forth.

1*

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

JAN 2 '93 12:17

3353416 PAGE.010

161-HQ-1042752

NEW YORK CITY DEPARTMENT OF HUMAN SERVICES
250 Church Street
New York, NY

On 12/30/92, JANE THOMPSON, Personnel Department advised records of former employees for the above city agency are only maintained approximately 10 years, thus there are no written records to verify the appointee's listed former employment with the above city agency from 1962-63 as a case worker.

NATIONAL URBAN LEAGUE
500 East 62nd Street
New York, NY

On 12/31/92, JOAN LUCAS, Personnel Section advised the appointee was formerly employed by the above agency. She stated BROWN, DOB 8/1/41 at Washington, D.C. began employment with the National Urban League 5/18/67 as a Trainee Administrator and Job Developer. She noted BROWN was employed in the New York branch of the National Urban League at that time and on December 1, 1967 was promoted to Assistant to Vice President. She explained the appointee was promoted 1/1/70 to Youth Program Administrator and Assistant Director of Administration. She noted in 1971 he was promoted to Director of Vice President for Washington, D.C. operations of the National Urban League. Exact date not listed. She noted on 4/13/73 the title of General Counsel was added to the appointee's record as well. She stated on June 1, 1973 BROWN was transferred to the National Urban League Washington, D.C. office where he assumed the title of Vice President of Operations. She explained he remained at that location until December 14, 1979 when he resigned from the National Urban League. She explained all work ratings were satisfactory and there was nothing of a derogatory nature noted on his file. She stated his record was clean and explained his listed home residence while he was in New York was 559 North Columbus Avenue, Mount Vernon, New York and his last listed residence in Washington, D.C. was 7935 Orchid Street, Washington, D.C. She noted she did not remember the appointee inasmuch as she came to the National Urban League after he departed.

On December 31, 1992, FRANK LOMAX, Executive Vice President advised he has been in the New York office of the National Urban League since 1985. He noted however he formerly was affiliated with the National Urban League in Columbus, Ohio and came in contact with BROWN when BROWN was still employed by the National Urban League. He explained he was always impressed by the demeanor and intelligence of the appointee. He stated he never witnessed any illegal drug use, alcohol abuse or prescription drug abuse by the appointee. He stated to the best of his knowledge the appointee lived within his financial means and never exhibited an extravagant lifestyle. He felt the appointee's honor and integrity were beyond reproach and noted he enjoyed a fine degree of respect from his fellow peers at the National Urban League. He noted BROWN has become a recognizable figure in Washington politics as evidenced by his fine work in the Civil Rights Movement. He stated the appointee is an excellent attorney and functioned as a lawyer for the National Urban League as well. He explained he still keeps in contact from time to time with BROWN and noted BROWN for over 10 years has been affiliated with a prestigious law firm in Washington, D.C. He stated the appointee treats all people equally and fairly and explained he has never heard BROWN utter any comments

which might be construed as bias or prejudice because of race, color, creed or ethnic background. He stated the appointee is a loyal American citizen and based on past observations will have no hesitancy in giving him a fine recommendation for a White House appointment. He added he could recommend no other current individuals who are presently available for comment regarding appointee due to the holiday.

On December 30, 1992, Dr. HAROLD DELANEY, [REDACTED] [REDACTED] advised he has known the appointee for over 20 years. He explained he initially met BROWN when the appointee was working for the National Urban League in NYC. He explained BROWN lived on Columbus Avenue in Mount Vernon, New York [REDACTED]

b6
b7C

He stated he saw the appointee in social settings inasmuch as both were avid tennis players. He noted their wives were good friends and had remained so down through the years. He explained he "DELANEY" is a physician and never utilized or saw the appointees legal skills in action. He explained his reputation was excellent and he was well thought of in his capacity at the National Urban League. He explained he never witnessed any illegal drug use, alcohol abuse or prescription drug abuse by BROWN. He stated the appointee seemingly lives within his financial means and he never witnessed any examples of an extravagant lifestyle having been practiced by the appointee. He noted the appointee has always treated people equally and fairly and he has never heard BROWN utter any comments which might be construed as bias or prejudice because of race, color, creed or ethnic background. He explained the appointee is a loyal American citizen and he never heard Brown utter any comments which might be termed anti-government. He felt the appointee's honor and integrity were beyond reproach and noted no breath of scandal has been whispered concerning the appointee. He stated the appointee's honor and character are all unsalable and noted he still sees and talks to the appointee on a regular basis. He explained he has considered BROWN one of his best friends throughout the years and would have no hesitancy whatsoever in recommending the appointee for a position of trust and responsibility with the federal government.

MISCELLANEOUS

On December 31, 1992, SA [] contacted the EASTERN DISTRICT OF NEW YORK'S UNITED STATES ATTORNEY'S OFFICE, Criminal Division, in order to review the criminal cases found to be possibly identifiable with the candidate, RONALD HARMON BROWN. GAIL SMITH, Criminal Docket Clerk, reviewed the three files found under the name: RONALD BROWN. The three cases numbered: 910 0702, 910 4778, and 91M 0469, were reviewed, and found to be not-identifiable or associated with the candidate in any fashion.

b6
b7c

161-HQ-1042752

On December 30, 1992 a review of records at the Mount Vernon Police Department, Mount Vernon, New York revealed no file identifiable for RONALD HARMON BROWN.

On December 31, 1992 BONNIE LOFUNTORI, Credit Department, Lord and Taylor Department Store, 424 5th Avenue, New York, NY advised the appointee did in fact open a credit account with the above store. She stated BROWN, SSAN 095-32-5852 opened a credit account in 1970. She noted however the account number 14655764 is not being carried active inasmuch as there was no usage of the account in 32 months. She explained if an account is not used during that period of time it is taken off the computer. She stated there was nothing of a derogatory nature noted on the applicant's credit record and he will eligible for a "instant account" which would reinstate his current credit account with Lord and Taylor. She explained there was nothing of a derogatory nature noted on their file concerning the appointee and his listed home residence when he opened the account was 559 North Columbus Avenue, Mount Vernon, New York.

MISCELLANEOUS

On December 31, 1992, SA [] contacted STEVEN GOLD, General Counsel, NEW YORK CITY DEPARTMENT OF INVESTIGATION, 80 Maiden Lane, New York, New York, regarding the candidate, RONALD HARMON BROWN, and CHEMFIX TECHNOLOGIES. GOLD reported that to date, the NEW YORK CITY DEPARTMENT OF INVESTIGATION has received two complaints against CHEMFIX TECHNOLOGIES.

b6
b7c

One allegation reported a suspected leak of information which revealed that CHEMFIX TECHNOLOGIES was a finalist in the bidding for a city contract for sludge removal. As a result of the suspected leak of information, the stock price rose considerably. GOLD also indicated that a certain stock broker in Texas seemed to have the aforementioned information regarding CHEMFIX TECHNOLOGIES before it was publicly announced.

The second allegation questioned whether there was political influence used by CHEMFIX TECHNOLOGIES, the candidate, and other investors, in order to increase CHEMFIX TECHNOLOGIES' chances to receive the city contract for sludge removal.

The investigation of CHEMFIX TECHNOLOGIES, and the above allegations, has been handled jointly by the US POSTAL INSPECTORS, GREG RADIGAN'S unit; the US ATTORNEY'S OFFICE, SOUTHERN DISTRICT OF NEW YORK, DAVID LAWRENCE, Public Corruption Unit; and the NY CITY DEPARTMENT OF INVESTIGATION, ALEX ZIGMAN and STEVEN GOLD, General Counsel. There has been no adverse conclusion reached against either CHEMFIX TECHNOLOGIES or the candidate regarding this matter. There has been no evidence uncovered regarding the candidate to substantiate any wrong-doing associated with the project in question, or the above allegations.

161A-HQ-1042752
FJC:fjc
Re:Ronald H. Brown

MANHATTAN DISTRICT ATTORNEY'S OFFICE

Manhattan District Attorney's Office
Special Prosecution Office
1 Hogan Place
New York, New York

On 12/31/92, DEBORAH TOOMER, Legal Assistant, was interviewed by SA [REDACTED] TOOMER provided the following information:

b6
b7C

She advised that she checked both closed and open files for both civil and criminal matters regarding CHEMFIX TECHNOLOGIES and RONALD H. BROWN, Director. To the best of her knowledge, all checks revealed negative results regarding CHEMFIX TECHNOLOGIES and RONALD H. BROWN as Director.

TOOMER advised that there are on-going investigations that hold a privileged status and that she is not authorized to release any information without a formal letter or a subpoena. TOOMER referred SA [REDACTED] to Assistant Chief Investigator, JOSEPH PENNISI. She advised that PENNISI could provide information regarding current investigations that are considered privileged information.

b6
b7C

On 12/31/92, JOSEPH PENNISI, Assistant Chief Investigator, was interviewed by SA [REDACTED] PENNISI provided the following information:

PENNISI advised that a search of the Fraud Bureau's records revealed negative results.

PENNISI advised that a search of the Racket Bureau's records revealed that CHEMFIX was served several subpoenas for records regarding New York Sludge Contracts. He advised that RONALD H. BROWN was never a subject or a target of their investigations. He referred SA [REDACTED] to DANIEL CASTLEMAN, Chief of Racket's Bureau and OWEN HEIMER, Assistant District Attorney, for further information.

b6
b7C

On 12/31/92, DANIEL CASTLEMAN, Chief of Racket's Bureau, was interviewed by SA [REDACTED] CASTLEMAN provided the following information:

161A-HQ-1042752
FJC:fjc
Re:Ronald H. Brown

CASTLEMAN advised that CHEMFIX was served subpoenas for records regarding Sludge Contracts and that RONALD H. BROWN was not a subject or a target of their investigations. He further advised that OWEN HEIMER is the attorney who is handling this case is currently on vacation and will back on Monday, January 4, 1992.

161A-HQ-1042752
RE: RONALD H. BROWN

SECURITY EXCHANGE COMMISSION

SECURITY EXCHANGE COMMISSION
75 Park Place
New York, New York

On 12/31/92, JAMES O'DONNELL, Branch Chief of
Enforcement Bureau, was interviewed by SA [REDACTED]

b6
b7C

O'DONNELL stated that CHEMFIX TECHNOLOGIES is under investigation for possible insider-trading activities. He advised that the investigation is "nearly completed" and that no enforcement action will be taken against any company or person regarding this matter. He advised that RONALD H. BROWN was never a subject or a target of their investigation.

On December 31, 1992 QUILL HEALY, President and CEO, Sedgwick James Inc., 1285 Avenue of the Americas, New York, NY advised he has known the appointee approximately 3 years. He explained he initially met BROWN through mutual business associates in the New York City business community. He explained the appointee was currently working for the Washington, D.C. law firm of Patton, Boggs and Blow. He explained Sedgwick and James is a firm involved in insurance banking coverage and noted there were several bits of legislation they wanted to see passed in Washington. He explained he saw the appointee approximately 4-6 times during the next three years at various planning stage meetings. He stated the appointee was to try and help through the use of his law firm and contacts to get some legal help on a bill in Congress concerning the insurance coverage industry. He noted the appointee's law firm is well respected for their ability to bring various issues to the attention of lawmakers in Washington, D.C. He stated with the exception of one meeting in Florida all meetings with the appointee were in New York City. He stated he knew the appointee on a professional context only and did not socialize with him after hours. He explained the appointee never exhibited any illegal drug use nor was he aware of any alcohol abuse or prescription drug abuse. He felt the appointee seemingly lived within his financial means and noted he never witnessed any examples of an extravagant lifestyle having been practiced by BROWN. He stated the appointee was an honorable and trustworthy individual, one who seemingly enjoyed a high degree of respect in the Washington, D.C. legal community. He noted he was impressed by the appointee's honor and integrity and noted he has never heard that questioned whatsoever. He explained the appointee had the prestige of chairing the Democratic National Committee, but noted he was not aware of any incidents or examples of the appointee utilizing his position with the DNC to conduct any business transactions which could be characterized as less than honorable. He felt the appointee's integrity was certainly above reproach. He noted the appointee seemingly is a loyal American and he never heard BROWN question the American form of government. He stated the appointee seemingly treats all people equally and fairly and explained he has never heard BROWN utter any statements which might be termed anti-government. He felt all involvement with BROWN has been above reproach and stated he had nothing but praise to use to characterize BROWN's character and demeanor. He added, based on past observations he knew of no reason not to recommend BROWN for a position of trust and responsibility with the Federal Government.

On December 31, 1992, ALLAN ROSENBLOOM, Chief Legal Counsel advised he has known the appointee approximately 3 years. He explained he initially met BROWN when Sedgwick James Inc., an insurance coverage company was looking for several individuals with influence in Washington. He stated they were looking for someone who might provide some sort of help in getting the

attention of several Congressmen concerning several bills currently being discussed in Congress. He stated the appointee was head of a small group of individuals who were to present the insurance company's point of view to various lawmakers and their assistants. He stated he met the appointee several times in New York City but dealt primarily with [REDACTED] in the law firm of PATTON, BOGGS and BLOW, the law firm the appointee currently is a partner with as well. He stated that all dealings with the appointee were positive in nature. He stated the appointee seemed to be an honorable, trustworthy individual. He noted the appointee's intelligence was always in evidence. He believes BROWN a quick study individual, one who is renowned in Washington, D.C. circles for being an extremely adept and able attorney. He explained he never witnessed any illegal drug use, alcohol abuse or prescription drug abuse by BROWN. He stated to the best of his knowledge the appointee lived within his financial means and explained he never heard BROWN issue any comments which might be termed bias or prejudice because of race, color, creed or ethnic background. He stated the appointee's honor and integrity were above reproach and he had no reason to question any of BROWN's personal background. He stated the appointee was always impressive in the few times he saw him in New York City. He felt the appointee had done a fine job for Sedgwick James Inc. that he was contracted to do. He noted he was not in receipt of any information concerning BROWN either professional or personal which might be deemed unsatisfactory. He felt the appointee was a loyal American citizen and stated he was a man of decided and demonstrated ability as well. He noted he knew of no reason not to give BROWN a fine character recommendation. He stated that based on past observations he would recommend BROWN for a position of trust and responsibility with the Federal Government.

b6
b7c

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE NEW YORK	OFFICE OF ORIGIN BUREAU	DATE 1/1/93	INVESTIGATIVE PERIOD 12/31/92 - 1/4/93
TITLE OF CASE RONALD HARMON BROWN aka RON BROWN		REPORT MADE BY SA [REDACTED]	TYPED BY: b6 fjc b7C
		CHARACTER OF CASE BI-SPIN	

Referencing Bureau's teletype to New York, dated 12/23/92.

-RUC-

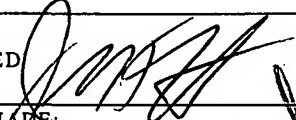

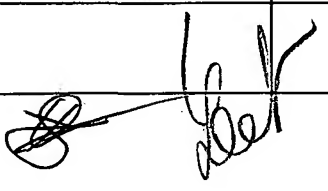
ADMINISTRATIVE:

All individuals interviewed were advised of the provisions of the Privacy Act of 1974 and only those desiring confidentiality are so noted.

On 1/4/93, SA [REDACTED] contacted IA [REDACTED] regarding any grievances at the New York State Bar Association. She advised that the association has no record of any grievances against the candidate because he is not registered with their department.

b6
b7C

The NYO has completed all required investigations regarding this matter and has "RUCed" this case.

APPROVED 	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW						
COPIES MADE: 2-Bureau (161-HQ-1042752) (Attn: PSS [REDACTED]) 1-New York (161-HQ-1042752) 								
DISSEMINATION RECORD OF ATTACHED REPORT		Notations 						
Agency								
Request Recd.								
Date Fwd.								
How Fwd.								
By					A			

b6
b7C

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of:

SA

Office: NEW YORK

b6
b7c

Date:

January 1, 1993

Field Office File #: 161-HQ-1042752

Bureau File #: 161-HQ-1042752

Title:

RONALD HARMON BROWN aka RON BROWN

Character:

BI-SPIN

Synopsis:

United States Attorney's Office checks, results set forth. New York State Bar checks, results set forth. New York City Police checks, results set forth. Manhattan District Attorney's Office check, results set forth. Education verified, results set forth.

-RUC-

FEDERAL PROSECUTIVE ACTION CHECK

DETAILS

161A-HQ-1042752

On 12/28/92, PERSONNEL SECURITY SPECIALIST (PSS) [REDACTED]
[REDACTED] contacted the Eastern District of New York and the
Southern District of New York; both Criminal and Civil
Departments regarding the candidate. All offices with the
exception of the Eastern District Civil advised searches resulted
in negative results.

b6
b7c

However the Eastern District Civil Division advised
that the name RONALD (NMN) BROWN, has Three Criminal cases
listed. The file numbers are as follows: 9100702, 9104778,
91MO469.

161A-HQ-1042752

GNJ:gnj

1.

BAR

NEW YORK STATE BAR

On January 4, 1993, Investigative Assistant (IA) [redacted] [redacted] contacted the Office of Court Administration, 80 Centre Street, New York (NY), NY, and was advised by Administrative Clerk JUNE CLARK that appointee RONALD HARMON BROWN was admitted to the New York State Bar in 1971 but never did register with the Department.

b6
b7C

161A-HQ-1042752

GNJ:gnj

1.

ARREST

NEW YORK CITY POLICE DEPARTMENT

On December 31, 1992, Investigative Assistant (IA) [REDACTED] caused a search of the New York City Police Department (NYCPD), Identification Section, 1 Police Plaza, New York (NY), NY, regarding the appointee RONALD HARMON BROWN, date of birth August 1, 1941. No criminal record was located.

b6
b7C

On the same date, IA [REDACTED] caused a search of the NYCPD, Identification Section, regarding the appointee's mother GLORIA O. CARTER, date of birth September 13, 1921. No criminal record was located.

161A-HQ-1042752
FJC:fjc
Re: Ronald H. Brown

MANHATTAN DISTRICT ATTORNEY'S OFFICE

Manhattan District Attorney's Office
1 Hogan Place
New York, New York

On 1/4/93, Assistant District Attorney OWEN HEIMER, was interviewed by Special Agent [REDACTED] HEIMER provided the following information:

b6
b7c

HEIMER advised that, after reviewing several newspaper articles on allegations of bidding irregularities with regard to the New York Sludge Contracts, his office decided to open an investigation on this matter. The allegations were that firms with political connections were awarded the contracts. HEIMER advised that CHEMFIX TECHNOLOGIES was one of several companies bidding for the New York Sludge Contracts. He advised that his office was unable find any bidding irregularities or any crimes with regard to the bidding process. HEIMER noted that, although this case is still in a pending status it is currently inactive and will probably be closed soon. He advised that no charges were brought against any company or person. HEIMER further advised that RONALD H. BROWN was never considered a subject or a target of their investigation.

161A-HQ-1042752

MCL:SLF

(1)

EDUCATION

St. John's University School of Law
Grand Central and Utopia Parkways
Jamaica, New York

On January 4, 1993, Ms. MARY SELVINAZIAN, Assistant Dean and Registrar, St. John's University School of Law, Jamaica, New York, advised that their records reflect that RONALD HARMON BROWN, date of birth August 1, 1941, had attended St. John's from September, 1962 to January, 1963 and from June, 1967 to June, 1970. Appointee received a J.D. June 7, 1970, having earned 82 credits.

Ms. SELVINAZIAN advised that the appointee majored in Law, had an average of 74.6 out of 95 and was ranked 149 out of 178.

Records at St. John's indicate that the appointee attended Middlebury College, September 15, 1958 - June, 1962, and Hunter College summer sessions 1960 and 1961.

Ms. SELVINAZIAN advised that their records contain no derogatory information concerning the appointee and he is considered to be in good academic standing.

Records at St. John's Law School reflected that the appointee resided at 10 West 135th Street, New York, New York, and 21 St. James Place, Brooklyn, New York.

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE NEW ORLEANS	OFFICE OF ORIGIN BUREAU	DATE 12/31/92	INVESTIGATIVE PERIOD 12/30/92 - 12/31/92
TITLE OF CASE RONALD HARMON BROWN, aka Ron Brown		REPORT MADE BY SA 	TYPED BY: bms
		CHARACTER OF CASE SPIN	

b6
b7C**REFERENCE:**

Bureau facsimile to New Orleans dated 12/30/92.

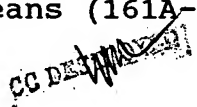
- RUC -

(BUDED: 1/4/93)

ADMINISTRATIVE:

Where appropriate, Privacy Act (e) (3) data was furnished to all persons interviewed and none requested confidentiality.

All those individuals interviewed with no date of birth documented necessitated the omission on the basis of professional determination that to pursue such details would have been counter-productive or not relevant to the scope of the investigation or any subsequent review.

APPROVED <i>RSS/mw</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW				
COPIES MADE: 2 - Bureau (161A-HO-1042752) (Attn: PSS SPIN UNIT, ROOM 4371) 2 - New Orleans (161A-HQ-1042752) <div style="text-align: center; margin-top: 10px;">  </div>						
DISSEMINATION RECORD OF ATTACHED REPORT		Notations				
Agency						
Request Recd.						
Date Fwd.						
How Fwd.						
By						

b6
b7C

161A-HQ-1042752

Inquiries into FOIMS, ELSUR, general indices, confidential indices provided negative or non-identifiable information for main cases regarding appointee, CHEMFIX TECHNOLOGIES, INCORPORATED, and National Environmental Controls. However, there were several references noted.

B*
COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of:

SA [REDACTED]

Office: NEW ORLEANS

b6

Date:

December 31, 1992

b7C

Field Office File #:

161A-HQ-1042752

Bureau File #:

161A-HQ-1042752

Title:

RONALD HARMON BROWN, aka
Ron Brown

Character:

SPIN

Synopsis:

Interview of PETER N. BIBKO, senior vice president and general counsel, CHEMFIX TECHNOLOGIES, INC. (CTI), at 3838 N. Causeway Blvd., Suite 2500, Metairie, La., indicated that BIBKO had no knowledge of any past or pending investigations regarding the appointee and/or CTI, including, but not limited to a Securities and Exchange Commission (SEC) investigation and investigations by the U.S. Attorney's Office in Manhattan, New York City, New York, and the Manhattan District Attorney's Office. BIBKO provided information, which led to press reports which raised the question of possible improprieties on the part of the appointee and/or CTI, on/or about the time of a major contract which was entered into by CTI. He also provided details about an inquiry by the SEC, which has included several meetings with [REDACTED] CTI. However, BIBKO emphasized that the SEC's concern is not focused upon actions of either the appointee or CTI itself, but rather appears to be focused on possible actions involving stock trading by outside parties. Contacts with the Louisiana Department of Environmental Quality, Baton Rouge, La., regarding subjects, CTI and National Environmental Controls, were negative.

b6
b7C

- RUC -

- 1 -

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/31/92

PETER N. BIBKO, senior vice president and general counsel, CHEMFIX TECHNOLOGIES, INCORPORATED (CTI), 3838 North Causeway Boulevard, Suite 2500, Metairie, Louisiana 70002, telephone 504/831-3600, was contacted at his office. After being advised of the identity of the interviewing agent, and of the nature of the interview, Mr. BIBKO provided the following information:

BIBKO was informed that the nature of the contact was regarding any information that he might have about investigations, either past or pending, against the appointee and/or CTI to include (but not limited to) an investigation by the Securities and Exchange Commission (SEC) and investigations by the U.S. Attorney's Office in Manhattan, New York City, New York, and the Manhattan District Attorney's Office. BIBKO responded by stating that he was aware of various news reports by Newsday Magazine that had questioned the propriety of actions of the appointee and/or CTI regarding stock trading activities.

BIBKO advised that CTI entered a joint venture agreement with a company known as Air and Water Technologies (AWT), which is located in New Jersey, in 1989, specific date unknown. AWT is well-known as a pollution control business, and the joint venture was formed for the purpose of treating sewerage sludge problems on a nationwide basis. BIBKO stated that the cost of "putting the joint venture together" exceed \$200,000, including a vast amount of legal fees. He stated that CTI retained the law firm of PATTON, BOGGS & BLOW, of Washington, D.C., to handle this matter. This law firm's services had been retained by CTI on several previous occasions. It was noted that [redacted] an attorney with the firm, very experienced in handling contracts, was responsible for the joint venture contract negotiations. Eventually the joint venture contract was completed.

BIBKO stated that one of the principal jobs which was bid on by the joint venture was the New York City sewerage/sludge

Investigation on 12/30/92 at Metairie, Louisiana File # 161A-HQ-1042752

2

by SA [redacted] :bms Date dictated 12/31/92

161A-HQ-1042752

Continuation of FD-302 of PETER N. BIBKO, On 12/30/92, Page 2

program (in 1989 - 1990, specific date unknown). BIBKO noted that over 100 companies bid on the job, and in the spring, 1990, a public announcement in a technical journal, name unknown, was made, in which it was stated that CTI, along with four other companies, would be contracted by the City of New York to handle the sewerage/sludge program. BIBKO noted that shortly thereafter, the appointee and Mayor DAVID DINKINS of New York City had a series of meetings. As a result of these meetings, it was decided that the next National Democratic Convention would be held in New York City. BIBKO recalls Newsday Magazine publishing an article which questioned the possible connection between the appointee's decision for the National Democratic Convention to be held in New York City, with the above-referenced contract having been awarded to CTI, of which the appointee was a board member. BIBKO stated that there was no connection whatsoever between the two situations, and, to the best of his knowledge, there was never any substantiation of this question/allegation which was raised.

BIBKO continued in his response to the above-referenced questions by stating that, all of the board members of CTI, executive officers, and several other select employees of CTI were granted options to purchase stock in CTI. These options were granted at varying times in the mid 1980's. BIBKO stated that he personally was granted the option in 1984, but others were provided with these options at later dates. The options were granted to purchase stock at the "strike price," which, according to BIBKO, is the price of the stock on the actual date of the grant. At the time the options were granted, the expiration date for exercising the options was not known. Eventually, all persons holding these options were notified that the expiration date for exercising the options would be at an unrecalled specific date in August, 1990. Prior to the expiration, BIBKO recalled that all members of the Board of Directors did exercise the option. He recalled that the price of the stock had appreciated considerably at the time the options were exercised, primarily due to the recent announcement of the above-referenced New York contract. Thereafter, Newsday Magazine again raised a question about the appointee, who was a board member at the time, having exercised his option at the "strike price," which was much less than the actual value of the stock on the date the option was exercised. However, BIBKO recalled no reference by Newsday Magazine to the other associates of CTI having also exercised their options to purchase the stock. BIBKO

161A-HQ-1042752

Continuation of FD-302 of PETER N. BIBKO, On 12/30/92, Page 3

further noted that, although the stock in CTI had substantially increased at that time, it did not stabilize, and continue to fluctuate in value.

BIBKO then alluded to press reports, that he recalled, which indicated concerns by the SEC about certain New York City governmental officials, who may have improperly traded in CTI stock in the spring of 1990. He recalled a news article about a stock broker/analyst in Texas, who reportedly called a New York City public official, inquiring about the likelihood of CTI getting the New York City contract, prior to the actual announcement of the contract. Reportedly, shortly after this contact, the Dow Jones put out a buy order for CTI stock.

BIBKO has no knowledge of any investigation either past or pending, by the SEC, U.S. Attorney's Office, Manhattan, New York City, or the Manhattan District Attorney's Office, into matters involving the appointee and/or CTI. However, six to nine months ago, an SEC representative met with [redacted]

[redacted] CTI. BIBKO noted that he himself was not present at the meeting, but was briefed about the nature of the meeting by [redacted] BIBKO was advised that the SEC was concerned about possible illegal trading of CTI stock by outside parties. It was stressed that their interest/investigation was not focused upon either the appointee or CTI itself. BIBKO further stated that [redacted] advised that there did not appear to be any problems involving CTI in the SEC inquiry. BIBKO also advised at that time that, to his knowledge, this was the only meeting with a representative of the SEC.

b6
b7C

However, a few hours later on the same date of this contact, BIBKO recontacted the interviewing agent, and advised that he had just learned that there have been subsequent contacts by the SEC with [redacted] concerning the same matter. In fact, the SEC has recently requested another meeting with [redacted]. Again, BIBKO noted that the appointee's name, according to [redacted] has never come up in any of the meetings. BIBKO reiterated that the apparent concern of the SEC is regarding persons unrelated to CTI, who were engaged in the unusual purchase and sale of shares of CTI stock, at about the same time of the above-reference New York City contract. Although BIBKO personally has not been present at any of the meetings with the SEC representative [redacted]

b6
b7C

[redacted] who is now in private legal practice in the

161A-HQ-1042752

Continuation of FD-302 of PETER N. BIBKO, On 12/30/92, Page 4

state of Ohio, telephone [redacted] is still retained by CTI as special counsel regarding any matters dealing with the SEC. As a result, [redacted] might also be able to provide more insight into the nature of the SEC's concerns.

b6
b7C

BIBKO concluded by stating that he came to CTI as general counsel in 1984, but does not know the appointee personally, because he (BIBKO) did not attend board meetings, unless necessary to explain some legal matter. He only recalls meeting the appointee on two or three occasions. BIBKO also stated that he is leaving for vacation on the evening of this interview, December 30, 1992, but [redacted] will be available for contact in the office on Monday, January 4, 1993, if any additional information is necessary.

b6
b7C

161A-HQ-1042752

JHB:rsg

New Orleans Division
at Baton Rouge, Louisiana

**LOUISIANA DEPARTMENT OF ENVIRONMENTAL QUALITY
STATE OF LOUISIANA
BATON ROUGE, LOUISIANA**

On December 31, 1992, the following investigation was conducted by SA [redacted] concerning the appointee RONALD HARMON BROWN, also known as Ron Brown.

WILLIAM KUCHARSKI, Deputy Secretary, Department of Environmental Quality (DEQ), Baton Rouge, Louisiana, was contacted by SA [redacted]. Deputy Secretary KUCHARSKI advised that he was unfamiliar with CHEMFIX TECHNOLOGIES, INC., and NATIONAL ENVIRONMENTAL CONTROLS; however, he would have his assistant secretaries check their files for any references to CHEMFIX TECHNOLOGIES, NATIONAL ENVIRONMENTAL CONTROLS, and/or ENVIRONMENTAL INDUSTRIAL RESEARCH ASSOCIATES.

b6
b7C

Mr. KUCHARSKI advised that the records of DEQ are maintained by corporate or company name and there are no ways to retrieve information concerning individuals.

The following individuals associated with Louisiana DEQ indicated that their records are negative regarding the three aforementioned companies:

[redacted]
Office of Water Resources, DEQ

[redacted]
Hazardous Waste Division, DEQ

[redacted] for
Solid Waste, DEQ

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE CHARLOTTE	OFFICE OF ORIGIN BUREAU	DATE 12/31/92	INVESTIGATIVE PERIOD 12/31/92
TITLE OF CASE RONALD HARMON BROWN AKA: RON BROWN		REPORT MADE BY SA [redacted]	TYPED BY: mw
		CHARACTER OF CASE SPECIAL INQUIRY	

b6
b7C

[Handwritten signature]

REFERENCE

Director routing slip to Atlanta, dated 12/30/92.

- RUC -

ADMINISTRATIVE

Individuals contacted were apprised of the provisions of the Privacy Act and none requested confidentiality.

Charlotte general indices and FOIMS checks were all negative for the appointee and companies, CHEMFIX TECHNOLOGIES OF Metairie or ENVIRONMENTAL INDUSTRIAL RESEARCH ASSOCIATES.

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: (2) - Bureau (161A-HQ-1042752) ATTN: [redacted] ROOM 4371 2 - Charlotte (161A-HQ-1042752) <i>CC BY [initials]</i>					
		<i>Em 4371 [initials]</i>			
		DISSEMINATION RECORD OF ATTACHED REPORT		Notations	
Agency					
Request Recd.					
Date Fwd.					
How Fwd.					
By					

b6
b7C

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: SA [REDACTED]
Date: December 31, 1992

Office: CHARLOTTE

b6
b7C

Field Office File #: 161A-HQ-1042752

Bureau File #: 161A-HQ-1042752

Title: RONALD HARMON BROWN

Character: SPECIAL INQUIRY

Synopsis: Records located at both the United States Attorney's Offices for the Eastern and Western Districts of North Carolina, Raleigh and Charlotte, North Carolina, were all negative for the appointee and companies, CHEMFIX TECHNOLOGIES OF Metairie or ENVIRONMENTAL INDUSTRIAL RESEARCH ASSOCIATES. Records located at the United States Attorney's Office for the Middle District of North Carolina, Greensboro, North Carolina, contained no information identifiable with appointee. Criminal Division does have an indictment against ENVIRONMENTAL INDUSTRIAL RESEARCH ASSOCIATES INCORPORATED. All parties pled guilty and will be sentenced January 22, 1993. NORTH CAROLINA DEPARTMENT OF ENVIRONMENTAL HEALTH AND NATURAL RESOURCES and NORTH CAROLINA STATE DEPARTMENT OF JUSTICE, ATTORNEY GENERAL'S OFFICE, Raleigh, North Carolina, were negative in regards to any prosecutions or indictments against the appointee or companies CHEMFIX TECHNOLOGIES OF Metairie or ENVIRONMENTAL INDUSTRIAL RESEARCH ASSOCIATES.

- RUC -

DETAILS:

161A-HQ-1042752

CAT:egp/mw

1

The following investigation was conducted by SA []
[] on December 31, 1992:

MISCELLANEOUS

b6
b7C

SUSAN LORA KEELER, United States Attorney's Office,
Eastern District of North Carolina, Raleigh, North Carolina,
advised a search of her closed and current files in both criminal
and civil matters disclosed no indication of any federal
prosecutive or investigative action taken against the appointee
or companies CHEMFIX TECHNOLOGIES OF Metairie, Louisiana, or
ENVIRONMENTAL INDUSTRIAL RESEARCH ASSOCIATES.

161A-HQ-1042752

JSS:mw

1

MISCELLANEOUS

On December 31, 1992, Special Agent [REDACTED] contacted JUDY BARBEE, Secretary, United States Attorney's Office, Western District of North Carolina, Charlotte, North Carolina. Ms. BARBEE was advised that an investigation was being conducted on RONALD HARMON BROWN because he is under consideration for government employment. Ms. BARBEE was further advised that Mr. BROWN had previously been associated with Chemfix Technologies of Metairie, Louisiana and a wholly owned subsidiary, Environmental Industrial Research Associates had pleaded guilty, in North Carolina, to defrauding the Environmental Protection Agency.

b6
b7c

Ms. BARBEE advised that after reviewing the files, of her office, she was unable to locate any record pertaining to RONALD HARMON BROWN, Chemfix Technologies, or Environmental Industrial Research Associates.

161A-HQ-1042752
GAM:jgb/mw

1

The following investigation was conducted by IA [REDACTED]
[REDACTED] in Greensboro, North Carolina:

UNITED STATES ATTORNEY'S OFFICE
MIDDLE DISTRICT OF NORTH CAROLINA
GREENSBORO, NORTH CAROLINA:

b6
b7C

On December 31, 1992, Mrs. RENETTA LINEBERRY, Criminal Division, U. S. Attorney's Office, advised that her records contain no information identifiable with RONALD HARMON BROWN, also known as RON BROWN, born August 1, 1941, Washington, D. C., having Social Security Number 095-32-5852. Mrs. LINEBERRY advised her records did contain information on CHEMFIX TECHNOLOGIES INCORPORATED and ENVIRONMENTAL INDUSTRIAL RESEARCH ASSOCIATES INCORPORATED (EIRAI) (CR91-255-01-D).

On December 31, 1992, ARNOLD L. HUSSER, Special Assistant, United States Attorney, Greensboro, North Carolina, advised that EIRAI was indicted by a Federal Grand Jury being held at U. S. District Court, Middle District of North Carolina, Durham Division, on November 26, 1991, charging EIRAI with violation of Title 18, U. S. Code Section 286. Also indicted were [REDACTED]

b6
b7C

[REDACTED] who were employed by EIRAI. HUSSER advised that EIRAI as well as the individuals charged entered into a plea agreement and a sentence hearing is scheduled to be held January 22, 1993, at 9:30 a.m. in U. S. District court, Middle District of North Carolina, Winston-Salem, North Carolina. Records in possession of Mr. HUSSER indicate that RONALD H. BROWN is a practicing attorney in Washington, D. C., and serves as a director with EIRAI.

On December 31, 1992, Mrs. PATRICIA GARD, Civil Division, U. S. Attorney's Office, advised her records contain no information identifiable with RONALD HARMON BROWN, also known as RON BROWN, born August 1, 1941, Washington, D. C., Social Security Number 095-32-5852 as well as CHEMFIX TECHNOLOGIES INCORPORATED and ENVIRONMENTAL INDUSTRIAL RESEARCH ASSOCIATES INCORPORATED.

161A-HQ-1042752

CAT:egp/mw

1

The following investigation was conducted by SA [REDACTED]
[REDACTED] on December 31, 1992:

b6
b7c

MISCELLANEOUS

GEORGE EVERETT, NORTH CAROLINA DEPARTMENT OF ENVIRONMENTAL HEALTH AND NATURAL RESOURCES, Raleigh, North Carolina, was contacted concerning the appointee with negative results. EVERETT advised the department of ENVIRONMENTAL HEALTH AND NATURAL RESOURCES had no records of any indictments against the appointee or companies CHEMFIX TECHNOLOGIES OF Metairie, Louisiana, or ENVIRONMENTAL INDUSTRIAL RESEARCH ASSOCIATES.

DAN OAKLEY, NORTH CAROLINA STATE DEPARTMENT OF JUSTICE, ATTORNEY GENERAL'S OFFICE, Raleigh, North Carolina, was contacted concerning the appointee with negative results. OAKLEY advised his office had no record of any prosecutions against the appointee nor CHEMFIX TECHNOLOGIES OF Metairie, Louisiana, or ENVIRONMENTAL INDUSTRIAL RESEARCH ASSOCIATES.

FEDERAL BUREAU
OF INVESTIGATION

31 DEC 92 18 42 Z

0024 MRI 01095

00 P12

DE FBINF #0002 3661831

ZNR UUUUU

O 311829Z DEC 92

FM FBI NORFOLK (161A-HQ-R-1042752) (RUC)

TO DIRECTOR FBI (161A-HQ-1042752)/IMMEDIATE/

BT

UNCLAS

CITE: //3560:SQUAD 4//

PASS: FBIHQ FOR PSS [REDACTED] SPIN UNIT, ROOM 4371.

SUBJECT: RONALD HARMON BROWN, AKA RON BROWN, SPIN; OO:
FBIHQ, BUDED: JANUARY 4, 1993.

NORFOLK INDICES ARE NEGATIVE REGARDING CANDIDATE
APPOINTEE RONALD HARMON BROWN.

ON DECEMBER 31, 1992, A CHECK WITH THE VIRGINIA CRIMINAL
INFORMATION NETWORK (VCIN), AS WELL AS THE TOTAL RECALL OF
ADULT CRIMINAL ELEMENT RECORDS (TRACER) REVEALED THEIR RECORDS
ARE NEGATIVE REGARDING RONALD HARMON BROWN, DATE OF BIRTH
AUGUST 1, 1941, SOCIAL SECURITY ACCOUNT NUMBER 095-32-5852.

Dep. Dir.	
ADD Adm.	
ADD Inv.	
Asst. Dir.:	
Adm. Servs.	
Crim. Inv.	
Ident.	
Info. Mgmt.	
Insp.	
Intell.	
Lab.	
Legal Coun.	
Tech. Servs.	
Training	
Cong. Affs. Off.	
Off. of EEO	
Off. Liaison & Int. Affs.	
Off. of Public Affs.	
Telephone Rm.	
Director's Office	

b6
b7c

161A-HQ-R-1042752

See

PAGE TWO DE FBINF 0002 UNCLAS

ON DECEMBER 31, 1992, THE FOLLOWING LAW ENFORCEMENT AGENCIES IN THE NORFOLK DIVISION REVEALED THEIR RECORDS ARE NEGATIVE REGARDING RONALD HARMON BROWN, DATE OF BIRTH AUGUST 1, 1941, SOCIAL SECURITY ACCOUNT NUMBER 095-32-5852:

NEWPORT NEWS, VIRGINIA, POLICE DEPARTMENT;

HAMPTON, VIRGINIA, POLICE DEPARTMENT.

ON DECEMBER 31, 1992, CONTACT WITH UNITED STATES ATTORNEY'S OFFICE, EASTERN DISTRICT OF VIRGINIA, NORFOLK, VIRGINIA, REVEALED THEIR RECORDS ARE NEGATIVE REGARDING RONALD HARMON BROWN.

BT

#0002

NNNN

ROUTING SLIP

December 31, 1992

TO: SAC, Norfolk
FROM: Director, FBI (161A - HQ - 1042752)
SUBJECT: RONALD HARMON BROWN, AKA
RON BROWN
SPIN;
OO: FBIHQ
BUDED: Noon EST 1/4/93

For information of receiving office, Bureau has been requested by the Office of the President-Elect to conduct an EXPEDITE LEVEL I background investigation of appointee in connection with his nomination to be Secretary of Commerce.

Appointee advised that from 2/63 to 5/63, he was in the military stationed at Ft. Eustis, Virginia.

Direct results/questions to PSS [] SPIN Unit, FBIHQ, Room 4371, Ext. 2588, by Buded. BUDED MUST BE MET WITHOUT FAIL AS APPOINTEE'S CONFIRMATION HEARING IS SCHEDULED FOR 1/6/93. Receiving should use all methods and resources necessary to have all results received in the SPIN Unit by deadline.

b6
b7C

LEADS:

NORFOLK DIVISION:

Conduct appropriate indices, arrest, and U.S. Attorney's Office checks on appointee.

Provided [] with DPOB + SSAN

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE ST. LOUIS	OFFICE OF ORIGIN BUREAU	DATE 12/31/92	INVESTIGATIVE PERIOD 12/28 - 31/92
TITLE OF CASE RONALD HARMON BROWN, aka Ron Brown		REPORT MADE BY IA [redacted]	TYPED BY: bjb
		CHARACTER OF CASE SPIN	

b6
b7C

REFERENCE: Bureau facsimile to Albany, 12/23/92;
Bureau facsimile to Albany, 12/29/92.

(X)

- RUC -

ADMINISTRATIVE:

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

St. Louis Division General indices were negative regarding appointee.

(Fax rec'd 12/31)

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: ② - Bureau (161A-HQ-1042752) (EXPRESS MAIL) (ATTN: [redacted] SPIN Unit, Room 4371) 1 - St. Louis (161A-HQ-R1042752) 100 DAW					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency					
Request Recd.					
Date Fwd					
How Fwd					
By					

b6
b7C

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of:

IA [REDACTED]

Office: ST. LOUIS

b6
b7c

Date:

December 31, 1992

Field Office File #: 161A-HQ-R1042752

Bureau File #: 161A-HQ-1042752

Title:

RONALD HARMON BROWN

Character:

SPECIAL INQUIRY

Synopsis:

BROWN served honorably in ARMY. Foreign service, awards, performance rating, military occupation and security clearance reported.

- RUC -

DETAILS: AT ST. LOUIS, MISSOURIMilitary Service

On December 31, 1992, a review of the records on file at the FEDERAL RECORDS CENTER, Military Branch, 9700 Page Boulevard, disclosed RONALD HARMON BROWN, service number 05 014 235, was appointed a second lieutenant in the ARMY RESERVE on August 3, 1962, at Fort Devens, Massachusetts. He entered on active duty in the ARMY on March 24, 1963, at New York, New York. He was honorably released from active duty on May 4, 1967, as a captain (O-3) (highest rank attained), at Fort Lewis, Washington by reason of own request to attend law school and transferred to the ARMY RESERVE. He then served in the ARMY RESERVE, inactive status, until September 30, 1969 when honorably discharged by reason of resignation.

161A-HQ-R1042752

He had foreign service in Germany from July, 1963 to May, 1966 and in Korea from July, 1966 to April, 1967. He was awarded the National Defense Service Medal and the Army Commendation Medal. There was no record of courts-martial or unauthorized absence and his performance was consistently rated as outstanding.

His military occupation was listed as field transportation officer. He was granted a TOP SECRET security clearance on February 28, 1967, which terminated at discharge.

His date and place of birth were shown as August 1, 1941, at Washington, D.C.

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE BOSTON FIELD OFFICE	OFFICE OF ORIGIN BUREAU	DATE 12/31/92	INVESTIGATIVE PERIOD 12/31/92
TITLE OF CASE RONALD HARMON BROWN, AKA RON BROWN		REPORT MADE BY SA 	TYPED BY: tje
		CHARACTER OF CASE SPIN	

b6
b7cREFERENCE:

Bureau routing slip to Boston dated 12/30/92

-RUC-

ADMINISTRATIVE:

A review of Boston's general and computer indices regarding appointee, or Capital/PEBSCO failed to locate any identifiable information. A review of Boston's indices did locate file 194A-BS-50798, which makes reference to the PEBSCO affiliate in Massachusetts.

(Fax rec'd 1/4)
TAK
BBS

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW						
COPIES MADE: 2-BUREAU (161A-1042752) 1-BOSTON (161A-HQ-1042752) TPM/tje (3) CC DIST <i>[initials]</i>								
DISSEMINATION RECORD OF ATTACHED REPORT		Notations <i>[Handwritten signature]</i>						
Agency								
Request Recd.								
Date Fwd.								
How Fwd.								
By								

161A-HQ-1042752
BBB/tje

Review of Boston file 194A-BS-50798, entitled, [redacted]
[redacted] et al [redacted] Deferred Compensation,
Inc. Quincy, Massachusetts; Corruption of State and Local
Officials - State Level; OO: Boston", dealt with the
investigation of high ranking Massachusetts officials alleged
wrong doing in the Commonwealth's Deferred Compensation Program..

b6
b7c

PEBSCO, according to the file, was an Ohio based firm
and subsidiary of Nationwide Insurance of Ohio. The PEBSCO
contact in Massachusetts was awarded in 1977 by former State
[redacted] on a no-bid basis. A key figure in the
original contact awarded was former State Attorney General EDWARD
MCCORMACK.

A thorough review of this file, however, disclosed no
reference to appointee or to Capital/PEBSCO. By way of possible
clarification, a Boston Globe newspaper article dated 3/1/92,
headlined, "Different Region; Similar Story," points out that
PEBSCO (Public Employee Benefit Service Corporation) had "teamed
up with RON BROWN, Chairman of the Democratic national Committee
to contract to manage a \$100 million city retirement fund," but
emphasized it was in the District of Columbia.

This suggests that appointee's affiliation with PEBSCO
relates to its District of Columbia affiliate and not to its New
England business.

1ST STORY of Level 1 printed in FULL format.

Copyright 1992 Globe Newspaper Company
The Boston Globe

March 1, 1992, Sunday, City Edition

SECTION: FOCUS; Pg. 77

LENGTH: 230 words

HEADLINE: Different region, similar story;
POLITICAL JOURNAL

BYLINE: By Gerard M. O'Neill, Globe Staff

BODY:

PEBSCO, which has become an acronym for political influence in Massachusetts after its long, charmed run of business with former state treasurer Bob Crane, is at it again in a different jurisdiction.

In Massachusetts, the Public Employee Benefit Service Corp. has handled an ever-expanding payroll-savings plan for public employees dating to 1977, having struck a deal with former attorney general Edward J. McCormack Jr. to get its contract renewed with the Treasurer's office in exchange for an under-the-table cut of the commissions, which totaled more than \$ 4 million from 1985 to 1990. In a deal within a deal, McCormack forwarded \$ 1 million of the fees to his hidden partner and close friend, state Sen. William Q. MacLean (D-Fairhaven).

More recently, the Ohio firm's good fortune has continued in the District of Columbia, where it teamed up with Ron Brown, chairman of the Democratic National Committee to contract to manage a \$ 100 million city retirement fund. Brown's company will make what McCormack once did - \$ 700,000 a year, according to The Washington Post. Both Washington Mayor Sharon Pratt Kelly and her husband have strong ties to Brown.

In a deja-vu quote defending the contract, PEBSCO executive Mark Koogler is quoted as saying: "You can have all the local business and political contacts you want. But it still comes down to performance.";

2ND STORY of Level 1 printed in FULL format.

Copyright 1992 Globe Newspaper Company
The Boston Globe

June 21, 1992, Sunday, City Edition

SECTION: METRO/REGION; Pg. 24

LENGTH: 711 words

HEADLINE: Malone suspends investment contract with company;
Firm blocked from taking money for state workers' retirement plan

BYLINE: By Peter J. Howe, GLOBE STAFF

KEYWORD: NAME-MALONE RETIREMENT

BODY:

State Treasurer Joseph D. Malone yesterday suspended a politically controversial contract with an insurance company to manage a \$ 650 million state workers' retirement savings plan.

Malone's action immediately blocks Nationwide Life Insurance of Ohio from taking in any more money for the plan. But it falls well short of Malone's previously stated hope of terminating the Nationwide contract, which he contends is a bad deal for the state in several respects, and putting it out for a new bid.

Under a widely criticized no-bid contract that former Treasurer Robert Q. Crane negotiated with Nationwide in 1988, the firm would get a \$ 45 million penalty - taken out of the investment accounts of 41,000 current and retired state employees - if its contract is terminated.

The controversy has taken on political overtones because the Nationwide investment contract is coordinated and administered in Massachusetts by a wholly owned subsidiary, Public Employees Benefit Services Corp.

PEBSCO was at the center of a federal grand jury investigation involving \$ 4 million in secret commissions paid from 1985-90 to prominent political figures friendly with Crane - former Attorney General Edward J. McCormack and state Sen. William Q. MacLean Jr. - for little or no work.

The Nationwide and PEBSCO contracts became symbols of the kind of Crane cronyism that Malone, a Republican, pledged to do away with when he ran for office in 1990.

Malone's legal counsel, Christopher Doherty, said yesterday that investments by the 40,000 current and retired state workers who participate in the plan are not threatened by the suspension. But anyone wanting to shift money out of their Nationwide annuity investments to another investment within the plan must pay a 4 percent penalty, Doherty said.

Malone and Nationwide officials have been sparring for months over charges by Malone that the firm is overcharging the state and plan participants, imposing improper management fees and violating terms of its contract.

The Boston Globe, June 21, 1992

In particular, Malone's staff claims Nationwide has violated part of its contract requiring the firm to provide the most favorable "products, benefits, rates and services" that it provides to other similar state plans.

Lawyers in Attorney General Scott Harshbarger's office have reviewed the situation and advised Malone that they believe he has a "strong case" if he pursues a lawsuit against Nationwide, sources said.

Malone sent out a letter Friday to plan participants that said, "The flaws in the fixed annuity contract stem from hidden commissions and other expenses that participants are forced to pay. Ultimately, these payments reduce returns and are characteristic of an outdated, obsolete form of investment."

Earlier this year, Malone accused Nationwide of "corporate arrogance and greed at its worst" and of "attempting to browbeat us into giving them the business forever."

But Nationwide officials have contended they are the victims of a political vendetta by Malone to do away with contracts linked with Crane, who served as treasurer for 26 years.

In a telephone interview from Columbus, Ohio, Mark Koogler, a lawyer for Nationwide, said of Malone's action, "He certainly has a right to suspend the annuity contract. Any differences that we have remaining with that suspension or other matters are still to be resolved between the parties." Koogler declined further comment.

Nationwide has argued that the \$ 45 million penalty it would receive if its contract is terminated was a proper way to protect the company from suffering unfair losses because it has made huge long-term investments in the program.

The contract is like a 401(K) program, under which state employees may elect to have part of their pay put into a tax-deferred retirement account. Nationwide has managed the annuity funds since 1978.

Separately, Doherty said, Malone has moved to diversify the plan by allowing plan participants to invest in seven stock and bond mutual funds starting July 1. They include three funds run by Boston-based Fidelity Investments and a fund that invests only in "socially responsible" corporations, Doherty said.

Currently the plan includes the Nationwide fixed annuity, a guaranteed-rate investment and life insurance.

15TH STORY of Level 1 printed in FULL format.

Copyright 1991 Globe Newspaper Company
The Boston Globe

May 12, 1991, Sunday, City Edition

SECTION: FOCUS; Pg. 73

LENGTH: 87 words

HEADLINE: SHORT CIRCUITS

BYLINE: Compiled by Globe staff writers.

BODY:

After a sputtering yearlong effort, the office of US Attorney Wayne Budd has finally passed the case of Sen. William MacLean to state investigators - a month after promising to provide documents to Attorney General Scott Harshbarger. Observers, including some disgruntled grand jurors, were left wondering whether the feds shook the trees hard enough on how Maclean got a \$ 1 million slice of the infamous PEBSCO pie. Now Budd's office has a policy of not saying whether it will investigate criminal cases or not.

FX

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of:

SA [REDACTED]

Office:

BOSTON FIELD

b6
b7C

Date:

December 31, 1992

Field Office File #:

161A-HQ-1042752

Bureau File #:

161A-1042752

Title:

RONALD HARMON BROWN, AKA
RON BROWN

Character:

Synopsis:

SPIN

[REDACTED] Boston Bank of Commerce,
interviewed. U.S. Attorney and Massachusetts State
Attorney General's Office checked regarding appointee and
Capital/PEBSCO both negative.

b6
b7C

-RUC-

DETAILS:

On December 30, 1992, [redacted]
[redacted] Boston Bank of Commerce, 131 Federal
Street, Boston, Massachusetts, was interviewed at his residence,
[redacted] concerning RONALD HARMON
BROWN. He advised that the appointee owns 16 2/3 shares of
stock in the bank with other individuals. The appointee's actual
investment is about 3% of those shares. [redacted] said the appointee
does not serve on the Board of the Directors of the bank. He
said at one time, the bank was considering appointing BROWN to a
position on a subsidiary bank but this never came to pass because
BROWN was not available at that time. [redacted] has known BROWN for
about 10 years and has enjoyed both a professional and social
relationship with the appointee.

b6
b7c

[redacted] stated the appointee has an outstanding character
and good reputation. He considers the appointee to be an honest,
trustworthy and very loyal citizen. He is aware of nothing
negative about the appointee and has no knowledge BROWN has ever
used illegal drugs or abused alcohol or prescription drugs. He
said BROWN lives within his financial means and has reputable
associates. He is aware of no biases or prejudices by the
appointee towards any individuals or groups. He added that BROWN
is a capable, honest person who will do well in public office.
He would recommend the appointee for a position of trust with the
United States Government.

U.S. ATTORNEY'S CHECK

On 12/31/92, a check of the U.S. Attorney's Office, Boston, Massachusetts was negative regarding appointee, or Capital/PEBSO.

ATTORNEY GENERAL CHECK

A check with the Massachusetts Attorney General's Office similarly failed to disclose any record identifiable with appointee or appointee doing business as Capital/PEBSO.

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE ALBANY	OFFICE OF ORIGIN BUREAU	DATE 12/31/92	INVESTIGATIVE PERIOD 12/30/92 - 12/31/92
TITLE OF CASE RONALD HARMON BROWN aka; RON BROWN		REPORT MADE BY SA 	TYPED BY: tpl b6 b7C
		CHARACTER OF CASE SPECIAL INQUIRY (A)	

REFERENCE:

Bureau facsimile to Albany dated December 23, 1992.

- R U C -

ADMINISTRATIVE:

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

For the information of the Bureau, Albany's General and FOIMS indices were searched on December 30, 1992, by regarding candidate, with negative results.

b6
b7C

Additionally if any information regarding the academic review Board at Middlebury Collage is available, same will be forwarded to Headquarters. Otherwise this matter is considered RUC.

(Fax rec'd 12/31)

APPROVED <i>550</i> <i>[Signature]</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW	
COPIES MADE: 2- BUREAU (161A-1042752) (ATTN: PSS RM. 4371) 2- ALBANY (161A-HQ-1042752) <div style="text-align: right; margin-top: 20px;">4371 <i>[Signature]</i></div>			
DISSEMINATION RECORD OF ATTACHED REPORT		Notations	
Agency			
Request Recd.			
Date Fwd.			
How Fwd.			
By			

b6
b7C

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of:

SA [REDACTED]

Office: ALBANY

b6

Date:

December 31, 1992

b7C

Field Office File #:

161A-HQ-1042752

Bureau File #:

161A-1042752

Title:

RONALD HARMON BROWN
Also known as
RON BROWN

Character:

SPECIAL INQUIRY (A)

Synopsis:

Education verified. Arrest checks negative. United States Attorney's Offices in Burlington, Vermont, negative. Department of Motor Vehicles negative.

- R U C -

DETAILS:

The following investigation was conducted by Special Agent [REDACTED] on December 30, 1992:

b6

b7C

EDUCATION:MIDDLEBURY COLLEGE
MIDDLEBURY, VERMONT
SEPTEMBER, 1958 - JUNE, 1962

On December 30, 1992, LUCINDA RIGG, Dean of Academic Records, Middlebury College, was contacted regarding candidate. She provided the candidate's academic transcript. The transcript revealed that candidate was admitted to Middlebury Collage on September 15, 1958, and was awarded an A.B. Degree on June 11, 1962. The candidate majored in Political Science. The transcript also revealed that candidate left Middlebury College on February 8, 1960, for an academic failure, but was readmitted

on February 11, 1960. When RIGG was questioned about this she stated that the most likely explanation was that the candidate was asked to take a semester off due to poor academic performance in the Fall, 1959 semester. She stated that he was probably afforded an opportunity to explain his poor performance before and Academic Review Board and request an immediate readmission. Since the transcript reflects a readmission on February 11, 1960, RIGG speculated that the most likely explanation was that the Academic Review Board was satisfied with the candidate's explanation of his academic shortcomings and allowed him to continue at the college without taking any time off. RIGG stated that it was unlikely that any additional records regarding this hearing were available since most records at the college other than academic transcripts are destroyed after twenty years. RIGG stated that she would investigate to determine if anyone at the college could provide any additional information on this matter. The transcript provided no other indications of any disciplinary actions regarding the candidate. The transcript revealed no record of candidate's grade point average (GPA), class standing, or any mention of academic honors received.

On December 31, 1992, Mr. ROBERT BUCKEYE, College Archivist, Middlebury College, advised that the Middlebury Administrative Committee handled academic matters so it is logical that candidate's dismissal was for academic reasons. BUCKEYE made available a one page Administration Committee Summary of February 11, 1960, actions. Of the fourteen items acted upon that day number five reads as follows: "Voted to readmit RONALD BROWN on probation".

BUCKEYE stated that he was unable to find any other records of this hearing or anything else pertaining to candidate in college archives.

ARREST:

On December 30, 1992, a computerized inquiry was conducted with the Vermont Criminal Information Center (VCIC), which accesses centralized arrest records for the State of Vermont. This computerized check was negative regarding candidate, RONALD HARMON BROWN, date of birth August 1, 1941.

On December 30, 1992, BONNIE MURRAY, Dispatcher, Middlebury Police Department, Middlebury, Vermont, was contacted regarding candidate. MURRAY checked their departmental files for any record regarding RONALD HARMON BROWN, date of birth August 1, 1941. The result of this check was negative.

161A-HQ-1042752

MISCELLANEOUS:

On December 30, 1992, the administrative offices of the United States Attorney's Office, Burlington, Vermont, were visited. A review of all case files, both pending and closed, of civil and criminal matters indexed at that office was negative regarding candidate.

On December 30, 1992, a computerized inquiry was conducted at the Vermont Department of Motor Vehicles for RONALD HARMON BROWN, date of birth August 1, 1941, with negative results.

RECEIVED
TELETYPE
UNIT

1 JAN 33 04 38

FEDERAL BUREAU
OF INVESTIGATION

Dep. Dir.	
ADD Adm.	
ADD Inv.	
Asst. Dir.:	
Adm. Serv.	
Crim. Inv.	
Ident.	
Info. Mgmt.	
Insp.	
Intell.	
Lab.	
Legal Coun.	
Tech. Serv.	
Training	
Cong. Affs. Off.	
Off. of PEO	
Off. of Liaison & Int. Affs.	
Off. of Public Aff.	
Director's Sec'y	

SPIN
TL 111 RM 4303

0263 MRI 01479

PP RUCNFB FBIAT

DE FBIDN #0005 3662303

ZNR UUUUU

P 312302Z DEC 92

FM FBI DENVER (161A-HQ-1042752) (P)

TO DIRECTOR FBI/PRIORITY/

FBI ATLANTA/PRIORITY/

BT

UNCLAS

CITE: //3210//

PASS: PSS [REDACTED] SPIN UNIT, ROOM 4371, EXTENSION 2588.

b6
b7C

SUBJECT: RONALD HARMON BROWN, AKA RON BROWN; SPIN; OO:

BUREAU; BUDED: JANUARY 4, 1993.

REFERENCE FACSIMILE FROM FBIHQ, DATED DECEMBER 31, 1992,
REQUESTING DENVER CONTACT ENVIRONMENTAL PROTECTION AGENCY
(EPA), DENVER, AND CHECK ON ENVIRONMENTAL INDUSTRIAL RESEARCH
ASSOCIATES AND APPOINTEE.

REFERENCED DENVER TELEPHONE CALL TO SA [REDACTED]
ATLANTA DIVISION, ON DECEMBER 31, 1992, HAS REVEALED THAT

b6
b7C

CO DE [REDACTED]

[Handwritten signature]

PAGE TWO DE FBIDN 0005 UNCLAS

ATLANTA HAS ALREADY CONTACTED INSPECTOR GENERAL'S OFFICE FOR THE EPA.

DENVER CONTACTED [REDACTED] SPECIAL AGENT IN CHARGE OF THE EPA, DENVER, COLORADO. [REDACTED] STATED THAT THEIR COMPUTER SYSTEM WAS PRESENTLY UNDERGOING A CONVERSION, AND HE WOULD BE UNABLE TO ACCESS THEIR SYSTEM UNTIL APPROXIMATELY JANUARY 5, OR JANUARY 6, 1993. [REDACTED] ADVISED THAT BASED ON HIS KNOWLEDGE, THE DENVER OFFICE HAS NEVER HAD ANY INVESTIGATIONS OR CRIMINAL INQUIRIES DEALING WITH EITHER ENVIRONMENTAL INDUSTRIAL RESEARCH ASSOCIATES AND/OR THE APPOINTEE. [REDACTED] STATED THAT THE EPA OFFICE IN ATLANTA AND POSSIBLE THE INSPECTOR GENERAL'S OFFICE DEALING WITH EPA MAY HAVE INFORMATION REGARDING THIS CORPORATION. [REDACTED] REFERRED DENVER TO AN INDIVIDUAL NAMED [REDACTED] BELIEVED TO BE THE [REDACTED] TELEPHONE NUMBER [REDACTED]

b6
b7c

DENVER TELEPHONIC CONTACT WITH ATLANTA INDICATED THAT ATLANTA HAS ALREADY CONTACTED THE INSPECTOR'S GENERAL OFFICE FOR THE EPA REGARDING THIS MATTER.

BT

#0005

NNNN

RECEIVED
TELETYPE UNIT
31 DEC 92 21 16Z
FEDERAL BUREAU
OF INVESTIGATION

Dep. Dir.	_____
ADD Adm.	_____
ADD Inv.	_____
Asst. Dir.:	_____
Adm. Serv.	_____
Crim. Inv.	_____
Ident.	_____
Info. Mgmt.	_____
Insp.	_____
Intell.	_____
Lab.	_____
Legal Coun.	_____
Tech. Serv.	_____
Training	_____
Cong. Affs. Off.	_____
Off. of EEO	_____
Off. Liaison & Int. Affs.	_____
Off. of Public Affs.	_____
Telephone Rm.	_____
Director's Sec'y	_____

0034 MRI 01224

00 P12

DE FBIAT #0002 3662003

ZNR UUUUU

O 312002Z DEC 92

FM FBI ATLANTA (161A-HQ-1042752) (RUC)

TO DIRECTOR FBI/IMMEDIATE/

BT

UNCLAS

CITE: //3040:SQ

PASS: [REDACTED] SPIN UNIT, ROOM 4371.

SUBJECT: RONALD HARMON BROWN, AKA; SPIN; OO: FBIHQ; BUDED:
1/4/93.

RE BUREAU FACSIMILE TO ATLANTA ET AL DATED 12/31/92.

THE FOLLOWING INVESTIGATION WAS CONDUCTED BY SA [REDACTED]

ON 12/31/92, [REDACTED] SPECIAL AGENT IN CHARGE,

ENVIRONMENTAL PROTECTION AGENCY (EPA), ATLANTA, GEORGIA,

TELEPHONE [REDACTED] ADVISED THAT HIS SECTION OF THE EPA DID

NOT DO THE INVESTIGATION IN REGARDS TO THE ENVIRONMENTAL

CC DES [REDACTED]

[Handwritten signature]

b6
b7c

b6
b7c

PAGE TWO DE FBIAT 0002 UNCLAS

INDUSTRIAL RESEARCH ASSOCIATES (EIRA). THAT INVESTIGATION WAS UNDERTAKEN BY THE INSPECTOR GENERAL'S OFFICE OF THE ATLANTA REGION. [REDACTED] ADVISED THAT HE HAS CONDUCTED A COMPLETE SEARCH OF HIS FILES IN REGARDS TO EIRA, CHEMFIX TECHNOLOGIES, AND THE APPOINTEE AND THERE IS NO RECORD WHATSOEVER FOR ANY OF THESE INDIVIDUALS OR INDIVIDUAL COMPANIES IN THE EPA FILES IN ATLANTA, GEORGIA.

ON 12/31/92, [REDACTED] SENIOR SPECIAL AGENT, OFFICE OF INSPECTOR GENERAL, EPA REGIONAL OFFICE, ATLANTA, GEORGIA, TELEPHONE [REDACTED] ADVISED THAT HE WAS THE CASE AGENT IN REGARDS TO THE INVESTIGATION OF EIRA ASSOCIATES WHICH IS A SUBSIDIARY OF CHEMFIX TECHNOLOGIES, BASED IN METAIRIE, LOUISIANA. [REDACTED] ADVISED THAT THE INVESTIGATION REVEALED THAT THIS WAS A VERY ROUTINE FRAUD CASE WHEREIN A LOW LEVEL MANAGER AND TWO EMPLOYEES OF EIRA IN THE STATE OF NORTH CAROLINA, FALSIFIED SOME DATA ON A CONTRACT THAT THEY HAD WITH THE EPA. THE INVESTIGATION REVEALED THAT THERE WAS NO CORPORATE CULPABILITIES WHATSOEVER AND THE CORPORATION COMPLETELY COOPERATED FULLY WITH THE INVESTIGATION. ALL INVESTIGATIVE CONTACTS WERE MADE WITH CORPORATE LAWYERS AND NO CORPORATE INDIVIDUALS INCLUDING THE APPOINTEE EVER MADE

b6
b7c

PAGE THREE DE FBIAT 0002 UNCLAS

INQUIRIES INTO THE MATTER. THE APPOINTEE'S NAME NEVER
SURFACED DURING THE INVESTIGATION AND THERE IS NO INDICATION
THAT HE HAD ANY KNOWLEDGE OF SAME. [REDACTED] ADVISED THAT HIS
FILES REFLECT NO OTHER RECORDS OF ANY KIND FOR THE APPOINTEE,
CHEMFIX TECHNOLOGIES, OR EIRA. [REDACTED] ADVISED THAT THESE
FILES ALSO INCLUDE THE EPA'S NATIONAL ENFORCEMENT
INVESTIGATIONS CENTER.

b6
b7C

ALL OTHER ATLANTA INDICES ARE NEGATIVE REGARDING THE
APPOINTEE EXCEPT FOR PREVIOUS SPIN INQUIRIES INITIATED FROM
FBIHQ.

BT

#0002

NNNN

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE DENVER	OFFICE OF ORIGIN BUREAU	DATE 12/31/92	INVESTIGATIVE PERIOD 12/31/92
TITLE OF CASE RONALD HARMON BROWN, aka Ron Brown		REPORT MADE BY SA 	TYPED BY: llm
		CHARACTER OF CASE SPIN	


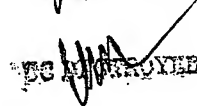
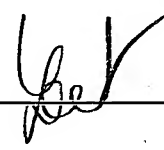
REFERENCE: Bureau facsimiles to Denver, dated 12/29/92 and 12/31/92.

- RUC -

ADMINISTRATIVE:

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

(Fax rec'd 1/4)

APPROVED 	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: 2 - BUREAU (161A-HQ-1042752) ATTN: PSS ROOM 4371 1 - DENVER (161A-HQ-1042752) 					
					
		DISSEMINATION RECORD OF ATTACHED REPORT		Notations	
Agency					
Request Recd.					
Date Fwd.					
How Fwd.					
By					

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of:

SA

Office: DENVER

b6
b7C

Date:

December 31, 1992

Field Office File #:

161A-HQ-1042752

Bureau File #: 161A-HQ-1042752

Title:

RONALD HARMON BROWN, aka Ron Brown

Character:

Special Inquiry

Synopsis:

Denver review of EPA information system indicates no criminal complaints against appointee or companies he is affiliated with. Interview of FEDERICO PENA reflects favorable information. Appointee was retained by the City of Denver and performed services from approximately 1986 through 1992.

- RUC -

161A-HQ-1042752
PH/llm

- 1 -

ENVIRONMENTAL PROTECTION AGENCY
NATIONAL ENFORCEMENT
INVESTIGATION CENTER
Denver, Colorado

On December 30, 1992, JIM PRANG, [REDACTED]
[REDACTED] the ENVIRONMENTAL PROTECTION AGENCY (EPA), Denver,
Colorado, telephone number [REDACTED], was contacted
regarding appointee, CHEMFIX TECHNOLOGIES, INCORPORATED, and
NATIONAL ENVIRONMENTAL CONTROLS. PRANG reviewed EPA's
intelligence center database computer and found no references to
appointee or the other two companies previously mentioned in
regards to any criminal complaints against appointee or the
aforementioned companies.

b6
b7c

PRANG further advised that he knows of no ongoing
criminal investigation regarding appointee and/or the
aforementioned companies.

161A-HQ-1042752
PH/llm

- 1 -

FEDERICO FABIAN PENA
Tabor Center
1200 17th Street
Denver, Colorado

On December 31, 1992, FEDERICO PENA was interviewed regarding his association and contact with the appointee. PENA advised that he initially met the appointee in approximately 1986 or 1987 when the appointee introduced himself to PENA while he was Mayor of Denver.

PENA advised that the appointee was hired to help the City of Denver with work that related to the construction of the new Denver Municipal airport. PENA believes that appointee's work relationship with the City of Denver continued from 1986 through 1992. PENA advised he is unsure of what specific role the appointee played in the legal work that was performed by PATTON, BOGGS AND BLOW, a Washington law firm of which appointee was a partner. PENA stated two attorneys at PATTON, BOGGS AND BLOW, PENNY FARTHING, and ELLIOT LAWS are the attorneys that handle most of the legal work for the City of Denver. PENA advised that he recalls one instance in 1988 when appointee was asked to work on the campaign of JESSIE JACKSON and appointee contacted PENA and asked if this would be a problem for the City of Denver. PENA advised that it would not, and appointee accepted the position in JACKSON's campaign.

PENA provided the following background information regarding the appointee. PENA stated they first met in approximately 1986 or 1987 when appointee initially introduced himself to PENA. PENA advised that he had both a professional and social relationship with appointee and described him as honest, intelligent, and very ethical. PENA stated that the appointee's character is excellent and described appointee's associates as "outstanding people." PENA stated the reputation of appointee is very high, and he knows of no problems with regard to appointee's social or professional life. PENA described appointee's qualifications as very good, stating he is very loyal to the United States and "believes deeply in this country." PENA advised he knows of no sympathy that appointee has with foreign governments or ideology, and stated that appointee has never mentioned any relationship with any foreign government or agents. PENA did add that he believes the law firm which the appointee works for does conduct some business with foreign governments, but PENA is unaware of specific details

PENA advised that he believes the appointee is financially responsible and lives within his means. PENA stated that the appointee may have a financial involvement in a radio station in the Washington, D.C. area, but knows of no bankruptcy, liens, garnishments or any adverse financial information. PENA stated he knows of no alcohol, drug or prescription drug abuse by the appointee. PENA described the appointee as a "social drinker". PENA advised he knows of no biases or prejudices held by the appointee and, stated the appointee is very fair in his beliefs and is able to work with all types and groups of people.

PENA advised he knows of no mental, physical or stress-related problems regarding the appointee. PENA advised the appointee in the past has been under a tremendous amount of pressure due to the nature of his work and has handled it well in PENA's opinion. PENA advised that appointee has no known association with any subversive groups. PENA further noted he knows of no derogatory information that may subject the appointee to any type of coercion or influence. PENA advised that he would "absolutely" recommend the appointee for a position of trust and responsibility with the United States Government. PENA described the appointee as a "unique individual" with a tremendous amount of talent and a person who has succeeded in virtually everything he has attempted in his career. In addition, PENA stated appointee may have been the first black lawyer on the Judiciary Community in the United States Government, and has also broken many racial barriers in his career. PENA noted that despite his extensive accomplishments, the appointee has no "chips on his shoulders" and would do an excellent, balanced and fair job at any position for which he is selected.

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE Los Angeles	OFFICE OF ORIGIN BUREAU	DATE 12/31/92	INVESTIGATIVE PERIOD 12/31/92-12/31/92
TITLE OF CASE RONALD HARMON BROWN, aka Ron Brown		REPORT MADE BY SA 	TYPED BY: nwp
		CHARACTER OF CASE SPIN	

b6
b7C

REFERENCE: WMFO teletype to Director dated 12/31/92.


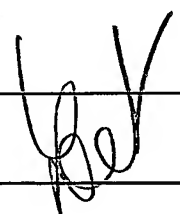
- P -

ADMINISTRATIVE:

Privacy Act (e) (3) data was furnished to persons interviewed. Express promised of confidentiality, both limited and unlimited, have been noted where granted.

It should be noted that where no date of birth was given for an individual interviewed in connection with this investigation, a professional determination was made that to pursue such detail would have been counterproductive, or was not relevant to the scope of the investigation or any subsequent review.

Los Angeles was requested to interview BILL CARRICK concerning his knowledge of the candidate. No address or valid telephone number was provided. Los Angeles will furnish FBIHQ with CARRICK'S interview as soon as he can be located and interviewed.

APPROVED CSP/GLM/	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE:					
2 - BUREAU (161A-HQ-1042752) (Attn: SPIN, ROOM 4371)					
2 - LOS ANGELES (161A-HQ-1042752) (AP-1)					
					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency					
Request Recd.					
Date Fwd.					
How Fwd.					
By					A

b6
b7C

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

SA

Report of:

[REDACTED]

Date:

December 31, 1992

Office: Los Angeles

b6
b7C

Field Office File #:

161A-HQ-1042752

Bureau File #:

161A-HQ-1042752

Title:

RONALD HARMON BROWN; AKA
RON BROWN

Character:

SPIN

Synopsis:

BRUCE ROZET, President, ASSOCIATED FINANCIAL
CORPORATION, interviewed.

- P -

DETAILS:

The following investigation was conducted in
the Los Angeles Division by Special Agent (SA) [REDACTED]
[REDACTED] unless otherwise noted.

b6
b7C

- 1 -

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/31/92

BRUCE ROZET, ASSOCIATED FINANCIAL CORPORATION (AFC), President, 881 Alma Real Drive, Pacific Palisades, California, was contacted by Special Agent (SA) [REDACTED] After being apprised of the identity of the interviewing agent and of the nature of the investigation, ROZET was apprised that he was being interviewed in connection with the background investigation of RONALD BROWN. ROZET, thereafter provided the following information:

b6
b7C

ROZET advised that he has known the candidate for approximately 6 or 7 years. His relationship with the candidate is primarily related to their work with the Democratic Party. He first met the candidate during the JESSE JACKSON Presidential Campaign. ROZET described the candidate as an amazingly capable individual who has the ability to stay calm and directed in the most difficult of circumstances. He is a very goal oriented person and yet he is sensitive to any situations that may arise as he seeks to complete his goals.

ROZET stated that he has been represented by PATTON, BOGGS, AND BLOW since 1989, or thereabouts. He stated that at that time the law firm that was representing his company disbanded. The attorney that he had dealt with was hired by the candidate's firm and inasmuch as he wanted to deal with the same attorney, he became a client of the candidate's law firm. ROZET stated that he has never had any professional dealings with the candidate inasmuch as he has been the chairman of the Democratic National Committee since ROZET became a client of the candidate's firm. ROZET stated that they have never had any correspondence or conversations dealing with any legal matters and therefore he cannot comment on the candidate's professional abilities.

ROZET has no reason to question the candidate's character, associates, reputation or loyalty as an American citizen. He has never known the candidate to use illegal drugs, abuse alcohol or prescription drugs. He has no knowledge of any financial irregularities with in the candidate's life and believes him to be both physically and mentally healthy. ROZET stated that the candidate is very open minded and he has never

Investigation on 12/31/92 at Pacific Palisades, CA File # 161A-HQ-1042752

by SA [REDACTED] nwp Date dictated 12/31/92

b6
b7C

161A-HQ-1042752

Continuation of FD-302 of BRUCE ROZET, On 12/31/92, Page 2

known the candidate to exhibit bias or prejudice toward anyone for any reason.

ROZET stated that he believes the candidate has the ability and intelligence to do a terrific job in any Cabinet position. He stated that the candidate has the unique capability of bringing divergent points together and he is a competent administrator. ROZET recommends that candidate of a position of trust with the government.

161A-HQ-1042752

AV/av

The following investigation was conducted on December 31, by SA [REDACTED] at Los Angeles, California:

An attempt was made to contact BILL CARRICK, at Los Angeles, [REDACTED]. The number provided is for Jones Naughton Entertainment. No one at the mentioned business has any knowledge or information regarding BILL or WILLIAM CARRICK.

b6
b7C

Los Angeles Telephone Directory Assistance, provided one listing for one WILLIAM CARRICK, [REDACTED]

[REDACTED] SA [REDACTED] conducted a residence check, finding no one at home. A business card was left at the residence with instructions for WILLIAM CARRICK to contact SA [REDACTED] as soon as possible. A telephone message was also left at the mentioned telephone number with the same instructions.

FM WMFO (161A-HQ-1042752) (P) (A-1)

TO DIRECTOR FBI/PRIORITY

FBI DETROIT/IMMEDIATE

FBI PORTLAND/IMMEDIATE

CITE: //3920//

PASS: ATTN: FBIHQ, SPIN UNIT, PSS ROOM 4371.

b6
b7c

SUBJECT: RONALD HARMON BROWN; SPIN; OO: FBIHQ; BUDED: 1/4/93.

FOR INFORMATION OF DETROIT AND PORTLAND DIVISIONS THE BUREAU HAS BEEN REQUESTED BY THE OFFICE OF THE PRESIDENT ELECT TO CONDUCT A LEVEL I BACKGROUND INVESTIGATION OF CAPTIONED APPOINTEE. THE APPOINTEE HAS BEEN SCHEDULED FOR CONFIRMATION HEARINGS ON 1/6/93 AND RESULTS MUST REACH FBIHQ BY NOON ON 1/4/93.

THE APPOINTEE WAS BORN 8/1/41 IN WASHINGTON, D.C.; RESIDES AT 2722 UNICORN LANE, NORTHWEST, WASHINGTON, D.C.; AND HAS SSAN 095-32-5852.

FIELD OFFICES ARE REMINDED THAT THE INVESTIGATIVE STATUS OF THE BI, ALL DEADLINES AND THE POSITION FOR WHICH THE APPOINTEE IS BEING CONSIDERED SHALL NOT BE DISCLOSED TO ANY INTERVIEWEES. IF DURING THE COURSE OF THE INVESTIGATION, INTERVIEWEES PROVIDE THE NATURE OF THE POSITION, THAT INFORMATION IS NOT TO BE FURTHER DIVULGED OUTSIDE THE FBI. INQUIRIES BY INTERVIEWEES CONCERNING THE NATURE OF THE POSITION ARE TO BE HANDLED AS SET FORTH IN MIOG, PART II, SECTION 17-5 (5). APPOINTEES MAKING INQUIRIES REGARDING THE STATUS OF THEIR BI ARE TO BE REFERRED TO THE CLIENT AGENCY.

*Lead to PD cancelled
12/31 pending phone call*

THE APPOINTEE IS EMPLOYED WITH PATTON, BOGGS, AND BLOW LAW FIRM, WASHINGTON, D.C. THE APPOINTEE PROVIDED A LIST OF HIS CLIENTS, INCLUDING FORMER CLIENT, EVERGREEN INTERNATIONAL AVIATION (EIA), MCMINNVILLE, OREGON, AND WAYNE COUNTY, MICHIGAN. THE APPOINTEE HAS ADVISED THAT EIA WAS INVOLVED IN A BANKRUPTCY MATTER SEVERAL YEARS AGO AND HE REPRESENTED THE COMPANY. THE APPOINTEE PROVIDED THE NAME OF [REDACTED]

b6
b7C

[REDACTED] WHO CAN VERIFY THE APPOINTEE'S PROFESSIONAL ASSOCIATION WITH EIA.

REGARDING WAYNE COUNTY, MICHIGAN, THE APPOINTEE ADVISED THAT HE WAS CONTACTED BY THE COUNTY TO ASSIST THEM IN BUILDING A NEW AIRPORT. THE APPOINTEE FURTHER ADVISED THAT [REDACTED] (ADDRESS UNKNOWN) TELEPHONE [REDACTED] CAN VERIFY HIS PROFESSIONAL ASSOCIATION WITH WAYNE COUNTY. IT SHOULD BE NOTED THAT THE APPOINTEE HAS STATED THAT HE HAD VERY LITTLE PERSONAL CONTACT WITH WAYNE COUNTY OFFICIALS.

b6
b7C

LEADS: DETROIT, AT WAYNE COUNTY, MICHIGAN:

INTERVIEW [REDACTED] AND PROVIDE DETAILS OF THE APPOINTEE'S ASSOCIATION WITH WAYNE COUNTY.

PORTLAND AT MCMINNVILLE, OREGON:

INTERVIEW [REDACTED] AND PROVIDE DETAILS OF THE APPOINTEE'S ASSOCIATION WITH EIA.

b6
b7C

DIRECT RESULTS/QUESTIONS TO PSS [REDACTED], SPIN UNIT, FBIHQ, ROOM 4371, EXT. 2588.

FM WMFO (161A-HQ-1042752) (P) (A-1)

TO DIRECTOR FBI/IMMEDIATE

FBI DENVER/IMMEDIATE

CITE: //3920//

PASS: ATTN: FBIHQ, SPIN UNIT, UNIT CHIEF, [REDACTED] ROOM 4371.

b6
b7C

SUBJECT: RONALD HARMON BROWN; AKA RON BROWN; SPIN; OO:FBIHQ;

BUDED: 1/4/93.

RE BUREAU AIRTEL TO BS, LA, NY, AND WMFO, DATED 12/23/92.

FOR INFORMATION OF DENVER, THE OFFICE OF THE PRESIDENT ELECT TO CONDUCT AN EXPEDITE LEVEL ONE BACKGROUND INVESTIGATION OF THE APPOINTEE. THE APPOINTEE WAS BORN 8/1/41, AT WASHINGTON, D.C., RESIDES AT 2722 UNICORN LANE, NORTHWEST, WASHINGTON, D.C., AND HAS A SSAN 095-32-5852.

FIELD OFFICES ARE REMINDED THAT THE INVESTIGATIVE STATUS OF A BI, ALL DEADLINES AND THE POSITION FOR WHICH THE APPOINTEE IS BEING CONSIDERED SHALL NOT BE DISCLOSED TO ANY INTERVIEWEES. IF DURING THE COURSE OF THE INVESTIGATION, INTERVIEWEES PROVIDE THE NATURE OF THE POSITION, THAT INFORMATION IS NOT TO BE FURTHER DIVULGED OUTSIDE THE FBI. INQUIRIES BY INTERVIEWEES CONCERNING THE NATURE OF THE POSITION ARE TO BE HANDLED AS SET FORTH IN MIOG, PART II, SECTION 17-5 (5). APPOINTEE'S MAKING INQUIRIES REGARDING THE STATUS OF THEIR BI ARE TO BE REFERRED TO THE CLIENT AGENCY.

DIRECT RESULTS/QUESTIONS TO PSS [REDACTED] SPIN UNIT, FBIHQ, ROOM 4371, EXT. 2588. IF BUDED WILL NOT BE MET,

b6
b7C

TELEPHONICALLY ADVISE PSS [REDACTED] AND SET FORTH REASON(S) IN ADMINISTRATIVE SECTION OF INVESTIGATIVE REPORT. SPIN UNIT FACSIMILE NUMBERS ARE: (202) 324-2574 AND (202) 324-1373.

b6
b7c

FOR INFORMATION OF DENVER, THE APPOINTEE ADVISED THAT HE WAS RETAINED BY CITY AND COUNTY OF DENVER TO DO LEGAL WORK IN RESPECT TO BUILDING A NEW AIRPORT. BROWN STATED HE PRIMARILY DEALT WITH FREDERIC PENA, DENVER, COLORADO.

LEADS

DENVER, COLORADO INTERVIEW FREDERIC PENA. VERIFY APPOINTEE'S WORK FOR THE CITY AND COUNTY OF DENVER, SPECIFICALLY DETERMINE WHEN BROWN WAS RETAINED BY THE CITY AND COUNTY OF DENVER AND WHETHER OR NOT HE HAS DONE ANY WORK FOR DENVER SINCE BECOMING CHAIRMAN OF THE DNC IN 1979.

DENVER SHOULD BE ADVISED THAT SCHEDULED SENATE CONFIRMATION HEARINGS ARE SET TO BEGIN ON 1/6/93 AND THAT BUREAU DEADLINE OF NOON, 1/4/93, WILL BE MET WITHOUT FAIL.

ROUTING SLIP

December 31, 1992

TO: SACs, Charlotte
New Orleans
New York

FROM: Director, FBI (161A - HQ - 1042752)

SUBJECT: RONALD HARMON BROWN, AKA
RON BROWN
SPIN;
OO: FBIHQ
BUDED: Noon EST 1/4/93

Reference FBIHQ fax to above offices this date.

Receiving offices should conduct the indices checks indicated below.

Direct results/questions to PSS SPIN Unit, b6
FBIHQ, Room 4371, Ext. 2588, by COB Buded. b7C

LEADS:

CHARLOTTE DIVISION:

Check indices re Environmental Industrial Research Associates.

NEW ORLEANS DIVISION:

Check indices re Chemfix.

NEW YORK DIVISION:

Check indices re Chemfix.

ROUTING SLIP

December 30, 1992

TO: SAC, Atlanta (Enc.)
Boston (Enc.)
Charlotte (Enc.)
Denver
New Orleans
New York

FROM: Director, FBI (161A - HQ - 1042752)

SUBJECT: RONALD HARMON BROWN, AKA
RON BROWN
SPIN;
OO: FBIHQ
BUDED: Noon EST, 1/4/93

Enclosed for Atlanta, Boston, and Charlotte is a copy of appointee's release of information form.

For information of Atlanta and Charlotte, Bureau has been requested by the Office of the President-Elect to conduct an **EXPEDITE LEVEL I** background investigation of appointee, who has been nominated to be Secretary of Commerce. Appointee was born 8/1/41 at Washington, D.C., and has SSAN 095-32-5852.

Receiving offices should conduct the below-indicated investigation:

Direct results/questions to PSS [redacted] SPIN Unit, FBIHQ, Room 4371, Ext. 2588, by Buded. **BUDED MUST BE MET WITHOUT FAIL, AS APPOINTEE'S CONFIRMATION HEARING IS SCHEDULED FOR 1/6/93.**

b6
b7C

LEADS:

ATLANTA DIVISION:

Appointee is a director and major stockholder of Chemfix Technologies of Metairie, Louisiana. A wholly owned subsidiary of Chemfix, Environmental Industrial Research Associates, pleaded guilty on 2/6/92 to a 12/4/91 North Carolina grand jury indictment for defrauding the Environmental Protection Agency. Atlanta should check records of EPA Region IV, which is headquartered in Atlanta, concerning this matter, and any information regarding appointee. It is noted that EPA Region IV covers North Carolina.

Fax to SACs, Atlanta et al dated 12/30/92
Re: Ronald Harmon Brown

BOSTON DIVISION:

Appointee is the founder and president of Capital/PEBSCO. The Boston Globe reported that in 1991 PEBSCO was the target of a grand jury investigation involving \$4 million paid to Massachusetts state officials for help in securing and maintaining the company's contract; the probe ended without an indictment being issued. Boston should check the U.S. Attorney's Office or other attorney's offices concerning this matter.

CHARLOTTE DIVISION:

See lead for Atlanta. Then conduct the following leads: Check the North Carolina State EPA concerning Environmental Industrial Research Associates and appointee. Check the U.S. Attorney's Office concerning Environmental Industrial Research Associates and appointee.

DENVER DIVISION:

See lead to Atlanta. Then check EPA's National Enforcement Investigations Center re Environmental Industrial Research Associates and appointee.

NEW ORLEANS DIVISION:

Check Louisiana State EPA re Chemfix Technologies and National Environmental Controls and appointee.

NEW YORK DIVISION:

Reference routing slip to New York this date about Chemfix Technologies:

1. Check the Manhattan District Attorney's Office for any information concerning an investigation of Chemfix Technologies and/or Brown.

2. Check the New York City Department of Investigations for any information concerning an investigation of Chemfix Technologies and/or Brown.

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I **Authorize** any investigator, special agent, or other duly accredited representative of the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, and any authorized Federal agency, to obtain any information relating to my activities from schools, residential management agents, employers, criminal justice agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, and criminal history record information.

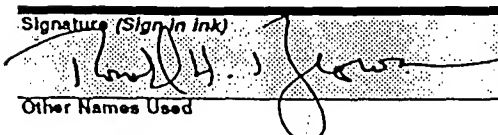
I **Understand** that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, a separate specific release will or may be needed, and I may be contacted for such a release at a later date.

I **Further Authorize** the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, and any other authorized agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for, assignment to, or retention in, a sensitive position, in accordance with 5 U.S.C. 9101.

I **Authorize** custodians of records and sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I **Understand** that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for two (2) years from the date signed.

Signature (Sign in Ink) 		Full Name (Type or Print Legibly) Ronald Harmon Brown		Date Signed 12/19/92	
Other Names Used				Social Security Number 0195 - 312 - 5181 52	
Current Address (Street, City) 2722 Unicorn Lane, NW, Washington			State D.C.	ZIP Code 20015	Home Telephone Number (Include Area Code) (202) 362-3915

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE NEW ORLEANS	OFFICE OF ORIGIN BUREAU	DATE 12/30/92	INVESTIGATIVE PERIOD 12/29/92 - 12/30/92
TITLE OF CASE RONALD HARMON BROWN, aka Ron Brown		REPORT MADE BY SA 	TYPED BY: bms
		CHARACTER OF CASE SPIN	

b6
b7CREFERENCE:

Bureau facsimile to New Orleans dated 12/29/92.

- Rue -

(BUDED: 1/4/93)

ADMINISTRATIVE:

Where appropriate, Privacy Act (e) (3) data was furnished to all persons interviewed and none requested confidentiality.

All those individuals interviewed with no date of birth documented necessitated the omission on the basis of professional determination that to pursue such details would have been counter-productive or not relevant to the scope of the investigation or any subsequent review.

APPROVED <i>RSS/mw</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW						
COPIES MADE: (2) - Bureau (161A-HQ-1042752) (Attn: PSS) SPIN UNIT, ROOM 4371) 2 - New Orleans (161A-HQ-1042752)								
DISSEMINATION RECORD OF ATTACHED REPORT		Notations <div style="text-align: right; font-size: 2em; margin-top: 20px;"><i>See</i></div>						
Agency								
Request Recd.								
Date Fwd.								
How Fwd.								
By								

b6
b7C

A*

COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of:
Date:

SA [REDACTED]
December 30, 1992

Office: NEW ORLEANS

b6
b7C

Field Office File #:

161A-HQ-1042752

Bureau File #: 161A-HQ-1042752

Title:

RONALD HARMON BROWN, aka
Ron Brown

Character:

SPIN

Synopsis:

Interview of GLORIA NEWBERN, vice president and corporate secretary, CHEMFIX TECHNOLOGIES, INC., at 3838 N. Causeway Blvd., Suite 2500, Metairie, La., confirmed appointee's association with both CHEMFIX and National Environment Controls from 1982 until February, 1992. The appointee served as a member of the Board of Directors for each of these businesses, at various times during these years. Ms. NEWBERN stated that the appointee's only affiliation was as a member of the Board of Directors. He served in no other capacity with either of these businesses. Ms. NEWBERN provided only positive comments about the appointee, and personally recommended him for approval as a presidential appointee.

- RUC -
P

DETAILS:

161A-HQ-1042752
BPH:bms

New Orleans Division
at Metairie, Louisiana

EMPLOYMENT/BUSINESS AFFILIATION

CHEMFIX TECHNOLOGIES, INCORPORATED/
National Environmental Controls
3838 North Causeway Boulevard
Suite 2500
Metairie, Louisiana
504/831-3600
1982 until February, 1992

On December 29, 1992, Special Agent [REDACTED] conducted the following investigation regarding the appointee, RONALD HARMON BROWN, also known as Ron Brown:

b6
b7c

GLORIA NEWBERN, vice president and corporate secretary, CHEMFIX TECHNOLOGIES, INC. (CHEMFIX), as well as secretary of National Environment Controls (NEC) (both entities being publicly owned companies, with corporate offices at 3838 North Causeway Boulevard, Suite 2500, Metairie, Louisiana, 504/831-3600) was interviewed at her office. Ms. NEWBERN was interviewed in the presence of Senior Vice President and General Counsel PETER N. BIBKO, CHEMFIX. Ms. NEWBERN has been affiliated with both corporate entities since their incorporation over 20 years ago. She also serves as a member of the Board of Directors for CHEMFIX. Ms. NEWBERN stated that NEC originally owned CHEMFIX. NEC was originally a solid waste disposal company, but is currently just a holding company. In 1980, CHEMFIX "spun off" as a separate entity with principal ownership in the hands of shareholders. At that time, in 1980, NEC retained 40 percent of the equity interest in CHEMFIX, with a balance owned by shareholders. Currently, it was noted that NEC only owns approximately 17 percent of stock in CHEMFIX. Ms. NEWBERN noted that CHEMFIX is primarily concerned with both hazardous and non-hazardous waste treatment processes.

In reviewing the corporate records, Ms. NEWBERN stated that the appointee was elected to the Board of Directors for NEC, and its then subsidiary, CHEMFIX, in 1982. At that time, there was only one Board of Directors for both entities, with an approximate number of 15 board members. BROWN served in this capacity until 1985 when the decision was made to divide the Board of Directors, with each of the two entities having its own separate board. Both CHEMFIX and NEC at that time had six or seven board members. The appointee, in 1985, began serving only as a director on the board of NEC.

161A-HQ-1042752
BPH:bms

In February, 1988, the appointee verbally resigned from the NEC Board of Directors, and at the same time, was elected to the Board of Directors for CHEMFIX. Ms. NEWBERN noted that the primary reason for the change was because NEC operations were beginning to slow down, while at the same time, CHEMFIX operations were becoming very active. The appointee felt that he could make a greater contribution as a member of the CHEMFIX Board of Directors. At this point, Ms. NEWBERN noted that each member of the Board of Directors for both entities was subject to review at the annual stockholders meeting, where each had to be re-elected on an annual basis. Ms. NEWBERN stated that the appointee's continuous re-elections were never in jeopardy.

In February, 1992, the appointee chose not to be re-elected to his position as a member of the Board of Directors for CHEMFIX. This decision was reportedly primarily made due to the appointee having been selected as chairman of the National Democratic Committee. The appointee communicated to the other board members that he felt like he could not devote adequate attention to his responsibilities as a board member, due to his time constraints which directly resulted from this commitment to the position with the National Democratic Committee.

Ms. NEWBERN also provided personal assessment of the appointee, in that she was a fellow board member and had much interaction with the appointee during the period of 1982 until 1992. Ms. NEWBERN stated that the appointee has an excellent reputation and was always well-respected by other board members. He displayed excellent interpersonal skills at all times. While acting as a board member, the appointee was very active, and attended most of the meetings, which were held approximately four times a year, until he was elected chairman of the National Democratic Committee (1988 or 1989). In fact, his fewest board meeting attendances were probably in 1991, just prior to his resignation as a member of the CHEMFIX Board of Directors.

Ms. NEWBERN stated that the appointee made many contributions while a member of the Board of Directors for each of the above-referenced business entities. Her relationship with the appointee was primarily business-related, but they did interact socially on occasion, through dinner parties, which again were affiliated with his position as a member of the Board of Directors. She had no knowledge of the appointee's associates outside of the other board members, all of whom are considered very reputable persons. Ms. NEWBERN considered the appointee to be extremely trustworthy, and to possess outstanding moral character. She considers his honesty and integrity to be above reproach. Although the appointee "may have a drink or two,"

161A-HQ-1042752

BPH:bms

Ms. NEWBERN had no knowledge of him ever abusing alcohol, prescription drugs or using illegal narcotics. She considered the appointee to be a loyal American citizen, not known to display any biases or prejudices. She has no knowledge of any financial problems ever affecting the appointee. Ms. NEWBERN concluded by stating that the appointee proved to be a very capable board member during his tenure, with no known vulnerabilities which might affect his appointment to a presidential position. Ms. NEWBERN did not hesitate in recommending the appointee for consideration of a presidential appointment.

FM WMFO (161A-HQ-1042752) (P) (A-1)

TO DIRECTOR FBI/IMMEDIATE

FBI BOSTON/IMMEDIATE

FBI LOS ANGELES/IMMEDIATE

FBI NEW YORK/IMMEDIATE

FBI SAN FRANCISCO/IMMEDIATE

CITE: 1//3920//

PASS: ATTN: FBIHQ, SPIN UNIT, UNIT CHIEF, [REDACTED] ROOM 4371.

b6
b7c

SUBJECT: RONALD HARMON BROWN; AKA RON BROWN; SPIN; OO:FBIHQ;

BUDED: 1/4/93.

RE BUREAU AIRTEL TO BS, LA, NY, AND WMFO, DATED 12/23/92.

FOR INFORMATION OF SAN FRANCISCO, THE OFFICE OF THE PRESIDENT ELECT TO CONDUCT AN EXPEDITE LEVEL ONE BACKGROUND INVESTIGATION OF THE APPOINTEE. THE APPOINTEE WAS BORN 8/1/41, AT WASHINGTON, D.C., RESIDES AT 2722 UNICORN LANE, NORTHWEST, WASHINGTON, D.C., AND HAS A SSAN 095-32-5852.

FIELD OFFICES ARE REMINDED THAT THE INVESTIGATIVE STATUS OF A BI, ALL DEADLINES AND THE POSITION FOR WHICH THE APPOINTEE IS BEING CONSIDERED SHALL NOT BE DISCLOSED TO ANY INTERVIEWEES. IF DURING THE COURSE OF THE INVESTIGATION, INTERVIEWEES PROVIDE THE NATURE OF THE POSITION, THAT INFORMATION IS NOT TO BE FURTHER DIVULGED OUTSIDE THE FBI. INQUIRIES BY INTERVIEWEES CONCERNING THE NATURE OF THE POSITION ARE TO BE HANDLED AS SET FORTH IN MIOG, PART II, SECTION 17-5 (5).

APPOINTEE'S MAKING INQUIRIES REGARDING THE STATUS OF THEIR BI ARE TO BE REFERRED TO THE CLIENT AGENCY.

DIRECT RESULTS/QUESTIONS TO PSS [REDACTED] SPIN UNIT, FBIHQ, ROOM 4371, EXT. 2588. IF BUDED WILL NOT BE MET, TELEPHONICALLY ADVISE PSS [REDACTED] AND SET FORTH REASON(S) IN ADMINISTRATIVE SECTION OF INVESTIGATIVE REPORT. SPIN UNIT FACSIMILE NUMBERS ARE: (202) 324-2574 AND (202) 324-1373.

b6
b7C

FOR INFORMATION OF RECEIVING OFFICES, WMFO INTERVIEWED APPOINTEE AT DEMOCRATIC NATIONAL COMMITTEE (DNC), WASHINGTON, D.C., ON 12/28/92. DURING INTERVIEW, BROWN ADVISED THAT HE IS AN INVESTOR AN OWNS APPROXIMATELY 16 AND 2/3 OF STOCK IN BOSTON BANK OF COMMERCE, BOSTON, MASSACHUSETTS. THE APPOINTEE ALSO SERVES ON THE BOARD OF DIRECTORS FOR THE BANK. THE APPOINTEE ADVISED THAT [REDACTED] IS [REDACTED] OF THE BANK AND THAT [REDACTED] INVITED THE APPOINTEE TO BECOME AN INVESTOR AND SERVE ON BOARD OF DIRECTORS FOR THE BANK.

b6
b7C

APPOINTEE ADVISED DURING INTERVIEW THAT HE IS A PARTNER AT LAW FIRM OF PATTON, BOGGS, AND BLOW, 2550 M STREET, NORTHWEST, WASHINGTON, D.C. SINCE JULY, 1981. APPOINTEE FURTHER ADVISED THAT SINCE ASSUMING THE POSITION OF CHAIRMAN AT DNC IN FEBRUARY, 1989, HE HAS PLAYED A RELATIVE INACTIVE ROLE AT HIS LAW FIRM.

APPOINTEE ADVISED THAT ONE OF HIS PRESENT CLIENTS AT LAW FIRM IS ASSOCIATED FINANCIAL CORPORATION (AFC), 2020 SANTA MONICA BOULEVARD, SANTA MONICA, CALIFORNIA. APPOINTEE FURTHER ADVISED THAT AFC BUILDS AND MANAGES LOW AND MODERATE INCOME HOUSING. APPOINTEE STATED THAT [REDACTED] OF AFC IS [REDACTED]

b6
b7C

APPOINTEE ADVISED THAT ANOTHER CLIENT AT LAW FIRM SEDGWICK JAMES, INC., 1285 AVENUE OF THE AMERICAS, NEW YORK, NEW YORK. APPOINTEE STATED THAT SEDGWICK JAMES, INC. IS AN INSURANCE COMPANY AND THAT HIS PRIMARY CONTACT AT SEDGWICK JAMES HAS BEEN WITH [REDACTED] (POSITION UNKNOWN) AND [REDACTED] [REDACTED] OF THE COMPANY.

b6
b7C

APPOINTEE WAS FORMERLY EMPLOYED AS CHIEF COUNSEL, UNITED STATES SENATE, FROM APPROXIMATELY AUGUST, 1980 UNTIL JANUARY, 1981. APPOINTEE SAID THAT HIS ASSOCIATES AT UNITED STATES SENATE COMMITTEE ON JUDICIARY WERE [REDACTED] AND [REDACTED] [REDACTED]

LEADS

BOSTON DIVISION AT BOSTON, MASSACHUSETTS WILL INTERVIEW [REDACTED] OF BOSTON BANK OF COMMERCE, BOSTON MASSACHUSETTS, TELEPHONE NUMBER [REDACTED] AND INTERVIEW REGARDING PROFESSIONAL KNOWLEDGE OF APPOINTEE. BOSTON DIVISION SHOULD ALSO QUESTION [REDACTED] REGARDING BACKGROUND AND DETAILS OF APPOINTEE'S INVOLVEMENT IN THE BOSTON BANK OF COMMERCE AND WHETHER OR NOT THE APPOINTEE HAS BEEN AN ACTIVE PARTICIPANT IN THAT BANK'S OPERATION SINCE THE APPOINTEE ASSUMED DNC CHAIRMANSHIP IN FEBRUARY, 1989.

b6
b7C

LOS ANGELES DIVISION AT LOS ANGELES, CALIFORNIA WILL INTERVIEW [REDACTED] FORMER ASSOCIATE OF APPOINTEE DURING EMPLOYMENT AT US SENATE COMMITTEE JUDICIARY, US SENATE. CARRICK'S CURRENT STREET ADDRESS IS UNKNOWN HOWEVER [REDACTED] CAN CURRENTLY BE REACHED AT TELEPHONE NUMBER [REDACTED] LOS ANGELES SHOULD INTERVIEW [REDACTED] REGARDING APPOINTEE'S PRIOR

b6
b7C

EMPLOYMENT AT THE US SENATE, HIS JOB PERFORMANCE, AND FITNESS FOR HIGH LEVEL POSITION IN THE UNITED STATES GOVERNMENT.

LOS ANGELES DIVISION AT SANTA MONICA, CALIFORNIA, WILL INTERVIEW [REDACTED] OF ASSOCIATED FINANCIAL CORPORATION, 2020 SANTA MONICA BOULEVARD, SANTA MONICA, CALIFORNIA, TELEPHONE NUMBER [REDACTED] SPECIFICALLY LOS ANGELES SHOULD QUESTION [REDACTED] PROFESSIONAL RELATIONSHIP WITH APPOINTEE AND THE EXTENT OF THE LEGAL SERVICES PROVIDED BY THE APPOINTEE TO ASSOCIATED FINANCIAL CORPORATION SINCE APPOINTEE ASSUMED CHAIRMANSHIP OF DNC IN FEBRUARY, 1989. IN ADDITION, LOS ANGELES SHOULD QUESTION [REDACTED] REGARDING APPOINTEE'S FITNESS FOR HIGH LEVEL POSITION IN THE UNITED STATES GOVERNMENT.

b6
b7C

NEW YORK DIVISION AT NEW YORK, NEW YORK, WILL INTERVIEW [REDACTED] AND [REDACTED], [REDACTED] OF SEDGWICK JAMES, INC., 1285 AVENUE OF THE AMERICAS, NEW YORK, NEW YORK, TELEPHONE 212-830-8324, REGARDING LEGAL SERVICES PROVIDED BY APPOINTEE. SPECIFICALLY NEW YORK SHOULD FOCUS ON ANY LEGAL SERVICES PROVIDED TO SEDGWICK JAMES, INC. SINCE APPOINTEE'S ASSUMED POSITION AT DNC AT FEBRUARY, 1989. IN ADDITION NEW YORK SHOULD INTERVIEW [REDACTED] AND [REDACTED] REGARDING THEIR KNOWLEDGE OF APPOINTEE BOTH PERSONAL AND/OR PROFESSIONAL, AND DETERMINE APPOINTEE'S FITNESS FOR HIGH LEVEL POSITION IN THE UNITED STATES GOVERNMENT.

b6
b7C

SAN FRANCISCO DIVISION AT SAN FRANCISCO, CALIFORNIA WILL INTERVIEW [REDACTED] STREET ADDRESS UNKNOWN, SAN FRANCISCO, CALIFORNIA, WORK TELEPHONE NUMBER [REDACTED] HOME TELEPHONE NUMBER [REDACTED] REGARDING APPOINTEE'S FORMER

b6
b7C

EMPLOYMENT AS CHIEF COUNSEL, US SENATE COMMITTEE ON THE JUDICIARY FROM AUGUST, 1980 UNTIL JANUARY, 1981. SPECIFICALLY SAN FRANCISCO SHOULD QUESTION ABOUT APPOINTEE'S PERSONAL CHARACTER, HIS JOB PERFORMANCE AT THE US SENATE, AND THE APPOINTEE'S FITNESS FOR A HIGH LEVEL POSITION IN THE UNITED STATES GOVERNMENT.

b6
b7c

RECEIVING OFFICES SHOULD BE ADVISED THAT SCHEDULED SENATE CONFIRMATION HEARINGS ARE SET TO BEGIN ON 1/6/93 AND THAT BUREAU DEADLINE OF NOON, 1/4/93, WILL BE MET WITHOUT FAIL.

DCII CHECK REQUEST FORM

** SUBJECT IDENTIFICATION **

PLEASE RETURN TO PSS

ROOM 4371.

b6
b7C

SUBJECT: BROWN, RONALD, HARMON

DOB: 41/08/01

SSAN: 095-32-5852

OTHER-NAMES:

CHECK CONDUCTED BY: _____

ON: _____

12/30/82

b6
b7C

01 BROWN, RONALD, H

*** ?DOSSIER

LOC=ACRD

DB=410501 SS=

YR=61 NO=006323 B

PB=

CTX=SUBJECT RET=40 YRS
↓

App. DOB 410801.

He didn't enter military
until 1963. NI

?SEL-NEXT

4B

RNTR/PF-4

EXT/PF-5

CMD = --

NUM

-

33

ROUTING SLIP

December 30, 1992

TO: SAC, New Orleans
FROM: Director, FBI (161A - HQ -1042752)
SUBJECT: RONALD HARMON BROWN, AKA
RON BROWN
SPIN;
OO: FBIHQ
BUDED: Noon EST, 1/4/93

Supervisor ReButelcall from PSS [redacted] and SA [redacted] SPIN Unit, to
date. [redacted] New Orleans, this

b6
b7C

Attached for New Orleans is a copy of page 49 from a document entitled "PRIVATE PARTIES: Political Party Leadership in Washington's Mercenary Culture," which was published by The Center for Public Integrity.

Direct results/questions to PSS [redacted] SPIN Unit,
FBIHQ, Room 4371, Ext. 2588, by Buded.

b6
b7C

LEADS:

NEW ORLEANS DIVISION:

Contact the chief counsel (or other appropriate persons from the legal department) at Chemfix to determine if they are aware of any investigations or pending or ongoing legal actions against appointee and/or Chemfix, to include (but not limited to) an SEC investigation and investigations by the U.S. Attorney's Office in Manhattan and the Manhattan District Attorney's Office.

Leads

A New York City spokesperson confirmed to *The Washington Post* that the SEC had begun an investigation into possible stock leaks related to the Chemfix contract.¹⁴¹ The SEC was reportedly brought in by the New York City Department of Investigation, which was alerted to the Texas analyst's stock tip by the New York City Department of Environmental Protection.¹⁴²

and
Shuttle

The U.S. Attorney's office in Manhattan also reportedly began an investigation, but has refused to confirm or deny its existence.

On March 12, 1992, the Manhattan District Attorney's office issued a subpoena to the New York City Department of Environmental Protection as part of an investigation of New York City's entire \$1 billion sludge program. The sweeping subpoena asked for all records of the agency's communication with a large number of individuals, agencies, and companies, including: Brown; Bradley; Patton, Boggs & Blow; Steisel; Ickes; Chemfix; and Air & Water Technologies.

"We are involved with an investigation," [REDACTED] of the Investigations Division for the Manhattan District Attorney, told the Center. "There are subpoenas issued which deal with Mr. Brown's correspondence and his involvements with certain agencies in New York."

b6
b7C

[REDACTED] refused to comment further on the contents of the other subpoenas, but he stressed that being named in a subpoena does not imply criminal charges. "The fact that we issue a subpoena is meaningless," [REDACTED] told the Center. "I can't emphasize enough how the press turns it into something it's not."

"This is an instance where there are at least twenty different people of public note that have been involved with sludge contracting. The fact that there may be the barest accusations against them, [even though it is] enough to have us begin an investigation, doesn't mean that there are charges."

The D.A.'s office has refused to confirm or deny the existence of any investigation of Brown, but the office's August 4, 1992, response to a Freedom of Information request from the Center for Public Integrity, asking for all documents "relating to the criminal investigation of Ronald H. Brown, who is on the board of Chemfix Technologies, Inc.," brought this reply:

Please be advised that I have completed my review of your request for information regarding Ronald H. Brown and Chemfix Technologies, Inc. Your request for the above mentioned information is denied pursuant to Public Officers Law S 87 (2) (e) (i) and (iii), insofar as the disclosure of the information would interfere with a law enforcement investigation and may identify a confidential source and disclose confidential information relating to a criminal investigation.¹⁴³

On November 7, 1991, after eighteen months of contract negotiations, Chemfix, citing the bad publicity, dropped out of New York City's sludge program. According to *Newsday*, its letter to the city said, in part: "This proposed project has received such an unjust tainting and its political support has been so eroded that its chances for successful implementation with reasonable terms have been seriously jeopardized."¹⁴⁴

ROUTING SLIP

December 30, 1992

TO: SAC, WMFO
FROM: Director, FBI (161A - HQ - 1042752)
SUBJECT: RONALD HARMON BROWN
SPIN;
OO: FBIHQ
BUDED: Noon EST 1/4/93

WMFO should conduct the following investigation on appointee:

Direct results/questions to PSS [redacted] SPIN Unit, FBIHQ, Room 4371, Ext. 2588, by Buded.

b6
b7C

LEADS:

WMFO DIVISION:

1. Check House and Senate records to determine if, when, and for whom appointee is/was registered as a lobbyist.

2. Check with legal counsel at the Senate Judiciary Committee to see what ethics laws, if any, applied when appointee left the Committee to work for Patton, Boggs & Blow. This question is specifically generated by appointee's relationship with the Home Recording Rights Coalition (see pgs. 38 and 39 of document).

3. Check at DOJ/Foreign Agents Registration Act to determine for whom, and what periods of time, appointee is/was registered as a foreign agent. Make sure this matches with what is on pg. 39 of the article. Determine who has to register under the Act. As it was indicated that appointee represented the Home Recording Rights Coalition, which included numerous U.S. subsidiaries of Japanese companies, determine if appointee should have been registered as a foreign agent for this.

4. Check D.C. Contract Appeals Board and chief counsel for D.C. to determine if the contract that was awarded to Patton, Boggs and Blow as D.C. bond counsel was awarded in accordance with all guidelines.

5. Interview [redacted] at DNC in detail regarding the 6 general topics we've identified in the article. Specifically ask her about anything that is attributed to her in the article.

b6
b7C

Fax to SAC, WMFO dated 12/30/92
Re: Ronald Harmon Brown

6. Interview [] and [] at Patton, Boggs and Blow in detail about the 6 general topics we've identified in the article. Specifically ask them about anything in the article that is attributed to them.

b6
b7c

7. Interview the corporate counsel at Capital PEBSO and PEBSO concerning the contract awarded to those companies by D.C. Also obtain comments concerning the grand jury investigation against PEBSO in Boston.

8. Interview appointee and determine if he is aware of the document and its contents, and if, to his knowledge, the transition team and the President-Elect are aware of the document and its contents. Then specifically address the following issues (it is suggested that questions be as specific as possible; go through the article in a logical step by step basis if necessary to address the issues):

a) It is indicated in the article that appointee has said he has not done any work for his law firm or his companies since becoming Chairman of DNC. What work has he done, if any, at Patton, Boggs and Blow since becoming DNC Chairman? What companies has he been identified with since becoming DNC Chairman? Has he done any work for those companies since becoming DNC Chairman? Has he ever solicited government business for his law firm or those companies since becoming DNC Chairman? Has he taken any business trips abroad for his law firm or any of the companies since becoming DNC Chairman? Has he represented Denver since becoming DNC Chairman?

b) Regarding his representation of the Home Recording Rights Coalition, did he determine if he needed to register as a foreign agent to do this since many of the companies were U.S. subsidiaries of Japanese companies? Were there any ethics laws governing his doing business with this coalition since he had dealt with the coalition as counsel to the Senate Judiciary Committee? If there were any ethics laws, did he comply with them?

c) Obtain appointee's detailed comments concerning Chemfix and the contract with New York City. Is he aware of the alleged investigations going on? The article indicates he wrote several letters to various newspapers about this matter. Why did he write the letters? Does he have copies of them? Insure that he comments concerning the special stock option purchase he made.

d) Obtain appointee's detailed comments concerning Patton, Boggs and Blow's serving as bond counsel for D.C. How did they obtain the contract? Was it competitive bidding, etc?

Fax to SAC, WMFO dated 12/30/92
Re: Ronald Harmon Brown

e) Obtain appointee's detailed comments concerning the Democratic National Convention concession deal with [redacted] and how/why it was not done?

b6
b7c

f) Obtain appointee's detailed comments concerning Capital PEBSCO. Was a complaint made to the D.C. Contract Appeals Board.

g) Determine if appointee is aware that Environmental Industrial Research Associates, a wholly owned subsidiary of Chemfix, pled guilty on 2/6/92 to a grand jury indictment for defrauding the EPA. If he is aware, obtain his comments. (page 50 of document).

ROUTING SLIP

December 30, 1992

TO: ADIC, New York
FROM: Director, FBI (161A - HQ - 1042752)
SUBJECT: RONALD HARMON BROWN, AKA
RON BROWN
SPIN;
OO: FBIHQ
BUDED: Noon EST, 1/4/93

ReButelcall from PSS [] SPIN Unit, to
Supervisor Hector Lugo, this date.

Per referenced Butelcall, New York was advised of an allegation concerning a stock leak related to a Chemfix Technologies contract with New York City that the Securities and Exchange Commission (SEC) is supposedly looking into. The U.S. Attorney's Office in Manhattan and the Manhattan District Attorney's Office are also said to be looking into this matter.

b6
b7C

Direct results/questions to PSS [] SPIN Unit,
FBIHQ, Room 4371, Ext. 2588, by Buded.

LEADS:

NEW YORK DIVISION:

1. Check U.S. Attorney's Office for any indication that appointee and/or Chemfix are under investigation.
2. Check the SEC office in New York City to determine if they are conducting any investigation concerning appointee and/or Chemfix.
3. DO NOT contact the Manhattan District Attorney's Office unless advised to do so by FBIHQ.

RECEIVED
TELETYPE
RECEIVED
TELETYPE

30 DEC 92 05 37

30 DEC 92 05 37
FEDERAL BUREAU
OF INVESTIGATION
FEDERAL BUREAU
OF INVESTIGATION

Dep. Dir.	
ADD Adm.	
ADD Inv.	
Asst. Dir.:	
Adm. Servs.	
Crim. Inv.	
Ident.	
Info. Mgmt.	
Insp.	
Intell.	
Lab.	
Legal Coun.	
Tech. Servs.	
Training	
Cong. Affs. Off.	
Off. of EEO	
Off. Liaison & Int. Affs.	
Off. of Public Affs.	
Telephone Rm.	
Director's Office	

0036 MRI 00129

PP RUCNFB

DE FBIDL #0004 3650145

ZNR UUUUU

P 300145Z DEC 92

FM FBI DALLAS (161A-HQ-1042752) (P)

TO DIRECTOR FBI/PRIORITY

BT

UNCLAS

CITE: //FBI DALLAS: 1A //

PASS: SPIN UNIT, ROOM 4371, PSS [REDACTED]

601/SPIN
114 AM 4389

SUBJECT: RONALD HARMON BROWN, AKA RON BROWN, SPIN UNIT; OO:
FBIHQ, BUDED 1/4/93.

RE BUTELCALL TO DALLAS DATED 12/29/92, AND BUFAX TO
DALLAS DATED 12/29/92.

FOR INFORMATION OF BUREAU, CONTACT WITH ENVIRONMENTAL
PROTECTION AGENCY (EPA) REGIONAL OFFICE, DALLAS, TEXAS,
DETERMINED THAT DUE TO CHRISTMAS HOLIDAYS AND PERSONNEL BEING
ON LEAVE, THEY ARE UNABLE TO REVIEW ALL OF THEIR RECORDS
REGARDING APPOINTEE UNTIL 1/6/93. DALLAS WILL MAINTAIN

12/29: I advised [REDACTED] that the
EPA records must be reviewed +
results rec'd by Noon EST 1/4/93.
Have ASAC or SAC call EPA
if necessary

b6
b7C

b6
b7C

PAGE TWO DE FBIDL 0004 UNCLAS

CONTACT WITH EPA AND FURNISH RESULTS TO THE BUREAU AS SOON AS
THEY ARE AVAILABLE.

BT

#0004

NNNN

ROUTING SLIP

December 29, 1992

TO: SAC, WMFO
FROM: Director, FBI (161A - HQ - 1042752)
SUBJECT: RONALD HARMON BROWN, AKA
RON BROWN
SPIN;
OO: FBIHQ
BUDED: Noon EST, 1/4/92

WMFO should insure that the following regulatory agencies are being checked concerning the following businesses and organizations on Brown:

SEC:
First International Communications Corp.
Harmon International Inc.
Chemfix Technologies Inc.
Kellee Communications Inc.
Capital PEBSCO Inc.
WKYS
Boston Bank of Commerce
National Environmental Control

FDIC
Office of the Comptroller of the Currency
Federal Reserve System:
Boston Bank of Commerce

FCC:
First International Communications Corp.
Kellee Communications
WKYS

Direct results/questions to PSS [redacted] SPIN Unit,
FBIHQ, Room 4371, Ext. 2588, by Noon EST, 1/4/93.

b6
b7C

RECEIVED
TELETYPE
UNIT

29 DEC 92 02 50

FEDERAL BUREAU
OF INVESTIGATION

Dep. Dir.	
ADD Adm.	
ADD Inv.	
Asst. Dir.:	
Adm. Servs.	
Crim. Inv.	
Ident.	
Info. Mgmt.	
Insp.	
Intell.	
Lab.	
Legal Coun.	
Tech. Servs.	
Training	
Cong. Affs. Off.	
Off. of EEO	
Off. Liaison & Int. Affs.	
Off. of Public Affs.	
Telephone Rm.	
Director's Office	

0031 MRI 00051

PP RUCNFB

DE FBILA #0012 3640103

ZNR UUUUU

P 290049Z DEC 92

FM FBI LOS ANGELES (161A-HQ-R1042752) (RUC)

TO DIRECTOR FBI/PRIORITY/

BT

UNCLAS

CITE: //3410:AP-1//

PASS: PSS [REDACTED] SPIN, ROOM 4371.

SPIN
JL 114 RM 4383

b6
b7C

SUBJECT: RONALD HARMON BROWN; SPIN; BUDED: JANUARY 4, 1993;
OO; BUREAU.

RE BUTEL TO LOS ANGELES, DATED DECEMBER 23, 1992.

LOS ANGELES GENERAL INDICES NEGATIVE REGARDING CANDIDATE,
CLOSE FAMILY, COHABITANT, AND BUSINESS ENTITIES.

BT

#0012

CC [REDACTED]

NNNN

[Handwritten signature]

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE PITTSBURGH	OFFICE OF ORIGIN BUREAU	DATE 12/29/92	INVESTIGATIVE PERIOD 12/28 - 29/92
TITLE OF CASE RONALD HARMON BROWN, aka Ron Brown		REPORT MADE BY SA 	TYPED BY: mlb
		CHARACTER OF CASE SPIN	

b6
b7CREFERENCE

Director facsimile to Pittsburgh dated 12/23/92.

- RUC -

ADMINISTRATIVE

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

Pittsburgh general indices negative re appointee.

(Fax rec'd 12/29)

APPROVED <i>WEP/SLK</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: (2) - Bureau (161A-HQ-1042752) (ATTN: PSS) SPIN Unit, Room 4371) 2 - Pittsburgh (161A-HQ-1042752) CC DE <i>[initials]</i>					
		DISSEMINATION RECORD OF ATTACHED REPORT		Notations	
Agency					
Request Recd.					
Date Fwd.					
How Fwd.					
By					

b6
b7C

**UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation**

Copy to:

Report of: SA [REDACTED]
Date: December 29, 1992
Field Office File #: 161A-HQ-1042752
Title: RONALD HARMON BROWN

Office: PITTSBURGH

b6
b7C

Bureau File #: 161A-HQ-1042752

Character: SPECIAL INQUIRY

Synopsis: Arrest checks negative. Check of records at the USA'S Office, Wheeling, WV, failed to locate any information identifiable with appointee.

- RUC -

DETAILS:

161A-HQ-1042752

ARREST

On December 28, 1992, Sheriff HANK THOMPSON, Tucker County Sheriff's Office, Parsons, West Virginia (WV), advised a review of departmental records failed to locate any record of arrest identifiable with the appointee. THOMPSON noted that Davis, WV, has no formalized police department and that such duties are handled by the Tucker County Sheriff's Office.

161A-HQ-1042752
JCR/mlb

On December 29, 1992, KELLY KINDER, Records Section, West Virginia State Police, Criminal Identification Bureau, South Charleston, West Virginia, a central repository for arrest information covering the State of West Virginia, advised the files of her office are negative for any information identifiable with the appointee.

161A-HQ-1042752
TFB/mlb

MISCELLANEOUS

On December 29, 1992, SHARON HAWKINS, Secretary, U.S. Attorney's Office, Northern District of West Virginia, Wheeling, West Virginia, advised a review of Federal criminal and civil files, both pending and closed, failed to locate any information identifiable with the appointee.

ROUTING SLIP

December 29, 1992

TO: SACs, Albany
Dallas
Denver
New Orleans
New York
Pittsburgh
St. Louis
WMFO

FROM: Director, FBI (161A - HQ - 1042752)

SUBJECT: "CHANGED"
RONALD HARMON BROWN, AKA
RON BROWN
SPIN;
OO: FBIHQ
BUDED: Noon EST, 1/4/93

ReButelcalls to above offices this date.

Subject marked "CHANGED" to indicate new Buded of Noon EST, 1/4/93.

Referenced Butelcalls advised receiving offices that BUDED ON THIS CASE HAS BEEN CHANGED TO NOON, EST, 1/4/93. ALL INVESTIGATION MUST BE COMPLETED AND THE RESULTS RECEIVED IN THE SPIN UNIT BY THAT TIME WITHOUT FAIL. APPOINTEE'S SENATE CONFIRMATION HEARING HAS BEEN SCHEDULED FOR 1/6/93.

Direct results/questions to PSS [REDACTED] SPIN Unit, FBIHQ, Room 4371, Ext. 2588, by Noon EST, 1/4/93.

b6
b7C

ROUTING SLIP

December 28, 1992

TO: ADIC, New York
FROM: Director, FBI (161A - HQ - 1042752)
SUBJECT: RONALD HARMON BROWN, AKA
RON BROWN
SPIN;
OO: FBIHQ
BUDED: 1/4/93

Reference FBIHQ fax to Albany et al dated 12/23/92.

A check of appointee's credit record by FBIHQ indicated to "contact member re status" concerning appointee's account with Lord and Taylor, account number 14655764. The account appears to have been opened in 10/70, with the last activity being in 1/88.

Direct results/questions to PSS SPIN Unit,
FBIHQ, Room 4371, Ext. 2588, by COB Buded.

b6
b7C

LEADS:

NEW YORK DIVISION:

Contact Lord and Taylor, 424 5th Avenue, New York, New York, telephone 212-545-4800, regarding the status of, or any problems with, appointee's account.

ROUTING SLIP

December 28, 1992

TO: SAC, WMFO
FROM: Director, FBI (161A - HQ - 1042752)
SUBJECT: RONALD HARMON BROWN
SPIN;
OO: FBIHQ
BUDED: 1/4/93

Reference FBIHQ fax to Albany et al dated 12/23/92.

A review of appointee's SF-86 disclosed that appointee's law firm represents a number of foreign governments, and some recent newspaper articles indicate that Brown himself represented the recent Duvalier government in Haiti.

Direct results/questions to PSS SPIN Unit,
FBIHQ, Room 4371, Ext. 2588, by COB Buded.

b6
b7C

LEADS:

WMFO DIVISION:

Check DOJ to determine if appointee is/was registered under the Foreign Agents Registration Act.

ROUTING SLIP

December 28, 1992

TO: SACs, Dallas (Enc.)
Denver (Enc.)
New Orleans (Enc.)
WMFO

FROM: Director, FBI (161A - HQ - 1042752)

SUBJECT: RONALD HARMON BROWN, AKA
RON BROWN
SPIN;
OO: FBIHQ
BUDED: 1/4/93

Reference FBIHQ fax to Albany et al dated 12/23/92 (no copy to Dallas, Denver, or New Orleans).

Enclosed for Dallas, Denver, and New Orleans is a copy of appointee's release of information form.

For information of Dallas, Denver, and New Orleans, Bureau has been requested to conduct an EXPEDITE LEVEL I background investigation of appointee. Appointee was born 8/1/41 at Washington, D.C., and has SSAN 095-32-5852. Appointee is currently a partner at the law firm of Patton, Boggs and Blow in Washington, D.C., and also serves a Chairman of the Democratic National Committee.

Appointee indicated on the Supplement to his SF-86 that he is or was affiliated with the following two companies:

Chemfix Technologies, Inc.
National Environmental Controls

A check of Standard and Poors has disclosed that both of these companies are located at 2424 Edenborn Avenue, Metairie, Louisiana, 70001, telephone 504-831-3600.

Direct results/questions to PSS , SPIN Unit, FBIHQ, Room 4371, Ext. 2588, by COB Buded.

b6
b7C

Fax to SACs, Dallas, et al dated 12/29/92
Re: Ronald Harmon Brown

LEADS:

DALLAS DIVISION:

Check for any civil or administrative complaints against the appointee or either of the two aforementioned companies at the Environmental Protection Agency (EPA) regional office in Dallas, Texas. The Dallas EPA office is the headquarters for Federal Region VI, which includes Louisiana.

DENVER DIVISION:

Check for any criminal complaints against appointee or either of the two aforementioned companies with the Environmental Protection Agency's National Enforcement Investigations Center, which is located in Denver.

NEW ORLEANS DIVISION:

Verify appointee's association with the two aforementioned companies, including dates of employment and positions held.

WMFO DIVISION:

For information only.

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 12/28/92

TO : FBI, DIRECTOR

FROM : SAC, BOSTON (161A-HQ-R1042752) (RUC)

SUBJECT: RONALD HARMON BROWN
AKA: RON BROWN
SPIN;
OO: FBIHQ
BUDED: 1/4/93

On 12/28/92, a review of Boston's general and computer indices regarding appointee and relative failed to locate any identifiable information.

2-FBIHQ
1-BOSTON

(ATTN: PSS)

Approved:

TAX / BBB

Transmitted

(Number) (Time)

Per

b6
b7C

12/24/92 10:44 202 37 3877
DEC-24-1992 10:14 FROM SPECIAL UNIT

OPM/OFI 10

002

DATE: December 24, 1992

TO: INVESTIGATION BACKGROUND BRANCH (IIB)
OPM
ATTN:

b6
b7C

FROM: SPECIAL INQUIRY UNIT
FBI HEADQUARTERS

PLEASE SEARCH THE FOLLOWING NAMES THROUGH OPM RECORDS:

NAME	DOB	SSAN
RONALD H. BROWN ✓	8-1-41 ✓	095-32-5852 ✓ <u>No Record</u>

b6
b7C

IF ANY QUESTIONS, PLEASE CALL AT (202) 324-4773.
SPIN FAX NUMBER IS 324-2574.

b6
b7C

REQUEST FOR CREDIT CHECK

DEC 28 11 19 AM '92

DATE 12/24/92

TO: CONTRACTOR
FROM: SPECIAL INQUIRY UNIT (SPIN), CID

ATTENTION:

ROOM 4371

EXT. 2588

b6
b7C

RESPONSE CRITERIA

2 Days

TYPE OF REQUEST

Suitability

SUBJECT'S NAME: BROWN, RONALD HARMON

MAIDEN:

DATE OF BIRTH (DOB): 08/01/41 PLACE OF BIRTH (POB): WASHINGTON, DC

SEX: M SOCIAL SECURITY ACCOUNT NUMBER (SSAN): 095-32-5852

SPOUSE'S NAME:
AKA:

MAIDEN:

b6
b7C

SUBJECT'S CURRENT
ADDRESS:

2722 UNICORN LN, WASHINGTON, DC 20015

SUBJECT'S
ADDRESS(ES)
FOR LAST
SEVEN (7) YEARS:

2722 UNICORN LN, WASHINGTON, DC 20015

ENCLOSURE - RELEASE FORM TO BE ATTACHED

SPIN 2 DAYS E
00000049 921228 152430 01

BROWN, RONALD HARMON
2722 UNICORN
WASHINGTON, DC 20015

SSN: 095325852

UNICORN LANE

CCCCCC BBBB BBBB RRRRRR
CC CC BB BB RR RR
CC BB BB RR RR
CC BB BB RR RR
CC CC BB BB RR RR
CCCCC BBBB RR RR

E A S Y R E A D
CREDIT BUREAU REPORTS, INC.
BOX 36403 HOUSTON, TX 77236

----- IDENTIFICATION -----
----- MULTIPLE 1 -----

NAME: BROWN, RONALD H SSN: 095325852 DOB: 08-01-48

ADDRESS: 4335 NW WESTOVER PL WASHINGTON, DC 20016 DATE:
2722 UNICORN LN WASHINGTON, DC 20015
7935 ORCHID ST WASHINGTON, DC 20012

EMPLOYER: PATTON BOGGS BLOW WASH DC HIRED: 09-81
OCCUPATION: ATTY PARTNER VERIFIED: 08-82

FORMER EMPLOY: NATL URBAN LEAGUE
OCCUPATION: VP DIR VERIFIED: 08-82

----- TRADE -----
CREDITOR NAME ECOA TYPE ACCOUNT NUMBER MOP HIST PAYMENT REMARKS
CREDITOR # DLR DO DLA HIGH TERM BAL PAST PATTERN 30 60 90
CHASE VISA 6991091650 B 26 CR CR LOST
496ON00598 12-92 12-89 12-92
AMOUNT IN H/C COLUMN IS CREDIT LIMIT
WOODIES J R 19348290 1 10 CURRENT
491DC05479 12-92 06-82 12-92 500 304
AMOUNT IN H/C COLUMN IS CREDIT LIMIT
AM EXPRESS I R 975810384959427 1 49 CURRENT
458ON02792 08-92 11-86 10000 0
AMOUNT IN H/C COLUMN IS CREDIT LIMIT
AMEXTRVLSV I O 37138 1 55 CURRENT
906ON00259 09-92 11-69 09-92 6071 6071
NISSAN I I 102458528380001 1 12 CURRENT
180FA00587 08-92 08-91 08-92 12000 285 10000
AUTO LOAN
NEIMAN I R 4-00990544052 1 99 CURRENT
906DC00656 08-92 11-77 07-92 1828 672
SAKS I R 87752820 1 9 ---2----- CURRENT
906CG00118 08-92 10-70 08-92 8542 626 6262 01 00 00

CREDITOR NAME		ECOA	TYPE	ACCOUNT NUMBER		MOP	HIST	PAYMENT	REMARKS
CREDITOR #	DLR	DO	DLA	HIGH	TERM	BAL	PAST	PATTERN	30 60 90
CHEVYCHASE		J	I	100000000110060415		1	29		CURRENT
491FS84843	05-92	11-89	05-92	15000	342	0			
FSTAMBKDC		I	R	160007305		1	32		CURRENT
491BB20037	02-92	06-89	02-92	5000					
AMOUNT IN H/C COLUMN IS CREDIT LIMIT									
AMEXTRVLSV		I	O	37242		1	46		CURRENT
906ON00259	02-92	12-69	02-92	1426					
SAKS				13699400		B	99		CR CR LOST
906CG00118	11-91	10-70	11-91						
A&S		I	R	42061062		1	20		CURRENT
426DC00033	09-91	03-68		100		0			
CLOSED ACCOUNT									
A&S		I	I	72010150		1	18		CURRENT
426DC00033	08-91	01-67		0		0			
CLOSED ACCOUNT									
GE AUTO		I	I	6303029763		1	61		CURRENT
905AZ00095	08-91	04-86	03-91	22000	400	0			
NORDSTROM		S	R	5-00000000060372435		1	1		CURRENT
701DC00529	12-90	12-87	01-91	0		0			
GARFINCKEL		S	R	19348290		1	94		CURRENT
491DC02682	08-90	10-82	01-89	400		0			
NTL BK WDC		I	R	137870590		1	84		CURRENT
491BB21296	07-90	06-83		0		0			
LINE OF CREDIT									
AMEXTRVLSV		I	R	37242		1	25		CURRENT
906ON00267	04-90	12-69	04-90	0		0			
CENLAR		J	I	3009529652		1	17		CURRENT
444FM10973	10-88	06-86	09-88	264000	2000	0			
ACCOUNT TRANSFERRED									
LORD & TAY				14655764		C	71		CN MEM/STA
906DC00151 01-88 10-70 01-88									
CHRYSLER		J	I	112135382766		1	42		CURRENT
906FA00026	09-86	03-83	07-86	13000	289	0			
		I	I	772406536		1	43		CURRENT
491BB21526	06-86	09-82		42000		0			

----- INQUIRY -----			----- INQUIRY -----		
DATE	MEMBER CODE	MEMBER NAME	DATE	MEMBER CODE	MEMBER NAME
12-28-92	682DC04389	NEIMAN MAR	11-14-91	801FP01098	NORWEST
08-14-91	682FA05086	NMAC	08-13-91	401AN00952	STOVAL NIS
08-09-91	401AN02461	SUTHERLIN	08-09-91	401FA00864	WORLD OMNI
03-11-91	801ZB00313	CR ACCEPTA			

----- ADDITIONAL BUREAU INFORMATION -----
 ----- IDENTIFICATION -----
 ----- MULTIPLE 2 -----
 NAME: BROWN, RONALD H SSN: 095325852 DOB: 08-01-41
 ADDRESS: 2550 M ST WASHINGTON, DC 20037 DATE:

CREDITOR NAME		ECO A TYPE		ACCOUNT NUMBER		MOP HIST		PAYMENT	REMARKS
CREDITOR #	DLR	DO	DLA	HIGH	TERM	BAL	PAST	PATTERN	30 60 90
AMEXTRVLSV		I	O		37242		1	8	CURRENT
906ON00259	11-92	12-69	11-92	1578		1578			
MZDA AMER		I	I		2001204661		1		CURRENT
772FA00173	10-92	08-92	10-92	17000	499	16000			
LEASE									
CRESTAR		I	R	4609880567310001			1	45	CURRENT
491BB20126	10-92	01-89	10-92	0	360	17000			
LINE OF CREDIT									
AMEXTRVLSV		I	O		37138		1	7	CURRENT
906ON00259	10-92	11-69	10-92	2316		2316			
NISSAN		I	I	102458528380001			1	3	CURRENT
180FA00587	09-92	08-91	08-92	12000	285	10000			
AUTO LOAN									
AM EXPRESS		I	R	975810384959427			1	12	CURRENT
458ON02792	09-92	11-86		10000		0			
AMOUNT IN H/C COLUMN IS CREDIT LIMIT									
WENDOVER F		I	I	3544147153			1	6	CURRENT
815FM52815	09-92	01-88	09-92	100000	939	97000			
REAL ESTATE MORTGAGE									
WENDOVER F		I	I	3544146908			1	6	CURRENT
815FM52815	09-92	06-87	09-92	51000	470	49000			
REAL ESTATE MORTGAGE									
CHASE MTG		J	I	3119910573			1	3	CURRENT
728FM03856	09-92	06-86	09-92	264000	2000	253000			
REAL ESTATE MORTGAGE									

CREDITOR NAME		ECOA	TYPE	ACCOUNT NUMBER		MOP	HIST	PAYMENT	REMARKS
CREDITOR #	DLR	DO	DLA	HIGH	TERM	BAL	PAST	PATTERN	30 60 90
GE AUTO		I	I	6303029763		1	3		CURRENT
905AZ00095	06-92	04-86	03-91	22000	400	0			
GE AUTO		I	I	6090016761		1	3		CURRENT
905AZ00095	06-92	04-91	06-92	8969	407	3363			

----- CREDITOR IDENTIFICATION -----

180FA00587	NISSAN ACCEPTANCE CORP. PO BOX 660360, DALLAS TX 75266	(800) 777-7018
401AN00952	STOVALL NISSAN 6889 JONESBORO ROAD, MORROW GA 30260	(912) 968-1360
401AN02461	SUTHERLIN TOYOTA 4115 JONESBORO ROAD, UNION CITY GA 30291	(404) 523-3668
401FA00864	WORLD OMNI FINANCE CO (WOFO) P O BOX 991817, MOBILE AL 36691	(205) 639-7500
426DC00033	ABRAHAM & STRAUSS/FACS 4705 DUKE DRIVE STE 100, MASON OH 45040	(513) 573-2723
444FM10973	CENLAR FEDERAL SAVINGS BANK 101 CARNEGIE CENTER, PRINCETON NJ 08540	(609) 987-0440
458ON02792	AMERICAN EXPRESS CENTURION BAN 248 CHAPMAN ROAD, NEWARK DE 19702	(800) 228-1104
491BB20037	FIRST AMERICAN BK NA 740 15TH STREET NW, WASHINGTON DC 20005	(703) 760-5600
491BB20126	CRESTAR BANK 410 PINE STREET, VIENNA VA 22180	(703) 242-2767
491BB21296	NATIONAL BANK OF WASH 4340 CONN AVE NW 5TH FL, WASH DC 20008	(202) 537-2000
491DC02682	GARFINCKELS 1401 F STREET NW, WASHINGTON DC 20004	(202) 408-5530
491DC05479	WOODWARD & LOTHROP 2800 EISENHOWER AVENUE, ALEXANDRIA VA 22314	(703) 329-5050
491FS84843	CHEVY CHASE SAVINGS & LOAN 4733 BETHESDA AVENUE, BETHESDA MD 20814	(301) 986-6660
496ON00598	CHASE MANHATTAN BANK USA N.A. 802 DELAWARE AVENUE, 10TH FLR., WILMINGTON DE 19801	(516) 745-5020
682DC04389	NEIMAN MARCUS 1201 ELM ST 27TH FLOOR, DALLAS TX 75270	(800) 527-2176

----- CREDITOR IDENTIFICATION -----

682FA05086	NMAC 2901 KINWEST PKWY, IRVING TX 75063	(800) 888-2799
701DC00529	NORDSTROMS SEE BRANCH LISTING, SEATTLE WA 98111	(206) 000-0000
728FM03856	CHASE HOME MORTGAGE CORP 4915 ENTERPRISE ROAD, TAMPA FL 33634	(813) 881-2000
772FA00173	MAZDA - AMERICAN 109 WEST PARK STE. 330, BRENTWOOD TN 37027	(615) 661-6826
801FP01098	NORWEST FINANCIAL CORPORATION 5303 BALTIMORE NATIONAL PIKE, BALTIMORE MD 21229	(410) 744-5915
801ZB00313	CREDIT ACCEPTANCE BUREAU, INC. 5247 REISTERSTOWN ROAD, BALTIMORE MD 21215	(301) 000-0000
815FM52815	WENDOVER FUNDING 7823 NATIONAL SERVICE ROAD, GREENSBORO NC 27409	(919) 668-7000
905AZ00095	GENERAL ELECTRIC CREDIT AUTO L 600 HART ROAD, BARRINGTON IL 60010	BY MAIL ONLY
906CG00118	SAKS FIFTH AVE 450 WEST 15TH ST, NY NY 10011	(212) 940-5359
906DC00151	LORD & TAYLOR 424 5TH AVENUE, NEW YORK NY 10018	(212) 545-4800
906DC00656	NEIMAN MARCUS 2727 REALTY DR., CARROLLTON TX 75006	BY MAIL ONLY
906FA00026	CHRYSLER CREDIT SEE BRANCH LISTING OR CALL, YOUR CBI AFFILIATE O	NOT AVAILABLE GA
906ON00259	AMERICAN EXPRESS TRAVEL RELATE PO BOX 7871, FT. LAUDERDALE FL 33329	BY MAIL ONLY
906ON00267	AMERICAN EXPRESS TRAVEL RELATE 777 BROADWAY, NY NY 10003	BY MAIL ONLY

----- CONSUMER REFERRAL DATA -----

VENDOR: C-B-I
BUREAU ID: CBI
PULL DATE: 921228
PULL TIME: 215523

LOCATION:

EQUIFAX CREDIT INFO SERVICES
5505 PEACHTREE DUNWOODY 600
ATLANTA, GA 30342
(404) 250-4100

----- CBR CUSTOM SCORING -----

CUSTOMER NUMBER: 00000049
ACCESS PORT: 01INPUT DATE: 921228
INPUT TIME: 152430SCORE MODEL: S515P049
SCORE VERSION: A

		SCORE	LEVEL	REASONS
FULL REPORT		311	P	
MULTIPLE	1	311	P	
MULTIPLE	2	277	P	

----- END OF REPORT -----



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535

DEC 9 1992

BY COURIER

To: Mr. [REDACTED]
Counsel
Office of the President-Elect
1120 Vermont Avenue, Northwest
Washington, D. C. 20530

From: [REDACTED]
Unit Chief
Special Inquiry Unit
Federal Bureau of Investigation
Room 4371, J. Edgar Hoover Building
10th & Pennsylvania Ave., N. W.
Washington, D. C. 20535

Re: RONALD H. BROWN
FBI NAME-CHECK

b6
b7C

Pursuant to a request of the President-Elect's Transition Staff, received December 7, 1992, the FBI has completed a name check concerning Mr. Brown based upon the information contained in your request. This name check, including a check of the FBI's Identification Division records, reveals no pertinent information on Mr. Brown, except the following:

In 1971, Ronald H. Brown, Director of the National Urban League, New York City, attended the National Black Leadership Congress held in Washington, D.C., on June 26 and 27. Among those attending or invited, were representatives from the Congress of African Peoples, Republic of New Afrika, Black United Front, and the Black Panther Party. The purpose of the conference was to allow the black

Exec AD Adm. _____
Exec AD Inv. _____
Exec AD LES _____
Asst. Dir.: _____

Adm. Servs. _____
Crim. Inv. _____
Ident. _____
Insp. _____
Intell. _____
Lab. _____
Legal Coun. _____
Off. Cong. & _____
Public Affs. _____
Rec. Mgnt. _____
Tech. Servs. _____
Training _____
Off. Liaison & _____
Int. Affs. _____
Telephone Rm. _____
Director's Sec'y _____

AGW:agw (2)

NOTE: On 12/9/92, [REDACTED] Counsel, Transition Office, was orally advised of the results of this name check by [REDACTED] SPIN Unit, FBIHQ.

b6
b7C

MAIL ROOM ☒

Mr. [REDACTED]

b6
b7C

leaders to get acquainted and to bring into being the first steps toward the concept of a national black leadership coalition to discuss specific ideas relating to the 1972 Presidential election.* (It should be noted that the above groups are no longer under investigation by the FBI since their activities no longer meet the criteria that fall within the Attorney General's Guidelines for Domestic Security Investigations.)

Should your office have any questions concerning the above, please contact me or Supervisory Personnel Security Specialist [REDACTED] [REDACTED] at 202-324-2568.

b6
b7C

*157-16554-5-40 pgs. 59 & 71

Initiated
12/07/92

Initiate data base checks (OCIS, ☐ TIS/NCIC/ELSUR and also RMD and IDENT on the following individuals:

b7E

THE DEADLINE ON THESE NAME CHECKS IS - Tuesday
12/08/92!!!!

DB

DB

AW

AW

AW

b6
b7C

AW
Ronald H. Brown
Aka: Ron
dob: 8/1/41
pob: Washington, D.C.
Resides in Washington, D.C.
Current employment: Chairman of the Democratic National
Convention

FAX

December 23, 1992

FROM: Director, FBI (161A - HQ - 1042752)

TO: SACs, Albany (Enc.)
Boston
Los Angeles
New York (Enc.)
Pittsburgh (Enc.)
St. Louis (Enc.)
WMFO (Enc.)

RONALD HARMON BROWN, AKA
RON BROWN
SPIN;
OO: FBIHQ
BUDED: 1/4/93

ReButelcalls to above offices on 12/23/92.

Enclosed for New York and WMFO is a copy of Brown's SF-86 (including release of information form) dated 12/19/92. Enclosed for Albany, Pittsburgh, and St. Louis is a copy of release of information form only dated 12/19/92. There are no enclosures for Boston or Los Angeles.

Bureau has been requested by the Office of the President Elect (OPE) to conduct an **EXPEDITE** Level I background investigation (BI) of appointee. Appointee was born 8/1/41 at Washington, D.C., resides at 2722 Unicorn Lane, Northwest, Washington, D.C., and has SSAN 095-32-5852.

Field Offices are reminded that the investigative status of a BI, all deadlines and the position for which the appointee is being considered shall not be disclosed to any interviewees. If during the course of the investigation, interviewees provide the nature of the position, that information is not to be further divulged outside the FBI. Inquiries by interviewees concerning the nature of the position are to be handled as set forth in MIOG, Part II, Section 17-5 (5). Appointees making inquiries regarding the status of their BI are to be referred to the client agency.

Direct results/questions to PSS [redacted] SPIN Unit, FBIHQ, Room 4371, Ext. 2588. If BUDED will not be met, telephonically advise PSS Troll and set forth reason(s) in Administrative section of investigative report. SPIN Unit. facsimile numbers are: (202) 324-2574 and (202) 324-1373.

b6
b7C

Advise FBIHQ (PSS [redacted]) and appropriate field offices of any derogatory information in accordance with MIOG, Part II, Section 17-5.1(1).

Fax to SACs, Albany, et al dated 12/23/92
Re: Ronald Harmon Brown

LEADS:

EACH RECEIVING OFFICE:

In addition to investigation to be conducted in those areas marked for coverage in appointee's SF-86, receiving offices are to conduct specific investigation set forth below.

ALBANY DIVISION:

1. Appointee indicated he attended Middlebury College, Middlebury, Vermont, from 9/58 to 5/62, receiving a B.A. degree. Verify appointee's dates of attendance, degree received, and major.

2. Conduct appropriate indices, arrest, and U.S. Attorney's Office checks on appointee.

BOSTON DIVISION:

Conduct appropriate indices checks on appointee's stepmother, Peggy Brown, born 3/5/?? (year of birth unknown) in the U.S., currently residing at 131 Coolidge, Watertown, Massachusetts.

LOS ANGELES DIVISION:

Conduct appropriate indices checks on appointee's

currently resides at

and

currently residing at

b6
b7C

NEW YORK DIVISION:

1. Check U.S. Attorney's Office.
2. Check bar membership/grievance.

PITTSBURGH DIVISION:

Appointee indicated that he maintains a rental property at C-201 Herzwood, Davis, West Virginia, and a vacation home at Lot 43 Timberline, Davis, West Virginia. Conduct appropriate indices, arrest, and U.S. Attorney's Office checks on appointee.

Fax to SACs, Albany, et al dated 12/23/92
Re: Ronald Harmon Brown

ST. LOUIS DIVISION:

Check FRC-M. Appointee indicated he served in the U.S. Army from 2/63 to 6/67. He did not provide any service or certificate number.

WMFO DIVISION:

1. Interview appointee in accordance with MIOG, Part II, Section 17-5.6. During the interview:

A) Determine the location of the Kennedy for President Campaign which appointee worked for from 11/79 to 8/80, and set any leads as necessary for employment verification, interviews, arrest checks, U.S. Attorney's Office checks, etc.

B) Determine appointee's whereabouts while he was serving in the military from 2/63 to 6/67 and set leads for appropriate indices, arrest, and U.S. Attorney's Office checks.

C) Determine appointee's association with the businesses and organizations listed under 1S on the Supplement to SF-86. Set leads as necessary to verify appointee's positions and to check any appropriate regulatory agencies. Also set leads to interview a representative number (approximately 4) of the clients listed by appointee under 1S; in the interest of time, attempt to interview clients in the D.C. area to cover this requirement.

2. Verify DPOB through BVS.

3. Check U.S. Attorney's Office.

4. Check Federal Election Commission.

5. Check D.C. bar/grievance.

6. Review appropriate records at the Senate.

7. Insure that at least 25 persons knowledgeable concerning appointee are interviewed.

8. Check PIS/DOJ.

9. Check USSS.

3*

DEC-07-1992 16:22 FROM

NAME SEARCHING TO

b6
b7C

"TRANSITION"

DEC 08 1992

FEDERAL BUREAU OF INVESTIGATION
Records/Operations Sections

Budget: 12/8/92

TO: X SERVICE UNIT, ROOM 5991
X FORWARD TO FILE REVIEW, ROOM 5447, TL# 143FROM: SPECIAL INQUIRY UNIT (SPIN)
ROOM 4371, TL# 114, EXT. 6941b6
b7C

SCOPE OF SEARCH:

X UNRESTRICTED (ADB)

TYPE OF SEARCH REQUESTED:

X ALL REFERENCES (SECURITY & CRIMINAL)

DEC 07 1992

SPECIAL INSTRUCTIONS:

EXACT NAME ONLY (ON THE NOSE)

X ALL VARIATIONS

Subject: Ronald A. Brown

AKA (s): Ron Brown

DOB & POB: 8/1/41 = Washington, D.C.

SSAN: 095-32-38512

Localities: Washington, D.C.

R# SS3, I date 12-7 Searcher Initials 516

Prod. _____ ident adb inactive ref.

date _____

NO					
NP	62-115530-14939	FOI request	'76		
✓	62-5-43399				
NP	1-1152-3654	NP			
✓	67 HQ-78-3229x25, 3272x10				
NIA					

DEC 09 1992

b3
b7Eb6
b7C

4-22a (9-22-87)

Page

2

Numerous Reference
Search Slip

FR UTD

Subject

Brown, Ronald H

R#

583

Date

12-7

Searcher Initials

SIC

Prod.

NY
MD
DC
WA

File Number

Serial

Ident

ADB

Inactive

Date of
Ref MY

✓ 157-16554-5-40-p59 covered in N/C
 ✓ 157-16554-53-23-encl p2 covered in N/C

Brown, Ronald

NR

58-11741

NR

245F NY-2000251

NI

pr UCFN 245-1338

NR

12-0-22451

not ident

NI

87-142303

11/16/76

NI

95-220754

NI

95-256699

NCO

44-0-20858

NI

70-65215

NI

87-118296

1/19/72

NI

95-170124

NCO

62-102895-35

NI

91-41121-559, 176

NI

100-439048-704-p14

NI

NR

NI

Brown, Ron

✓

95A HQ-1038705

victim

NCO

62-19162-930x1-p6

NI

94-69854-2-encl p11

DEC 09 1992

SPECIAL INQUIRY UNIT
FEDERAL BUREAU OF INVESTIGATION
RECORDS/OPERATIONS SECTIONS

DEC 29 1992

DATE: 12/24/92

BUDED: 12/29/92

b6
b7C

X NAME SEARCHING UNIT, 4989, TL# 121
X FORWARD TO FILE REVIEW, 5447, TL# 143
X RETURN TO: [REDACTED] ROOM 4371, TL# 114, EXT. 2588

SCOPE OF SEARCH: UNRESTRICTED (ADB)

TYPE OF SEARCH REQUESTED: ALL REFERENCES (SECURITY & CRIMINAL)

SPECIAL INSTRUCTIONS:

X EXACT NAME ONLY (ON THE NOSE)
- VARIATIONS

RELATIVES:

DOB:

ADDRESS:

CARTER, GLORIA, O

09/13/21

160 WEST END #
NEW YORK, NY

R# _____ DATE 12-28 SEARCHER INITIALS 576
PROD. _____

FILE NUMBER SERIAL IDENT ADB INACT DATE

NR
Brown, Gloria O. (aka)

NR
Brown, William H Mrs (aka)
NR

BROWN, PEGGY,

03/05/01

131 COOLIDGE #
WATERTOWN, MA

R# _____ DATE 12-28 SEARCHER INITIALS 576
PROD. _____

FILE NUMBER SERIAL IDENT ADB INACT DATE

NT 95-272313

NT 95-283389

NI 58-9746

DEC 30 1992

570

570

IDENT CHECK ROOM 11 B TUBE J1, ATTN: CATHY WA

"TRANSITION"

FROM: SPECIAL INQUIRY UNIT, DIV 6, RM 4371, TL# 114
EXT: 2588 ATTN: PSS [REDACTED]

b6
b7c

SUBJECT: RONALD HARMON BROWN

BUDED: 12/29/92

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE SPECIAL INQUIRY UNIT, RM 4371, VIA ROUTING SLIP MARKED 'URGENT'.

SUBJECT IS DESCRIBED AS FOLLOWS:

RESULT NAME: RONALD HARMON BROWN ⁰⁴⁴⁹
DOB: 08/01/41
POB: WASHINGTON, DC
SSAN: 095-32-5852
CURRENT ADDRESS: 2722 UNICORN LN
WASHINGTON, DC 20015
EMPLOYMENT: PATTON, BOGGS & BLOW
2550 M ST WASHINGTON, DC 20037

CLOSE RELATIVES ONLY

RESULT	NAME	DOB	RESIDENCE
-----	-----	-----	-----
-----	* GLORIA O CARTER ⁰⁴⁴⁹ 1319/228	✓ 09/13/21	160 WEST END * NEW YORK, NY
<u>TOO OLD</u>	PEGGY BROWN ⁰⁴⁴⁹	03/05/01	131 COOLIDGE * WATERTOWN, MA

b6
b7c

* UNABLE TO IDENTIFY WITH ARREST RECORD
ON BASIS OF INFORMATION FURNISHED
FBI IDENTIFICATION DIVISION, DEC 28 '92

CHECK CONDUCTED BY: _____, ON _____

TRANSITION

TO: ELSUR - ROOM 4997, TL# 114 -(Eliminate references where possible based on DOB)
NCIC - ROOM 1328, TL# 153 - Wanted & CCH Files
Attn: [redacted]
NADDIS - ROOM 4371, DATA BANKS BOX
Attn: [redacted]
NEXIS - ROOM 4371, DATA BANKS BOX
Attn: [redacted]
IDENT - Attn: Mrs. Rettburg
OCIS, [redacted] entered on: [redacted]
FROM: SPECIAL INQUIRY - Room 4371, [redacted] EX. 2588 -
* [redacted] Unit Chief *
SUBJECT: RONALD HARMON BROWN, SPIN, BUDED: A.S.A.P.

b6
b7C

b7E

b6
b7C

The Bureau has been requested to conduct an expedite investigation of the above-captioned subject, who is being considered for an unspecified Presidential appointment. The indicated unit is requested to check appropriate indices based upon available information concerning subject, employment, and all close relatives. It is requested that the results of your checks, positive or negative, be indicated in the spaces provided below, and relayed to the Special Inquiry Unit (SPIN), Room 4371, TL# 114, via routing slip marked "URGENT", on or before BUDED.

Subject is described as follows:

RESULT Name: RONALD HARMON BROWN
+/- DOB:
POB:
SSAN:
Current Residence:

Employment:

CLINTON TRANSITION TEAM

<u>Result</u>	<u>Relatives Name</u>	<u>DOB</u>	<u>Residence</u>
---------------	-----------------------	------------	------------------

CHECK CONDUCTED BY:

PCN 923650959008
BROWN, RONALD HARMON
MNU

OCA
B 600 08/01/41
SEX M
PRT REC
12/30/92

DCSS16000 USSS TECH DIV
WASHINGTON DC

A SEARCH OF THE FINGERPRINTS ON THE ABOVE
INDIVIDUAL HAS FAILED TO DISCLOSE PRIOR ARREST
DATA. IDENTIFICATION DIVISION
12/31/92 FEDERAL BUREAU OF INVESTIGATION

DCSS16000
TECHNICAL SEC DIV
US SECRET SERVICE
ROOM 23
EXECUTIVE OFFICE BLDG
WASHINGTON, DC 20500-0001

b6
b7C

"TRANSITION"

Please initiate data base checks (OCIS, [REDACTED] NCIC/ELSUR and also
RMD and IDENT) BUDED: 12/8/92

b7E

RONALD H. BROWN; AKA: RON *Brown*
DOB: 8/1/41
POB: WASHINGTON, D.C.
EMPLOYMENT: CHAIRMAN OF THE DEMOCRATIC NATIONAL

BASED UPON INFORMATION FURNISHED,
A SEARCH OF IDENTIFICATION
DIVISION FILES FAILED TO DISCLOSE
ANY IDENTIFIABLE INFORMATION.

DEC 8 '92

~~CONVENTION~~ *Committee*

6- [signature]

393

(Date)

b6
b7c

TO: FBI (ATTENTION: Special Inquiry Unit, Rm. 4371)
FROM: Counsel, Office of the President-elect
SUBJECT: FBI INVESTIGATIONS

Candidate's Name Ronald H. Brown SSAN 095-32-5852
Date of Birth 8-1-41 Place of Birth Washington, D.C.
Present Address 2722 Unicorn Lane, N.W., Washington, D.C. 20015

We request: Copy of Previous Report
Name Check
Expanded Name Check
Full-Field Investigation
Level I ☒ Level II Level III
Limited Update
Other

The candidate named above is being considered for:

Presidential Appointment
Requiring Senate Confirmation
Not Requiring Senate Confirmation
Transition Staff
Other

Attachments:

SF-86
FD-258 (Fingerprint card)
SF-86 Supplement
Other

F-print card
rec'd 12/28/92 +
sent to Ident
same date.
Det

Remarks/Special Instructions:

ENCLOSURE

26 12-24 92 68

MEMORANDUM FOR PROSPECTIVE APPOINTEES

FROM: Counsel, Office of the President-elect

b6
b7c

This memorandum confirms in writing your express consent for the Federal Bureau of Investigation to investigate your background or conduct appropriate file reviews in connection with the consideration of your application for employment.

The FBI investigation will include the collection and use of relevant information concerning your personal history, and it is necessary that you authorize the disclosure of such information to the FBI. Information may be disseminated outside the FBI when necessary to fulfill obligations imposed by law.

By volunteering information concerning activities protected by the First Amendment, it will be assumed that you are expressly authorizing the maintenance of this information in the records of any Federal agency.

If you consent to such inquiries, please sign your name below and return this original memorandum of consent to me.

Thank you.

Name (please print or type) Ronald H. Brown

✓ Signature  Date

QUESTIONNAIRE FOR
SENSITIVE POSITIONS
(For National Security)

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-110

Part 1

OPM
USE
ONLY

Codes

Case Number

Agency Use Only (Complete items A through P using instructions in FPM Supplement 296-33)

A Type of Investigation	B Extra Coverage	C Sensitivity Level	D Access	E Nature of Action Code	F Date of Action	Month	Day	Year
G Geographic Location	H Position Code	I Position Title						
J SON	K Location of Official Personnel Folder	None NPRC At SON	Other Address	ZIP Code				
L SOI	M Location of Security Folder	None At SOI NPI	Other Address	ZIP Code				
N OPAC-ALC Number	O Accounting Data and/or Agency Case Number							
P Requesting Official	Name and Title		Signature		Telephone Number		FTS () Date	

Persons completing this form should begin with the questions below. Please type or print your answers.

1 FULL NAME • If you have only initials in your name, use them and State (IO). • If you have no middle name, enter "NMN." Last Name: Brown First Name: Ronald Middle Name: Harmon Jr., II, etc.: Month: 08 Day: 01 Year: 41	2 DATE OF BIRTH Month: 08 Day: 01 Year: 41
3 PLACE OF BIRTH • Use the two letter code for the State. City: Washington County: WMFO State: DC Country (if not in the United States): 01915-312-5181512	4 SOCIAL SECURITY NUMBER 01915-312-5181512

5 OTHER NAMES USED Give other names you used and the period of time you used them (for example: your maiden name, name(s) by a former marriage, former name(s), alias(es), or nickname(s)). If the other name is your maiden name, put "nee" in front of it. Name: _____ Month/Year: _____ To: _____ Name: _____ Month/Year: _____ To: _____
--

6 OTHER IDENTIFYING INFORMATION	Height (feet and inches): 6'0"	Weight (pounds): 178	Hair Color: Black	Eye Color: Brown	Sex (mark one box) <input type="checkbox"/> Female <input checked="" type="checkbox"/> Male
--	--------------------------------	----------------------	-------------------	------------------	--

7 TELEPHONE NUMBERS	Work (include Area Code and extension) (X) Day 202/457-6320 or 863-8131 () Night ()	Home (include Area Code) () Day () (X) Night (202) 362-3915
----------------------------	---	---

8 CITIZENSHIP	a Mark the box at the right that applies to you and follow the instructions next to the box you marked. I am a U.S. citizen by birth in the U.S. <input checked="" type="checkbox"/> Answer Items b and d I am a U.S. citizen, but I was NOT born in the U.S. <input type="checkbox"/> Answer Items b, c, and d I am not a U.S. citizen. <input type="checkbox"/> Answer Items b and e	b Your Mother's Maiden Name Osborne
----------------------	--	---

C UNITED STATES CITIZENSHIP If you are a U.S. Citizen, but were not born in the U.S., provide information about one or more of the following proofs of your citizenship.
Naturalization Certificate (Where were you naturalized?)

Court	City	State	Certificate Number	Month/Day/Year Issued
-------	------	-------	--------------------	-----------------------

Citizenship Certificate (Where was the certificate issued?)

City	State	Certificate Number	Month/Day/Year Issued
------	-------	--------------------	-----------------------

State Department Form 240 - Report of Birth Abroad of a Citizen of the United States

Give the date the form was prepared and give an explanation if needed.	Month/Day/Year	Explanation
--	----------------	-------------

U.S. Passport

This may be either a current or previous U.S. Passport.	Passport Number	Month/Day/Year Issued
---	-----------------	-----------------------

d DUAL CITIZENSHIP If you are (or were) a dual citizen of the United States and another country, provide the name of that country in the space to the right.

e ALIEN If you are an alien, provide the following information:

Place You Entered the United States:	City	State	Date You Entered U.S. Month: Day: Year:	Country of Citizenship
--------------------------------------	------	-------	--	------------------------

ENCLOSURE

9 WHERE YOU HAVE LIVED

Fill in your full address for every place you have lived beginning with the present (#1) and working backward 15 years.

- If you attended school away from your permanent residence, list the address you lived at while attending school.
- For any address in the past 3 years:
 - List a person who knew you at that address, preferably someone who still lives in that area.
 - If address listed is "General Delivery," a Rural Route, or Star Route, provide directions for locating the residence on an attached continuation sheet, and show the block #.

b6
b7C

#1	Month/Year Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
Present	2/82 To	2722 Unicorn Lane, N.W.		Washington	DC	200115
Name of Person Who Knows You						
#2	Month/Year Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
	9/73 To 2/82	7935 Orchid Street, N.W.		Washington	DC	200115
Name of Person Who Knows You						
#3	Month/Year Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
	9/70 To 8/73	559 N. Columbus Avenue		Mt. Vernon	NY	11111
Name of Person Who Knows You						
#4	Month/Year Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
	6/66 To 8/70	21 St. James Place	16L	Brooklyn	NY	11111
Name of Person Who Knows You						
#5	Month/Year Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
	8/62 To 2/63	10 West 135th Street		New York	NY	11111
Name of Person Who Knows You						
#6	Month/Year Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
	9/58 To 5/62	Sigma Phi Epsilon House		Middlebury	VT	05753
Name of Person Who Knows You						

10 WHERE YOU WENT TO SCHOOL

Fill in information about schools you have attended, beyond Junior High School, beginning with the most recent (#1) and working backward 15 years. Also list College or University degrees received beyond 15 years.

- For schools you attended in the past 3 years, list a person who knew you at school (such as an instructor or a student).
- For correspondence schools and extension classes, list records location address.
- In the "Code" block, use one of these codes: 1 - High School 2 - College/University 3 - Vocational/Trade School

b6
b7C

#1	Month/Year Month/Year	Code	Name of School	Degree/Diploma/Other (show each degree and date received if Code 2)	Month/Year
	6/67 To 6/70	2	St. John's Univ. School of Law	J.D.	6/70
Street Address and City (Country) of School					
Grand Central & Utopia Pkwy., Jamaica					
Name of Person Who Knew You					
#2	Month/Year Month/Year	Code	Name of School	Degree/Diploma/Other (show each degree and date received if Code 2)	Month/Year
	9/62 To 1/63	2	St. John's Univ. School of Law		
Street Address and City (Country) of School					
Grand Central & Utopia Pkwy., Jamaica					
Name of Person Who Knew You					
#3	Month/Year Month/Year	Code	Name of School	Degree/Diploma/Other (show each degree and date received if Code 2)	Month/Year
	9/58 To 5/62	2	Middlebury College	B.A.	5/62
Street Address and City (Country) of School					
Middlebury					
Name of Person Who Knew You					

Enter your Social Security Number before going to the next page

→ 095-132-5182

11 YOUR EMPLOYMENT ACTIVITIES

Fill in your employment activities, beginning with the present (#1) and working backward 15 years. INCLUDE:

- all full-time work
- all part-time work
- all paid work
- active military duty
- self-employment
- all periods of unemployment

IN THE NUMBERED ACTIVITY SECTION USE ONE OF THESE CODES IN THE CODE BLOCK:

- | | | | |
|-----------------------------------|--|--|-----------|
| 1 - Active military duty stations | 5 - State Government (Non-Federal) employment | 7 - Unemployment (Enter name of person who can verify) | 9 - Other |
| 2 - National Guard/Reserve | 6 - Self-employment (Enter business name and/or name of person who can verify) | 8 - Federal Contractor (list Contractor, not Federal agency) | |
| 3 - U.S.P.H.S. Commissioned Corps | | | |
| 4 - Other Federal employment | | | |

FOR EACH ACTIVITY SECTION, provide information requested. For example, if you had worked at XY Plumbing in Denver, CO, for 3 separate periods of time, you would enter dates and information concerning the most recent period of employment first, and provide dates, position titles, and supervisors for the two previous periods of employment in the appropriate blocks below that information. (For locations outside the U.S., show city and country.)

#1	Month/Year Month/Year	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title		
Present	7/81 To 12/92	6	Patton, Boggs & Blow	Partner		
Employer's/Verifier's Street Address			City (Country)	State	ZIP Code	Telephone Number
2550 M Street, N.W.			Washington	D.C.	200037	(202)457-6000
Street Address of Job Location (if different than Employer's Address)			City (Country)	State	ZIP Code	Telephone Number
			WMFO			()
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State	ZIP Code	Telephone Number
						()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year	Your Position Title & Supervisor's Name	Month/Year Month/Year	Your Position Title & Supervisor's Name
To		To	
To		To	

#2	Month/Year Month/Year	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title		
	2/89 To 1/93	9	Democratic National Committee	Chairman		
Employer's/Verifier's Street Address			City (Country)	State	ZIP Code	Telephone Number
430 South Capitol Street, S.E.			Washington	D.C.	200013	(202)863-8000
Street Address of Job Location (if different than Employer's Address)			City (Country)	State	ZIP Code	Telephone Number
						()
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State	ZIP Code	Telephone Number
						()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year	Your Position Title & Supervisor's Name	Month/Year Month/Year	Your Position Title & Supervisor's Name
To		To	
To		To	

#3	Month/Year Month/Year	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title		
	1/81 To 7/81	4	Office of Senator Edward Kennedy	General Counsel and Staff Director		
Employer's/Verifier's Street Address			City (Country)	State	ZIP Code	Telephone Number
Russell Senate Office Building			Washington	D.C.	205110	(202)224-4543
Street Address of Job Location (if different than Employer's Address)			City (Country)	State	ZIP Code	Telephone Number
						()
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State	ZIP Code	Telephone Number
Senator Edward M. Kennedy WMFO						()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year	Your Position Title & Supervisor's Name	Month/Year Month/Year	Your Position Title & Supervisor's Name
To		To	
To		To	

Enter your Social Security Number before going to the next page

→ 0195-32-51852

YOUR EMPLOYMENT ACTIVITIES (Continued)

#4	Month/Year: 8/80 To 1/81	Code: 4	Employer's Name/Military Service/Unemployment or Self-Employment Verifier: U.S. Senate Committee on the Judiciary	Your Position Title: Chief Counsel		
Employer's/Verifier's Street Address: Dirksen Senate Office Building			City (Country): Washington	State: D.C.	ZIP Code: 20515-110	Telephone Number: 202224-5225
Street Address of Job Location (if different than Employer's Address):			City (Country):	State:	ZIP Code:	Telephone Number: ()
Supervisor's Name & Street Address (if different than Job Location): Senator Edward M. Kennedy			City (Country): WMFO	State:	ZIP Code:	Telephone Number: ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year: Month/Year	Your Position Title & Supervisor's Name	Month/Year: Month/Year	Your Position Title & Supervisor's Name
To		To	
To		To	

#5	Month/Year: 11/79 To 8/80	Code: 9	Employer's Name/Military Service/Unemployment or Self-Employment Verifier: Kennedy for President Campaign	Your Position Title: Deputy Natl. Campaign Manager		
Employer's/Verifier's Street Address:			City (Country):	State:	ZIP Code:	Telephone Number: ()
Street Address of Job Location (if different than Employer's Address):			City (Country):	State:	ZIP Code:	Telephone Number: ()
Supervisor's Name & Street Address (if different than Job Location): Steve Smith (deceased) X			City (Country):	State:	ZIP Code:	Telephone Number: ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year: Month/Year	Your Position Title & Supervisor's Name	Month/Year: Month/Year	Your Position Title & Supervisor's Name
To		To	
To		To	

#6	Month/Year: 9/73 To 11/79	Code: 9	Employer's Name/Military Service/Unemployment or Self-Employment Verifier: National Urban League	Your Position Title: VP, Wash. Ops./ Director of Wash. Bureau		
Employer's/Verifier's Street Address: 1111 - 14th Street, N.W.			City (Country): Washington	State: D.C.	ZIP Code: 20004-005	Telephone Number: (202) 898-1601
Street Address of Job Location (if different than Employer's Address):			City (Country):	State:	ZIP Code:	Telephone Number: ()
Supervisor's Name & Street Address (if different than Job Location): Vernon Jordan			City (Country): WMFO	State:	ZIP Code:	Telephone Number: ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year: Month/Year	Your Position Title & Supervisor's Name	Month/Year: Month/Year	Your Position Title & Supervisor's Name
To		To	
To		To	

#7	Month/Year: 6/67 To 9/73	Code: 9	Employer's Name/Military Service/Unemployment or Self-Employment Verifier: National Urban League	Your Position Title: Several position including Gen. Counsel		
Employer's/Verifier's Street Address: 500 East 62nd Street			City (Country): New York	State: N.Y.	ZIP Code: 10001-21	Telephone Number: 212310-900
Street Address of Job Location (if different than Employer's Address):			City (Country): NY	State:	ZIP Code:	Telephone Number: ()
Supervisor's Name & Street Address (if different than Job Location): Whitney Young (deceased) X			City (Country):	State:	ZIP Code:	Telephone Number: ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year: Month/Year	Your Position Title & Supervisor's Name	Month/Year: Month/Year	Your Position Title & Supervisor's Name
To		To	
To		To	

Enter your Social Security Number before going to the next page → 0195-32-51852

12 PEOPLE WHO KNOW YOU WELLList **two** people who know you well and live in the United States.

• Don't list spouse, other relatives, or former spouses.

• Try not to list anyone mentioned in item 9, 10, or 11.

Name	Number Years Known	Telephone Number:
#1		
Hon		
#2		
Hon		

13 YOUR OUTSIDE ACTIVITIES

List any activities which you may wish to have considered as reflecting favorably on your reputation for leadership, responsibility, honesty, and integrity in the last 15 years. (Response Optional)

Month/Year	Month/Year	Activity	Location of Activity	
			City (Country)	State
#1	To			
#2	To			
#3	To			

14 YOUR FOREIGN ACTIVITIES

- a. Do you have any foreign property, business connections, or financial interests?
- b. Are you now or have you ever been employed by or acted as a consultant for a foreign government, firm, or agency?
- c. In the last 15 years, have you had continuing contact with a national of any foreign country designated by the agency instructing you to fill out this form? (NOTE: If the agency wants you to answer this question, it will provide you with a list of countries.)

Yes	No
	X
X	

If you answered "Yes" to a, b, or c, explain in the space below:

Republic of Haiti -- client 1982-86

* Law firm represents a number of foreign governments and firms.

WMFO - Foreign Agents
Registration Act**15 FOREIGN COUNTRIES YOU HAVE VISITED**

List foreign countries you have visited, beginning with the most current (#1) and working backward 15 years.

• Do not include countries covered in items 9, 10, and 11.

• In the "Code" block, use one of these codes: 1 - Business

2 - Pleasure

3 - Education

4 - Other

Month/Year	Month/Year	Code	Country	Month/Year	Month/Year	Code	Country
#1	11/92 to 11/92	2	Bahamas	#3	8/92 To 8/92	2	Dominican Republic
#2	28/92 To 8/92	2	Bahamas	#4	6/92 To 6/92	2	Bahamas Republic

16 YOUR MILITARY HISTORY

- a. Have you served in the United States military?
- Have you served in the United States Merchant Marine?
- If your answer to both questions is "No," GO TO QUESTION 17.
- If your answer to either question is "Yes," GO TO b.

Yes	No
X	
	X

- b. Starting with the most current (#1) and working backward, enter information for all periods of active service into the table below.

• Mark "O" block for Officer or "E" block for Enlisted.

• In the "Code" block, use one of these codes:

1 - Air Force 2 - Army 3 - Navy 4 - Marine Corps 5 - Coast Guard 6 - Merchant Marine 7 - National Guard

Month/Year	Month/Year	Code	Service/Certificate #	O	E	Status (Mark "X" in appropriate blocks - use State Code for National Guard)				
						None	Active Duty	Active Reserve	National Guard (show State)	Inactive Reserve
#1	2/63 To 6/67	2		X			X			
#2	To		SL							
#3	To									
#4	To									

Enter your Social Security Number before going to the next page

→ 01951-321-518512

17 YOUR RELATIVES

Give full names and enter the correct code for all relatives, living or dead; specified below:

- | | | | | | |
|---------------------|--------------------------|---------------|-------------------|--------------------|---------------|
| 1 - Mother (first) | 4 - Stepfather | 7 - Stepchild | 10 - Stepbrother | 13 - Half-sister | 16 - Guardian |
| 2 - Father (second) | 5 - Foster parent | 8 - Brother | 11 - Stepsister | 14 - Father-in-law | |
| 3 - Stepmother | 6 - Child (adopted also) | 9 - Sister | 12 - Half-brother | 15 - Mother-in-law | |

Full Name (If deceased, check box on the left before entering name)	Code	Date of Birth Month/Day/Year	Country of Birth	Country of Citizenship	Current Street Address and City (country) of Living Relatives	State
<input type="checkbox"/> Gloria O. Carter	1	9/13/21	Washington, DC U.S.	U.S. (NY)	160 West End #28B, N.Y.	NY
<input checked="" type="checkbox"/> William H. Brown	2	1/31/14	Bressler, PA U.S.	U.S. (X)		
<input type="checkbox"/> Peggy Brown	3	3/5/?	U.S.	U.S. (BS)	131 Coolidge Watertown	MA

<input checked="" type="checkbox"/> Martin L. Arrington	14	12/18/1899	U.S.	U.S. (X)		
<input type="checkbox"/> Dorothy Arrington	15	5/26/16	Brooklyn, NY U.S.	U.S. (X)	185 Sullivan Pl. Brooklyn	NY
<input type="checkbox"/>					b6	
<input type="checkbox"/>					b7C	

18 YOUR MARITAL STATUS

Mark one of the following boxes to show your current marital status:

- | | | |
|--|--|---------------------------------------|
| <input type="checkbox"/> 1 - Never married (go to question 19) | <input type="checkbox"/> 3 - Separated | <input type="checkbox"/> 5 - Divorced |
| <input checked="" type="checkbox"/> 2 - Married | <input type="checkbox"/> 4 - Legally Separated | <input type="checkbox"/> 6 - Widowed |

Current Spouse Complete the following about your current spouse.

Full Name _____ Date of Birth _____ Place of Birth (Include country if outside the U.S.) _____ Social Security Number _____

Country of Citizenship _____ Date Married _____ Place Married (Include country if outside the U.S.) _____ State _____

U.S. 8/11/62 Brooklyn NY

If Separated, Date of Separation (Mo./Day/Yr.) _____ If Legally Separated, Where is the Record Located? City (Country) _____ State _____

Address of Current Spouse (Street, city, and country if outside the U.S.) 2722 Unicorn Lane, N.W., Washington WMFO State ZIP Code DC 2010115

Former Spouse(s) Complete the following about your former spouse(s), use blank sheets if needed.

Full Name _____ Date of Birth _____ Place of Birth (Include country if outside the U.S.) _____ State _____

Country of Citizenship _____ Date Married _____ Place Married (Include country if outside the U.S.) _____ State _____

Check One, Then Give Date Month/Day/Year If Divorced, Where is the Record Located? City (Country) _____ State _____

☐ Divorced ☐ Widowed

Address of Former Spouse (Street, city, and country if outside the U.S.) _____ State _____ ZIP Code _____

19 PERSONS LIVING WITH YOU

Does the citizen of another country, or a United States citizen by other than birth, live at your residence? If "Yes," provide the information required below. If a United States citizen by other than birth lives with you, show both "United States" and prior country of citizenship below. Don't list your spouse or other relatives you provided in question 17.

Yes	No
	X

Name of Person	Country of Citizenship	Relationship

This concludes Part 1 of this form. If you have used Page 9, continuation sheets, or blank sheets to complete any of the questions in Part 1, give the number for those questions in the space to the right:

Enter your Social Security Number before going to the next page

01951-312-13852

**QUESTIONNAIRE FOR
SENSITIVE POSITIONS
(For National Security)**

Part 2

20 YOUR SELECTIVE SERVICE RECORD

- a. Are you a male born after December 31, 1959? If "No," go to 21. If "Yes," go to b.
- b. Have you registered with the Selective Service System? If "Yes," provide your registration number. If "No," show the reason for your legal exemption below.

Yes	No
	X

Registration Number

Legal Exemption Explanation

21 YOUR MILITARY RECORD

- a. Have you ever received other than an honorable discharge from the military? If "Yes," provide:
Date of Discharge (Month and Year): **Type of Discharge:**
- b. Have you ever been subject to court-martial or other disciplinary proceedings under the Uniform Code of Military Justice? If "Yes," list any disciplinary proceedings in the last 15 years and all courts-martial. (Include non-judicial and Captain's mast, etc.)

Yes	No
	X
	X

Month/Year	Charge or Specification / Action Taken	Place (City and county/country if outside the United States)	State

22 YOUR EMPLOYMENT RECORD

Has any of the following happened to you in the last 15 years? If "Yes," begin with the most recent occurrence and go backward, providing date fired, quit, or left, and other information requested.

Yes	No
	X

Use the following codes and explain the reason your employment was ended:

- 1 - Fired from a job 3 - Left a job by mutual agreement following allegations of misconduct 5 - Left a job for other reasons under unfavorable circumstances
2 - Quit a job after being told you'd be fired 4 - Left a job by mutual agreement following allegations of unsatisfactory performance

Month/Year	Code	Specify Reason	Employer's Name and Address	State	ZIP Code

23 YOUR POLICE RECORD (Do not include anything that happened before your 16th birthday.)

- a. Have you ever been charged with or convicted of any felony offense?
- b. Have you ever been charged with or convicted of a firearms or explosives offense?
- c. Are there currently any charges pending against you for any criminal offense?
- d. Have you ever been charged with or convicted of any offense(s) related to alcohol or drugs?
- e. In the last 5 years, have you been arrested for, charged with, or convicted for any offense(s) not listed in response to a, b, c, or d above? (Leave out traffic fines of less than \$100.)

Yes	No
	X
	X
	X
	X
	X

If you answered "Yes" to a, b, c, d, or e above, explain your answer(s) in the space provided.

Month/Year	Offense	Action Taken	Law Enforcement Authority or Court (City and county/country if outside the U.S.)	State	ZIP Code

24 YOUR MEDICAL RECORD

- a. Have you experienced problems on or off the job because of any emotional or mental condition?
- b. Have you ever seen a health care professional for any of the types of problems mentioned above?

Yes	No
	X
	X

If you answered "Yes" to questions a or b, explain below.

Month/Year	Month/Year	Explanation
To		
To		

Enter your Social Security Number before going to the next page

→ 01 95 - 32 - 5852

25 ILLEGAL DRUGS AND ALCOHOL

a. In the last 5 years, have you used, possessed, supplied, or manufactured any illegal drugs? When used without a prescription, illegal drugs include marijuana, cocaine, hashish, narcotics (opium, morphine, codeine, heroin, etc.), stimulants (cocaine, amphetamines, etc.), depressants (barbiturates, methaqualone, tranquilizers, etc.), hallucinogenics (LSD, PCP, etc.). (NOTE: The information you provide in response to this question will not be provided for use in any criminal proceedings against you.)

Yes	No
	X
	X

b. Have you experienced problems (disciplinary actions, evictions, formal complaints, etc.) on or off a job from your use of illegal drugs or alcohol?

If you answered "Yes" to question a or b above, provide information relating to the types of substance(s), the nature of the activity, and any other details relating to your involvement with illegal drugs or alcohol. Include any treatment or counseling received.

Month/Year	Month/Year	Type of Substance	Explanation
To			
To			
To			

26 YOUR INVESTIGATIONS RECORD

a. Has the United States Government ever investigated your background? If "Yes," use the codes that follow to provide the requested information below. If "Yes," but you can't recall the investigating agency and/or the security clearance received, enter "Other" agency code or clearance code, as appropriate, and "Don't know" or "Don't recall" under the "Other Agency" heading, below. If your response is "No," or you don't know or can't recall if you were investigated and cleared, check the "No" box.

Yes	No
X	

Codes for Investigating Agency

1 - Defense Department
2 - State Department
3 - Office of Personnel Management
4 - FBI
5 - Treasury Department
6 - Other (Specify)

Codes for Security Clearance Received

0 - Not Required
1 - Confidential
2 - Secret
3 - Top Secret
4 - Sensitive Compartmented Information
5 - Q-Sensitive
6 - Q-NonSensitive
7 - L
8 - Other

Month/Year	Agency Code	Other Agency	Clearance Code	Month/Year	Agency Code	Other Agency	Clearance Code
8/80	6	Don't recall	8				

b. To your knowledge, have you ever had a clearance or access authorization denied, suspended, or revoked, or have you ever been debarred from government employment? If "Yes," give date of action and agency.

Yes	No
	X

Month/Year	Department or Agency Taking Action	Month/Year	Department or Agency Taking Action

27 YOUR FINANCIAL RECORD

a. In the last 5 years, have you, or a company over which you exercised some control, filed for bankruptcy, been declared bankrupt, been subject to a tax lien, or had legal judgment rendered against you for a debt? If you answered "Yes," provide date of initial action and other information requested below.

Yes	No
	X

Month/Year	Type of Action	Name Action Occurred Under	Name/Address of Court or Agency Handling Case	State	ZIP Code

b. Are you now over 180 days delinquent on any loan or financial obligation? Include loans or obligations funded or guaranteed by the Federal Government. (If an SF 171, Application for Federal Employment, will be attached, you do not need to repeat Federal Government delinquencies. See the instructions headed, "How is the SF 171 used with this form?")

Yes	No
	X

If you answered "Yes," provide the information requested below:

Month/Year	Type of Loan or Obligation and Account #	Name/Address of Creditor or Obligor	State	ZIP Code

Enter your Social Security Number before going to the next page

→ 095 - 32 - 5852

28 YOUR ASSOCIATION RECORD

- a. In the last 15 years, have you been an officer or a member or made a contribution to an organization dedicated to the violent overthrow of the United States Government and which engages in illegal activities to that end, knowing that the organization engages in such activities with the specific intent to further such activities?
- b. In the last 15 years, have you knowingly engaged in any acts or activities designed to overthrow the United States Government by force? If you answered "Yes" to a or b, explain in the space below:

Yes	No
	X
	X

Continuation Space

Use the continuation sheet(s) (SF 86A) for additional answers to questions 9, 10, and 11. Use the space below to continue answers to all other questions and any information you would like to add. If more space is needed than what is provided below, use a blank sheet(s) of paper. Start each sheet with your name and Social Security Number. Before each answer, identify the number of the question.

12. [Redacted] Patton, Boggs & Blow, 2550 M Street, N.W.,

Washington, D.C. 20037 (202) 457-6515

b6

b7C

Office address for [Redacted] unknown.

After completing Parts 1 and 2 of this form and any attachments, you should review your answers to all questions to make sure the form is complete and accurate, and then sign and date the following certification and sign and date the release on page 10. If you attach an SF 171, Application for Federal Employment, make sure that it is updated and that any information added to the SF 171 is initialed and dated.

Certification That My Answers Are True

I read each question asked of me and understood each question. My statements on this form, and any attachments to this form, are true, complete, and correct to the best of my knowledge and belief and are made in good faith. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment or both.

Signature (Sign in ink) [Signature] Date 12/19/92

Enter your Social Security Number before going to the next page

→ 095 - 32 - 5852

Form approved:
O.M.B. No. 3206-0007
NSN 7540-01-268-4826
86-202

INSTRUCTIONS: Use this form to continue your answers to "Where You Have Lived" and/or "Your Employment Activities." Follow the instructions on the form for the particular questions you are answering and give information in the same sequence. Use as many continuation sheets as you need to furnish all the requested information.

Your Name	Ronald H. Brown	Your Social Security Number	0	9	5	3	2	5	8	5	2
------------------	-----------------	------------------------------------	---	---	---	---	---	---	---	---	---

WHERE YOU HAVE LIVED (Continued) (OFFICE ADDRESSES FOR PERSONS LISTED)

Month/Year Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code	b6 b7C
Name of Person Who Knows You	Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
Month/Year Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code	
Name of Person Who Knew You	Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
Month/Year Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code	
Name of Person Who Knew You	Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
Month/Year Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code	
Name of Person Who Knew You	Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
Month/Year Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code	
Name of Person Who Knew You	Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
Month/Year Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code	
Name of Person Who Knew You	Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
(retired -- use home address)						

YOUR EMPLOYMENT ACTIVITIES (Continued)

Month/Year 8/62 To 2/63	Code 9	Employer's Name/Military Service/Unemployment or Self-Employment Verifier New York City Welfare Department	Your Position Title Caseworker		
Employer's/Verifier's Street Address Lower Manhattan Welfare Center		City (Country) New York	State N.Y.	ZIP Code 	Telephone Number ()
Street Address of Job Location (if different than Employer's Address)		City (Country) NY	State 	ZIP Code 	Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)		City (Country) NY	State 	ZIP Code 	Telephone Number ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year	Month/Year	Your Position Title & Supervisor's Name	Month/Year	Month/Year	Your Position Title & Supervisor's Name
To			To		
To			To		

Month/Year To	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title				
Employer's/Verifier's Street Address		City (Country)	State	ZIP Code			Telephone Number ()
Street Address of Job Location (if different than Employer's Address)		City (Country)	State	ZIP Code			Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)		City (Country)	State	ZIP Code			Telephone Number ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year	Month/Year	Your Position Title & Supervisor's Name	Month/Year	Month/Year	Your Position Title & Supervisor's Name
To			To		
To			To		

YOUR EMPLOYMENT ACTIVITIES (Continued)

Month/Year To	Month/Year To	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title		
Employer's/Verifier's Street Address			City (Country)	State	ZIP Code	Telephone Number ()
Street Address of Job Location (if different than Employer's Address)			City (Country)	State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State	ZIP Code	Telephone Number ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK

Month/Year To	Month/Year To	Your Position Title & Supervisor's Name	Month/Year To	Month/Year To	Your Position Title & Supervisor's Name

Month/Year To	Month/Year To	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title		
Employer's/Verifier's Street Address			City (Country)	State	ZIP Code	Telephone Number ()
Street Address of Job Location (if different than Employer's Address)			City (Country)	State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State	ZIP Code	Telephone Number ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK

Month/Year To	Month/Year To	Your Position Title & Supervisor's Name	Month/Year To	Month/Year To	Your Position Title & Supervisor's Name

Month/Year To	Month/Year To	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title		
Employer's/Verifier's Street Address			City (Country)	State	ZIP Code	Telephone Number ()
Street Address of Job Location (if different than Employer's Address)			City (Country)	State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State	ZIP Code	Telephone Number ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK

Month/Year To	Month/Year To	Your Position Title & Supervisor's Name	Month/Year To	Month/Year To	Your Position Title & Supervisor's Name

Month/Year To	Month/Year To	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title		
Employer's/Verifier's Street Address			City (Country)	State	ZIP Code	Telephone Number ()
Street Address of Job Location (if different than Employer's Address)			City (Country)	State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State	ZIP Code	Telephone Number ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK

Month/Year To	Month/Year To	Your Position Title & Supervisor's Name	Month/Year To	Month/Year To	Your Position Title & Supervisor's Name

Enter your Social Security Number

→																			
---	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

RONALD H. BROWN

095-32-5852

15. (cont'd.)

1/92 - 1/92	Hong Kong	1
12/91 - 12/91	Taiwan	1
7/91 - 7/91	South Africa	1
7/91 - 7/91	Namibia	1
7/91 - 7/91	Zimbabwe	1
7/91 - 7/91	Zambia	1
7/91 - 7/91	France	1
6/91 - 6/91	Nigeria	1
6/91 - 6/91	Ivory Coast	1
5/91 - 5/91	Portugal	1
5/91 - 5/91	Dominican Republic	2
2/91 - 2/91	Jamaica	2
1/91 - 1/91	Bahamas	2
5/90 - 5/90	Dominican Republic	2
1/90 - 1/90	Great Britain	1
1/90 - 1/90	Israel	1
1/90 - 1/90	Egypt	1
10/89 - 10/89	Great Britain	1
5/89 - 5/89	Dominican Republic	2
5/89 - 5/89	St. Lucia	2
3/89 - 3/89	Japan	1
8/88 - 8/88	Dominican Republic	2
7/88 - 7/88	Bermuda	2
5/88 - 5/88	Liberia	1
5/87 - 5/87	Dominican Republic	2
3/87 - 3/87	Great Britain	1
3/87 - 3/87	Ivory Coast	1

RONALD H. BROWN

095-32-5852

15. (cont'd.)

3/87 - 3/87	Cameroon	1
3/87 - 3/87	France	1
10/86 - 10/86	Ivory Coast	1
5/86 - 5/86	Spain	1
1/86 - 1/86	Haiti	1
11/85 - 11/85	Barbados	2
10/85 - 10/85	Haiti	1
7/85 - 7/85	Haiti	1
5/85 - 5/85	Haiti	1
3/85 - 3/85	Haiti	1
12/84 - 1/85	Bahamas	2
11/84 - 12/84	Haiti	1
11/84 - 11/84	Japan	1
9/84 - 9/84	Haiti	1
5/84 - 6/84	Haiti	1
5/84 - 5/84	Thailand	1
5/84 - 5/84	People's Republic of China	1
5/84 - 5/84	Korea	1
3/84 - 3/84	Haiti	1
1/84 - 1/84	Haiti	1
12/83 - 12/83	Bahamas	2
9/83 - 9/83	Japan	1
7/83 - 8/83	Haiti	1
3/83 - 3/83	Haiti	1
11/82 - 11/82	Haiti	1
9/82 - 9/82	Haiti	1
12/78 - 12/78	Israel	4

SUPPLEMENT TO STANDARD FORM 86 (SF-86)

(Attach additional pages if necessary)

- 1S. Please list names of all corporations, firms, partnerships or other business enterprises, and all nonprofit organizations and other institutions with which you are *now*, or *during the past five years* have been, affiliated as an officer, owner, director, trustee, partner, advisor, attorney or consultant. In addition, please provide the names of any other organizations with which you were affiliated *prior to the past five years* that might present a potential conflict or appearance of conflict of interest with your prospective appointment. (Please note that in the case of an attorney's client listing, it is only necessary to provide the names of major clients and those that might present a potential conflict or appearance of conflict of interest with the prospective appointment).

Patton, Boggs & Blow
First Intl. Communications Corp.
Harmon International, Inc.
Chemfix Technologies, Inc.
Kellee Communications, Inc.
Capital PEBSCO, Inc.
Middlebury College
Miss D.C. Scholarship Pageant
Foundation, Inc.

Boston Bank of Commerce
National Environmental Controls
(not past 3/88)
CLIENT LIST ATTACHED

WMFO

WKYS

- 2S. Please list all your interests in real property, other than a personal residence, setting forth the nature of your interest, the type of property and the address.

C-201 Herzwood
Davis, WV 26260
Rental property

See attached re: limited
partnerships.

PG-

Indices, arrest, USA's office

Lot 43 Timberline
Davis, WV 26260
Vacation home

- 3S. Have you or any firm, company or other entity with which you have been associated ever been convicted of a violation of any Federal, state, county or municipal law, regulation or ordinance? If so, please provide full details.

No.

- 4S. Have you or any firm, company or other entity with which you have been associated ever been the subject of Federal, state or local investigation for possible violation of a criminal statute? If so, please give full details.

No.

- 5S. Have you ever been involved in civil or criminal litigation, or in administrative or legislative proceedings of any kind, either as a plaintiff, defendant, respondent, witness or party in interest? If so, please give full details identifying dates, issues litigated and the location where the civil action is recorded.

No.

161A-HQ-1042752-1

ENCLOSURE

6S. Have you ever been disciplined or cited for a breach of ethics or unprofessional conduct by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please give full details.

No.

7S. Have you ever run for political office, served on a political committee or been identified in a public way with a particular organization, candidate or issue? Have any complaints been lodged against you or your political committee with the Federal Election Commission or state or local election authorities? If so, please describe.

Committees: Democratic National Committee
Mt. Vernon Democratic City Committee **WMFO-FEC**

Candidates: Edward Kennedy, Jesse Jackson, Michael Dukakis,
Bill Clinton

Various allegations have been made by various individuals and groups alleging violations by the Democratic National Committee of the federal/election laws and state

8S. Are you currently, or have you ever been, a member or office holder in any club or organization that restricts or restricted membership on the basis of sex, race, color, religion, national origin, age or handicap? If so, provide the name, address and dates of membership for each.

No.

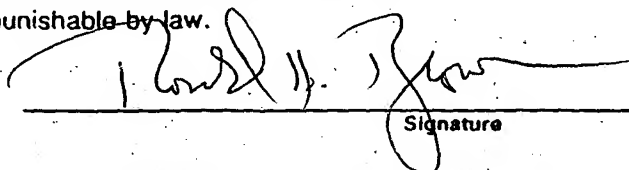
9S. Please identify any adults (18 years or older) currently living with you who are not members of your immediate family. Provide the names of those individuals, dates and places of birth, and whether or not they are United States citizens.

None.

10S. Is there anything in your personal life that could be used by someone to coerce or blackmail you? Is there anything in your life that could cause an embarrassment to you or to the President if publicly known? If so, please provide full details.

No.

I understand that the information being provided on this supplement to the SF-86 is to be considered part of the original SF-86 dated 12/19/92 and a false statement on this form is punishable by law.


Signature

RONALD H. BROWN

095-32-5852

1S. (cont'd.) CLIENT LIST

VCR Companies Coalition (25 American subsidiaries of Japanese electronics firms)
Public Employees Benefit Services Corporation (PEBSCO)
Evergreen International Aviation
Nutri/System, Inc.
Associated Financial Corporation
Sedgwick James, Inc.
Sony Corporation of America, Inc.
Wayne County, Michigan
City and County of Denver, Colorado
District of Columbia (bond counsel
Embassy of Japan

RONALD H. BROWN

095-32-5852

2S. (cont'd.) INTEREST IN REAL ESTATE

Limited partner in the following:

[REDACTED] Et Al
Willow Springs Associates, Ltd.
11812 San Vicente Blvd., Sixth Floor
Los Angeles, CA 90049

[REDACTED] Et Al
Huntcliff Associates, Ltd.
11812 San Vicente Blvd., Sixth Floor
Los Angeles, CA 90049

[REDACTED] Et Al
Rollingbrook Associates, Ltd.
11812 San Vicente Blvd., Sixth Floor
Los Angeles, CA 90049

Potomac Housing Fund
881 Alma Real Drive
Suite 212
Pacific Palisades, CA 90272

b6
b7C

NCIC CHECK

ROOM 132 TL153, ATTN: [REDACTED]

WANTED & CCH FILES

TRANSITION

FROM: SPECIAL INQUIRY UNIT, DIV 6, RM 4371, TL# 114

EXT: 2588 ATTN: PSS [REDACTED]

b6
b7C

SUBJECT: RONALD HARMON BROWN
AKA(S):

BUDED: 12/29/92

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE SPECIAL INQUIRY UNIT, RM 4371, VIA ROUTING SLIP MARKED 'URGENT'.

SUBJECT IS DESCRIBED AS FOLLOWS:

RESULT NAME: RONALD HARMON BROWN

DOB: 08/01/41

POB: WASHINGTON, DC

SSAN: 095-32-5852

CURRENT ADDRESS: 2722 UNICORN LN
WASHINGTON, DC 20015

EMPLOYMENT: PATTON, BOGGS & BLOW
2550 M ST WASHINGTON, DC 20037

CLOSE RELATIVES ONLY!

RESULT	NAME	DOB	RESIDENCE
NEG	GLORIA O CARTER	09/13/21	160 WEST END * NEW YORK, NY
	PEGGY BROWN	03/05/01	131 COOLIDGE * WATERTOWN, MA

b6
b7C

CHECK CONDUCTED BY: [Signature], ON 12/28/92

QW.DCFBIWAA6.NAM/CARTER, GLORIA O

.DOB/091321

DCFBIWAA6
NO NCIC WANT DOB/091321 NAM/CARTER, GLORIA O

QW.DCFBIWAA6.NAM/BROWN, PEGGY

.DOB/030501

DCFBIWAA6
NO NCIC WANT DOB/030501 NAM/BROWN, PEGGY

QW.DCFBIWAA6.NAM/

.DOB/

b6
b7C

DCFBIWAA6

b6
b7C

Please initiate data base checks (OCIS/ [REDACTED] NCIC/ELSUR and also RMD and IDENT)

b7E

Deadline is 12/8

RONALD H. BROWN; AKA: RON

DOB: 8/1/41

POB: WASHINGTON, D.C.

EMPLOYMENT: CHAIRMAN OF THE DEMOCRATIC NATIONAL

NEP
~~CONVENTION~~ *Committee*

~~CC DELETED~~

[REDACTED], OCIS

b7E

1mp 8/2/92

[Signature]

TO: NEXIS - ATTN: [REDACTED] (DATA BANK)

FROM: SPECIAL INQUIRY UNIT, 4371, TL# 114
[REDACTED] 324-2588

"TRANSITION"

b6
b7C

SUBJECT: RONALD HARMON BROWN

BUDED: 12/29/92

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE SPECIAL INQUIRY UNIT, RM 4371, VIA ROUTING SLIP MARKED 'URGENT'.

SUBJECT IS DESCRIBED AS FOLLOWS:

RESULT
+/-

NAME: RONALD HARMON BROWN

11 stories

DOB: 08/01/41

POB: WASHINGTON, DC

SSAN: 095-32-5852

CURRENT RESIDENCE: 2722 UNICORN LN

WASHINGTON, DC 20015

EMPLOYMENT: PATTON, BOGGS & BLOW

2550 M ST WASHINGTON, DC 20037

11 stories

*Ron Brown
more 1,000 stories*

CHECK CONDUCTED BY: [REDACTED], ON 12/29/92
USING THE *Nexis paper* DATABASE(S) AND THE FOLLOWING
PARAMETERS: *Ronald Harmon Brown*

b6
b7C

ROUTE IN ENVELOPE

TRANSITION

8816

TO: INFORMATION MANAGEMENT DIVISION, ELSUR INDEX
ROOM 5331, TL 114

DATE: 12/24/92

FROM: X CID _ LCD _ INTD _ RMS _ OTHER _____

PRIORITY: _ EXPEDITE, WILL PICK UP;
_ ROUTINE
_ DATE NEEDED: 12/29/92

REQUEST FOR SEARCH OF ELSUR INDEX FOR THE PURPOSE OF:

b7E

(ONE OF THE ABOVE MUST BE CHECKED BEFORE SEARCH WILL BE CONDUCTED.)

REQUESTING/AUTHORIZING AGENT

COMPLETE AND RETURN TO:

2588 4371 114 b6
b7C

NAME

NAME

EXT. ROOM TL#

NAME; TELEPHONE #; VIN; OR
ADDRESS TO BE SEARCHED

KNOWN ALIASES

SEARCH RESULTS

RONALD HARMON BROWN

CLOSE RELATIVES ONLY!

RESULT NAME

DOB

RESIDENCE

GLORIA O CARTER

09/13/21

160 WEST END
NEW YORK, NY

CLOSE RELATIVES

RESULT NAME

DOB

RESIDENCE

S/A

PEGGY BROWN

03/05/01

131 COOLIDGE *
WATERTOWN, MA

S/A

M/R

S/A

M/R

[Redacted]

b6
b7C

BUFILE: 161A-1042752

[Redacted]

DATE

12/29/92

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 08-13-2019 BY [REDACTED] ADG

82,100

"TRANSITION"

b6
b7C

Please initiate data base checks (OCIS, [REDACTED] NCIC/ELSUR and also
RMD and IDENT)

b7E

Deadline ~ 12/8

NT

SA

RONALD H. BROWN; AKA: RON

DOB: 8/1/41

POB: WASHINGTON, D.C.

EMPLOYMENT: CHAIRMAN OF THE DEMOCRATIC NATIONAL
CONVENTION



12/9/92.

b6
b7C

6-092